

Select/Special Topics from 'Theory of Atomic Collisions and Spectroscopy'

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Unit 3

Lecture Number 16

***Electron Gas in Hartree Fock and Random
Phase Approximations***

First,
a short *revisit* to Hartree Fock Formalism,
but from a different route....

Recapitulate,
with a *rather brief re-visit*, but *from a different route*:

Hartree Fock Self Consistent Field Method: Special>Select Topic in Atomic Physics STiAP Unit 4

Reference→

<http://www.nptel.ac.in/downloads/1151060571>

We shall **supplement** and **complement** that discussion to equip ourselves to build the machinery to see **how the methods of 2nd quantization developed in Unit 2 can be extended to address the electron 'COULOMB correlations' that are left out of the HF method....**

$$H = H_1 + H_2$$

$$= \sum_{i=1}^N f(q_i) + \frac{1}{2} \sum_{i=1}^N \sum_{\substack{j=1 \\ i \neq j}}^N v(q_i, q_j)$$

$$f(q_i) = \left(-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right)$$

Many-Electron
Hamiltonian
in the notation of
**FIRST
QUANTIZATION**

$$H = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | kl \rangle c_l c_k$$

Many-Electron Hamiltonian
in the notation of
SECOND QUANTIZATION

$$\langle ij | v | kl \rangle = \int dq_1 \int dq_2 \phi_i^*(q_1) \phi_j^*(q_2) v(q_1, q_2) \phi_k(q_1) \phi_l(q_2)$$

$$i\hbar \frac{\partial}{\partial t} |\Psi(t)\rangle =$$

$$= \left[\sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | kl \rangle c_l c_k \right] |\Psi(t)\rangle$$

$$H = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \overbrace{\langle ij | v | kl \rangle}^{\text{Note: } \uparrow \text{Order} \uparrow} c_l c_k$$

Fetter & Walecka (p.18); Raimes (p.31; 42)

Note: ↑Order↑

$$\langle ij | v | kl \rangle = \int dq_1 \int dq_2 \underbrace{\psi_i^*(q_1)}_{\text{green}} \underbrace{\psi_j^*(q_2)}_{\text{purple}} v(q_1, q_2) \underbrace{\psi_k(q_1)}_{\text{green}} \underbrace{\psi_l(q_2)}_{\text{purple}}$$

The **order** does not matter for Bosons; for Fermions, it does matter.

For electrons,

$$\underbrace{\psi_i(q)}_{\text{spin-orbital}} = \underbrace{\psi_i(\vec{r})}_{\text{orbital}} \chi_i(\zeta)$$

spin-orbital

$\chi_i(\zeta)$ is either $\alpha = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ for $m_{s_i} = +\frac{1}{2}$ ↑

or $\beta = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$ for $m_{s_i} = -\frac{1}{2}$ ↓

Linear combination of creation & destruction operators

Field operators

definition →

II Quantization

$$\left[\begin{array}{l} \hat{\psi}(q) = \sum_i \psi_i(q) c_i \\ \hat{\psi}^\dagger(q) = \sum_i \psi_i^*(q) c_i^\dagger \end{array} \right]$$

$\psi_i(q)$: single particle wavefunctions i.e. spin-orbitals

c_i, c_i^\dagger : 2nd quantization destruction & creation operators

$$i \equiv \left\{ \vec{k}_i, m_{s_i} \right\} \text{ or } \left\{ \begin{array}{l} i \equiv \left\{ n_i, l_i, j_i, m_{j_i} \right\} \text{ with } m_{s_i} = +\frac{1}{2} \text{ or } -\frac{1}{2} \\ \text{Free electron} \quad \quad \quad \text{Hydrogenic Potential} \end{array} \right.$$

Spin-orbitals → $\psi_i(q) = \psi_i(\vec{r}) \chi_i(\zeta)$ adjoint spin-orbitals

$$\text{where } \chi_i(\zeta) = \begin{bmatrix} 1 \\ 0 \end{bmatrix} \text{ or } \chi_i(\zeta) = \begin{bmatrix} 0 \\ 1 \end{bmatrix} \quad \psi_i^*(q) = \psi_i^*(\vec{r}) \chi_i^\dagger(\zeta)$$

$$\chi_i^\dagger(\zeta) = [1 \quad 0] \text{ or } \chi_i^\dagger(\zeta) = [0 \quad 1]$$

$$\text{for } m_{s_i} = +\frac{1}{2} \text{ or } m_{s_i} = -\frac{1}{2} \quad \text{for } m_{s_i} = +\frac{1}{2} \text{ or } m_{s_i} = -\frac{1}{2}$$

$$H^{(N)} \Phi^{(N)} = E^{(N)} \Phi^{(N)} \quad \leftarrow \text{N-electron Schrodinger equation}$$

$$\Phi_{n_1, n_2, \dots, n_i, \dots, n_\infty}^{(N)}(q_1, q_2, \dots, q_N) \equiv \Phi_{a_1, a_2, \dots, a_N}^{(N)}(q_1, q_2, \dots, q_N)$$

Ordered set: $a_1 < a_2 < \dots < a_i < \dots < a_j < \dots < a_N$

*Slater determinantal
wavefunction*

$$\Phi_{n_1, n_2, \dots, n_i, \dots, n_\infty}^{(N)}(q_1, q_2, \dots, q_N) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_{a_1}(q_1) & \dots & \dots & \psi_{a_1}(q_N) \\ \dots & & & \dots \\ \dots & \psi_{a_i}(q_j) & & \dots \\ \psi_{a_N}(q_1) & \dots & \dots & \psi_{a_N}(q_N) \end{vmatrix}$$

$$\int \psi_i^*(q) \psi_j(q) dx = \delta_{ij} \quad \text{Orthonormal complete set of one-electron spin-orbitals}$$

$$\sum_i \psi_i^*(q') \psi_i(q) = \delta(q - q') = \delta(\vec{r} - \vec{r}') \delta_{\zeta\zeta'}$$

Field Operators

$$\hat{\psi}(q) = \sum_i \psi_i(q) c_i$$

$$\hat{\psi}^\dagger(q) = \sum_i \psi_i^*(q) c_i^\dagger$$

Multi-component spin-orbital wavefunction

(2j+1) number of components

Inclusion of spin: multi-component spin-orbitals

In general, for spin = j : $\alpha = 1, 2, \dots, (2j+1)$

j : integer for Bosons, half-integer for Fermions

$$\psi_i(q) \equiv \begin{bmatrix} \psi_{i,\alpha=1}(q) \\ \psi_{i,\alpha=2}(q) \\ \psi_{i,\alpha=3}(q) \\ \vdots \\ \psi_{i,\alpha=(2j+1)}(q) \end{bmatrix} \quad \text{Field Operator}$$

$$\hat{\psi}_\alpha(q) = \sum_i \psi_{i\alpha}(q) c_i$$

$$\hat{\psi}_\alpha^\dagger(q) = \sum_i \psi_{i\alpha}^*(q) c_i^\dagger$$

$$\alpha = 1, 2, 3, \dots, (2j+1)$$

$$[\hat{\psi}_\alpha(q), \hat{\psi}_\beta^\dagger(q')]_\pm = \delta_{\alpha\beta} \delta(q - q')$$

Fermi $\rightarrow +$ Bose $\rightarrow -$
Field operators

$$[\hat{\psi}_\alpha(q), \hat{\psi}_\beta(q')]_\pm = 0$$

$$[\hat{\psi}_\alpha^\dagger(q), \hat{\psi}_\beta^\dagger(q')]_\pm = 0$$

Field Operator

$\alpha = 1, 2, 3, \dots, (2j+1)$

$$\hat{\psi}_\alpha(q) = \sum_i \psi_{i\alpha}(q) c_i$$

$$\hat{\psi}_\alpha^\dagger(q) = \sum_i \psi_{i\alpha}^*(q) c_i^\dagger$$

$$\begin{aligned} [c_{r_1\sigma_1}, c_{r_2\sigma_2}^\dagger]_\pm &= \delta_{r_1r_2} \delta_{\sigma_1\sigma_2} \\ [c_{r_1\sigma_1}^\dagger, c_{r_2\sigma_2}^\dagger]_\pm &= 0 \\ [c_{r_1\sigma_1}, c_{r_2\sigma_2}]_\pm &= 0 \quad \text{F} \rightarrow + \\ &\quad \text{B} \rightarrow - \end{aligned}$$

spin $\frac{1}{2}$: $\psi_i(q) = \begin{bmatrix} \psi_{i,\alpha=1}(q) \\ \psi_{i,\alpha=2}(q) \end{bmatrix}$

$$\alpha = 1 \rightarrow m_s = +\frac{1}{2}$$

$$\alpha = 2 \rightarrow m_s = -\frac{1}{2}$$

Hamiltonian in terms of single particle creation and destruction operators

$$H = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | kl \rangle c_l c_k$$

$$[\hat{\psi}_\alpha(q), \hat{\psi}_\beta^\dagger(q')]_\pm = \delta_{\alpha\beta} \delta(q-q')$$

$$[\hat{\psi}_\alpha(q), \hat{\psi}_\beta(q')]_\pm = 0$$

$$[\hat{\psi}_\alpha^\dagger(q), \hat{\psi}_\beta^\dagger(q')]_\pm = 0$$

↓ Hamiltonian in terms of field operators

$$H = \int \hat{\psi}^\dagger(q) f(q) \hat{\psi}(q) dq + \frac{1}{2} \int \int \hat{\psi}^\dagger(q) \hat{\psi}^\dagger(q') v(q, q') \hat{\psi}(q') \hat{\psi}(q) dq dq'$$

Note: ↑Order↑

That ↑this↑ form is correct can be seen easily as shown on next slide→

$$H = \int \hat{\psi}^\dagger(q) f(q) \hat{\psi}(q) dq + \frac{1}{2} \int \int \hat{\psi}^\dagger(q) \hat{\psi}^\dagger(q') v(q, q') \hat{\psi}(q') \hat{\psi}(q) dq dq'$$

↑

equivalent

$$\hat{\psi}(q) = \sum_i \psi_i(q) c_i \quad \hat{\psi}^\dagger(q) = \sum_i \psi_i^*(q) c_i^\dagger$$

$$H = \sum_i \sum_j c_i^\dagger \int \psi_i^*(q) f(q) \psi_j(q) dq c_j + \\ + \frac{1}{2} \sum_i \sum_j c_i^\dagger c_j^\dagger \sum_k \sum_l \int \int \psi_i^*(q) \psi_j^*(q) v(q, q') \psi_l(q) \psi_k dq dq' c_k c_l$$

↓

$$H = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | lk \rangle c_k c_l$$

Raimes, Many Electron Theory / Eq.2.117 / p.42

Complete expressions for the Hamiltonian, inclusive of spin labels

$$\left[c_{a_1\sigma_1}, c_{a_2\sigma_2}^\dagger \right]_\pm = \delta_{a_1a_2} \delta_{\sigma_1\sigma_2} \quad \left[c_{a_1\sigma_1}^\dagger, c_{a_2\sigma_2}^\dagger \right]_\pm = 0 \quad \left[c_{a_1\sigma_1}, c_{a_2\sigma_2} \right]_\pm = 0$$

$$H = \int \hat{\psi}_\alpha^\dagger(q) f(q) \hat{\psi}_\beta(q) dq + \frac{1}{2} \int \int \hat{\psi}_\alpha^\dagger(q) \hat{\psi}_\beta^\dagger(q) v(q, q') \hat{\psi}_\delta(q') \hat{\psi}_\gamma(q) dq dq'$$

$$\hat{\psi}_\alpha(q) = \sum_{\alpha} \sum_i \psi_{i\alpha}(q) c_{i\alpha} \quad \hat{\psi}_\beta^\dagger(q) = \sum_{\beta} \sum_j \psi_{j\beta}^*(q) c_{j\beta}^\dagger$$

$$H = \sum_i \sum_{\alpha} \sum_{\beta} c_{i\alpha}^\dagger \int \psi_{i\alpha}^*(q) f(q) \psi_{j\beta}(q) dq c_{j\beta} + \\ + \frac{1}{2} \sum_i \sum_{\alpha} \sum_{\beta} \sum_k \sum_{\delta} \sum_l c_{i\alpha}^\dagger c_{j\beta}^\dagger \int \int \psi_{i\alpha}^*(q) \psi_{j\beta}^*(q) v(q, q') \psi_{l\delta}(q) \psi_{k\gamma}(q) dq dq' c_{k\gamma} c_{l\delta}$$

$$H = \sum_i \sum_{\alpha} \sum_{\beta} c_{i\alpha}^\dagger \langle i\alpha | f | j\beta \rangle c_{j\beta} + \frac{1}{2} \sum_i \sum_{\alpha} \sum_{\beta} \sum_k \sum_{\delta} \sum_l c_{i\alpha}^\dagger c_{j\beta}^\dagger \langle i\alpha, j\beta | v | l\delta, k\gamma \rangle c_{k\gamma} c_{l\delta}$$

Raiems / p.42 / Eq.2.117 → inclusive of spin labels

We recognize that c_i and c_i^\dagger are Hermitian conjugates.

These operators were introduced as destruction & creation operators.

Proof :

$$\left. \begin{array}{l} \text{Let } \Phi_a = \Phi^{N+1}(,,\dots,1_i,\dots) \\ \Phi_b = \Phi^N(,,\dots,0_i,\dots) \end{array} \right\} \begin{array}{l} \text{all other occupation numbers in} \\ \Phi_a = \Phi^{N+1} \text{ & } \Phi_b = \Phi^N \text{ being same} \end{array}$$

$$c_i \Phi_a = \Phi_b \quad \text{and} \quad \int \Phi_b^* c_i \Phi_a d\tau = 1 \quad \leftarrow \begin{array}{l} \text{Number of occupied states} \\ \text{preceding the } i^{\text{th}} \text{ state: even} \end{array}$$

destruction operator

let $c_i^H = \boxed{\text{Hermitian conjugate of } c_i}$

we must show that: $c_i^H = c_i^\dagger$ creation operator

c_i :destruction operator

$$1 = \int \Phi_b^* c_i \Phi_a d\tau \underbrace{\qquad}_{\substack{\text{normalization integral} \\ \text{by definition of Hermitian conjugate}}} = \int \left(c_i^H \Phi_b \right)^* \Phi_a d\tau = \left(\int \Phi_a^* c_i^H \Phi_b d\tau \right)^* = 1$$

normalization integral

$$\therefore c_i^H \Phi_b = \Phi_a$$

$c_i^H = c_i^\dagger$ normalization integral

i.e. c_i and c_i^\dagger are Hermitian conjugates

Hartree-Fock
method & the free
electron gas
Raimes/Ch.3

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N \left(-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right) + \sum_{i < j=1}^N \frac{1}{r_{ij}}$$

N-electron Hamiltonian

$$= \sum_{i=1}^N f(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N \frac{1}{r_{ij}}$$

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j)$$

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

add and subtract

Modified one-electron operator Modified interaction

$$F = ?$$

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

$-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} = f(\vec{r}_i)$

$H_1 = \sum_{i=1}^N f(\vec{r}_i) = f$

Modified one-electron operator would contain much/most of the effect of the two-electron terms.

Modified, residual, interaction between pairs of electrons.

This term would be weak, and would be treated perturbatively.

$$H^{(N)}(q_1, q_2, \dots, q_N) = f + F + H_2 - F$$

Choice of the operator F is to be so made that the total energy is minimised.

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

$$-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} = f(\vec{r}_i)$$

Modified one-electron operator

Modified interaction

When the 2nd

term is

neglected,

this determinant

is the

unperturbed

ground state

wavefunction.

$$\Phi^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_{1\uparrow}(1) & .. & .. & .. & \psi_{1\uparrow}(N) \\ \psi_{1\downarrow}(1) & .. & .. & .. & \psi_{1\downarrow}(N) \\ .. & .. & .. & \langle j | i \rangle = \psi_i(q_j) & .. \\ \psi_{\frac{N}{2}\uparrow}(1) & .. & .. & .. & \psi_{\frac{N}{2}\uparrow}(N) \\ \psi_{\frac{N}{2}\downarrow}(1) & .. & .. & .. & \psi_{\frac{N}{2}\downarrow}(N) \end{vmatrix}$$

$$[f(\vec{r}) + F(\vec{r})] \psi_{i\sigma}(\vec{r}) = \varepsilon_i \psi_{i\sigma}(\vec{r})$$

with $\psi_{i\sigma}(\vec{r}) = \psi_{i\downarrow}(\vec{r})$ or $\psi_{i\uparrow}(\vec{r})$

ε_i : doubly degenerate, with one

eigenfunction each for spin \uparrow & \downarrow

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

$$-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} = f(\vec{r}_i)$$

Modified one-electron operator Modified interaction

$$\Phi^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_{1\uparrow}(1) & .. & .. & .. & \psi_{1\uparrow}(N) \\ \psi_{1\downarrow}(1) & .. & .. & .. & \psi_{1\downarrow}(N) \\ .. & .. & .. & \langle j | i \rangle = \psi_i(q_j) & .. \\ \psi_{\frac{N}{2}\uparrow}(1) & .. & .. & .. & \psi_{\frac{N}{2}\uparrow}(N) \\ \psi_{\frac{N}{2}\downarrow}(1) & .. & .. & .. & \psi_{\frac{N}{2}\downarrow}(N) \end{vmatrix}$$

Redesignation of the one-particle wavefunctions

as $\psi_1, \psi_2, \psi_3, \dots, \psi_{N-1}, \psi_N$

which constitute the elements of the Slater determinant

$[f(\vec{r}) + F(\vec{r})] \psi_{i\sigma}(\vec{r}) = \varepsilon_i \psi_{i\sigma}(\vec{r})$
 with $\psi_{i\sigma}(\vec{r}) = \psi_{i\downarrow}(\vec{r})$ or $\psi_{i\uparrow}(\vec{r})$

ε_i : doubly degenerate, with one eigenfunction each for spin \uparrow & \downarrow

$$\Phi^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ \psi_2(1) & .. & .. & .. & \psi_2(N) \\ .. & .. & .. & \langle j | i \rangle = \psi_i(q_j) & .. \\ \psi_{N-1}(1) & .. & .. & .. & \psi_{N-1}(N) \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

$$\Phi^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ \psi_2(1) & .. & .. & .. & \psi_2(N) \\ .. & .. & .. & \langle j | i \rangle = \psi_i(q_j) & .. \\ \psi_{N-1}(1) & .. & .. & .. & \psi_{N-1}(N) \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

$$H^{(N)}(q_1, \dots, q_N) = \\ = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) \\ + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

$$[f(\vec{r}) + F(\vec{r})] \psi_{i\sigma}(\vec{r}) = \varepsilon_i \psi_{i\sigma}(\vec{r})$$

i=1,2,3,....., N

ε_i : Lowest N/2 eigenvalues

ε_i : doubly degenerate, with one eigenfunction each for spin \uparrow & \downarrow

Wave functions of the EXCITED unperturbed states are also Nth order determinants, made up eigenfunctions of

but with

$$[f(\vec{r}) + F(\vec{r})] \psi_{i\sigma}(\vec{r}) = \varepsilon_i \psi_{i\sigma}(\vec{r})$$

one or more $\varepsilon_i > \varepsilon_{N/2}$

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$



$$H_1 = \sum_{i=1}^N f(\vec{r}_i) = f$$

$$F = \sum_{i=1}^N F(\vec{r}_i)$$

Modified one-electron operator would contain much/most of the effect of the two-electron terms.

Modified, residual, interaction between pairs of electrons.

This term would be weak, and would be treated perturbatively.

Choice of the operator F is to be made such that the total energy is minimised.

It turns out,

as will be shown presently,

that this happens when: $\langle q | F | p \rangle = \sum_{i=1}^N [\langle iq | v | ip \rangle - \langle qi | v | ip \rangle]$

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

$$-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} = f(\vec{r}_i)$$

Choice of the operator F is to be so made
that the total energy is minimised.

It turns out

that this happens when: $\langle q | F | p \rangle = \sum_{i=1}^N \left[\langle iq | v | ip \rangle - \langle qi | v | ip \rangle \right]$

Remember the two centre COULOMB & EXCHANGE integrals:

$$\langle ij | v | kl \rangle = \int dq_1 \int dq_2 \psi_i^*(q_1) \psi_j^*(q_2) v(q_1, q_2) \psi_k(q_1) \psi_l(q_2)$$

$$\langle iq | v | ip \rangle = \int dq_1 \int dq_2 \psi_i^*(q_1) \psi_q^*(q_2) v(q_1, q_2) \underbrace{\psi_i(q_1) \psi_p(q_2)}_{\text{same}}$$

$$\langle qi | v | ip \rangle = \int dq_1 \int dq_2 \psi_q^*(q_1) \psi_i^*(q_2) v(q_1, q_2) \underbrace{\psi_i(q_1) \psi_p(q_2)}_{\text{same}}$$

Let the ground state unperturbed wave function described above be:

All other single-electron orbitals are the same in

$$\Phi_0^{(N)} = \frac{1}{\sqrt{N!}}$$

$$\begin{vmatrix} \psi_1(1) & .. & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. & .. \\ \psi_p(1) & .. & .. & .. & .. & \psi_p(N) \\ .. & .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

Let an excited state wave function, in which only a single electron from the above state is excited, be:

$$\Phi_q^{(N)} = \frac{1}{\sqrt{N!}}$$

$$\begin{vmatrix} \psi_1(1) & .. & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. & .. \\ \psi_q(1) & .. & .. & .. & .. & \psi_q(N) \\ .. & .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

In the ordered set of the single particle states: $p \leq N$ & $q > N$

$$\begin{aligned}
H^{(N)}(q_1, q_2, \dots, q_N) &= \sum_{i=1}^N \left(-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right) + \sum_{i < j=1}^N \frac{1}{r_{ij}} \\
&= \sum_{i=1}^N h_0(q_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N \frac{1}{r_{ij}} = H_1 + H_2
\end{aligned}$$

Same Slater determinant



$$\langle \Phi^{(N)} | H_1 | \Phi^{(N)} \rangle = \sum_{i=1}^N \langle \alpha_i | f | \alpha_i \rangle = \sum_{i=1}^N \langle i | f | i \rangle$$

$$\langle \Phi^{(N)} | H_2 | \Phi^{(N)} \rangle = \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | v | ij \rangle - \langle ij | v | ji \rangle]$$

$$\begin{aligned}
\langle \Phi^{(N)} | H | \Phi^{(N)} \rangle &= \sum_{i=1}^N \langle i | f | i \rangle + \\
&\quad + \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | v | ij \rangle - \langle ij | v | ji \rangle]
\end{aligned}$$

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

$$H_{approx}^{(N)}(q_1, q_2, \dots, q_N) = \boxed{\sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) = f + F}$$

Note the NOTATION!

$$\Phi_0^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. \\ \psi_p(1) & .. & .. & .. & \psi_p(1) \\ .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix} = \Phi_p^{(N)}$$

$$\Phi_q^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. \\ \psi_q(1) & .. & .. & .. & \psi_q(1) \\ .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

Using same techniques discussed in STiAP Unit 4 L21

Reference → <http://www.nptel.ac.in/downloads/115106057/>

we can find

$$\left\langle \Phi_q^{(N)} \middle| H_{approx}^{(N)}(q_1, q_2, \dots, q_N) \middle| \Phi_p^{(N)} \right\rangle = \left\langle \Phi_q^{(N)} \middle| f + F \middle| \Phi_p^{(N)} \right\rangle = ?$$

slide 14: $[f(\vec{r}) + F(\vec{r})] \psi_{i\sigma}(\vec{r}) = \varepsilon_i \psi_{i\sigma}(\vec{r}) \Rightarrow$

i.e. $[f(\vec{r}) + F(\vec{r})]$ is diagonal in $\{\psi_{i\sigma}(\vec{r})\}$ $\left\langle \Phi_q^{(N)} \middle| f + F \middle| \Phi_p^{(N)} \right\rangle = 0$

$$\underline{H_{approx}^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) = f + F}$$

← operators in
SINGLE COORDINATES

$$\Phi_p^{(N)} = \Phi_0^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. \\ \psi_p(1) & .. & .. & .. & \psi_p(1) \\ .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix} \quad \mid \quad \Phi_q^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. \\ \psi_q(1) & .. & .. & .. & \psi_q(1) \\ .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

$$\left\langle \Phi_q^{(N)} \left| H_{approx}^{(N)}(q_1, q_2, \dots, q_N) \right| \Phi_p^{(N)} \right\rangle = \left\langle \Phi_q^{(N)} \left| f + F \right| \Phi_p^{(N)} \right\rangle = 0$$

$f + F$: diagonal with respect to one-electron functions and $q \neq p$

But, $H^{(N)}(q_1, q_2, \dots, q_N) = \underline{H_{approx}^{(N)}(q_1, q_2, \dots, q_N)} + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$

of which the first term gives $\left\langle \Phi_q^{(N)} \left| H_{approx}^{(N)} \right| \Phi_p^{(N)} \right\rangle = \left\langle \Phi_q^{(N)} \left| f + F \right| \Phi_p^{(N)} \right\rangle = 0$

$$H^{(N)}(q_1, q_2, \dots, q_N) = H_{approx}^{(N)}(q_1, q_2, \dots, q_N) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

of which the first term gives $\langle \Phi_q^{(N)} | H_{approx}^{(N)} | \Phi_p^{(N)} \rangle = 0$

Hence, if we choose F such that

$$\langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle = \langle \Phi_q^{(N)} | \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) | \Phi_p^{(N)} \rangle$$

then we shall get $\langle \Phi_q^{(N)} | H^N | \Phi_p^{(N)} \rangle = 0$

THUS, choose F such that

$$\langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle = \langle \Phi_q^{(N)} | \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) | \Phi_p^{(N)} \rangle$$

$$= \sum_{i=1}^N [\langle iq | v | ip \rangle - \langle qi | v | ip \rangle]$$



Matrix
elements of the
above two
terms would
cancel; equal &
opposite
signs...

in order to get

$$\langle \Phi_q^{(N)} | H^N | \Phi_p^{(N)} \rangle = 0$$

Having shown now that the choice F which gives

$$\langle \Phi_q^{(N)} | \mathbf{F} | \Phi_p^{(N)} \rangle = \left\langle \Phi_q^{(N)} \left| \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N \mathbf{v}(\vec{r}_i, \vec{r}_j) \right| \Phi_p^{(N)} \right\rangle$$

$$= \sum_{i=1}^N \left[\langle iq | \mathbf{v} | ip \rangle - \langle qi | \mathbf{v} | ip \rangle \right]$$

gives us :

$$\langle \Phi_q^{(N)} | \mathbf{H}^N | \Phi_p^{(N)} \rangle = 0 ,$$

we now show that the above choice of F
concurrently gives the best single determinantal
 ground state wave function according to the variation
principle (Hartree-Fock SCF approximation)

NOTE : $\langle \Phi_q^{(N)} | \mathbf{F} | \Phi_p^{(N)} \rangle = \int d^3 \vec{r} \psi_q^*(\vec{r}) F(\vec{r}) \psi_p^*(\vec{r})$

Let us ask: If $\Phi_0^{(N)} = \Phi_p^{(N)}$ were not the correct ground state wavefunction ,

could any other wave function be the ground state?

The most general form in which just one of the constituent spin orbital is different would be

$$\psi = [\Phi_0^{(N)} + \varepsilon \Phi_q^{(N)}],$$

apart from an overall normalization.....

For this wavefunction, the energy functional is:

$$E(\varepsilon) = \frac{\left\langle \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} | H | \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} \right\rangle}{\left\langle \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} | \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} \right\rangle}$$

$$E(\varepsilon) = \frac{\langle \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} | H | \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} \rangle}{\langle \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} | \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} \rangle}$$

$$= \frac{\langle \Phi_0^{(N)} | H | \Phi_0^{(N)} \rangle + \varepsilon \langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \rangle + \varepsilon \langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \rangle + \varepsilon^2 \langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \rangle}{\langle \Phi_0^{(N)} | \Phi_0^{(N)} \rangle + \varepsilon \langle \Phi_q^{(N)} | \Phi_0^{(N)} \rangle + \varepsilon \langle \Phi_0^{(N)} | \Phi_q^{(N)} \rangle + \varepsilon^2 \langle \Phi_q^{(N)} | \Phi_q^{(N)} \rangle}$$

↑ ↑ ↑

orthogonal

$$= \frac{\langle \Phi_0^{(N)} | H | \Phi_0^{(N)} \rangle + \varepsilon \{ \langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \rangle + \langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \rangle \} + \varepsilon^2 \langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \rangle}{\langle \Phi_0^{(N)} | \Phi_0^{(N)} \rangle + \varepsilon^2 \langle \Phi_q^{(N)} | \Phi_q^{(N)} \rangle}$$

$$= \frac{\langle \Phi_0^{(N)} | H | \Phi_0^{(N)} \rangle + \varepsilon \{ \langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \rangle + \langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \rangle \} + \varepsilon^2 \langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \rangle}{1 + \varepsilon^2}$$

$$E(\varepsilon) = \frac{\left\langle \Phi_0^{(N)} | H | \Phi_0^{(N)} \right\rangle + \varepsilon \left\{ \left\langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \right\rangle + \left\langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \right\rangle \right\} + \varepsilon^2 \left\langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \right\rangle}{1 + \varepsilon^2}$$

differentiating with respect to ε

$$\begin{aligned} \frac{d}{d\varepsilon} E(\varepsilon) &= \\ &= \frac{\frac{d}{d\varepsilon} \left[\left\langle \Phi_0^{(N)} | H | \Phi_0^{(N)} \right\rangle + \varepsilon \left\{ \left\langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \right\rangle + \left\langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \right\rangle \right\} + \varepsilon^2 \left\langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \right\rangle \right]}{1 + \varepsilon^2} \\ &\quad \nabla \end{aligned}$$

↗

$$+ \left[\left\langle \Phi_0^{(N)} | H | \Phi_0^{(N)} \right\rangle + \varepsilon \left\{ \left\langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \right\rangle + \left\langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \right\rangle \right\} + \varepsilon^2 \left\langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \right\rangle \right] \frac{d}{d\varepsilon} (1 + \varepsilon^2)^{-1}$$

$$\begin{aligned}
& \frac{d}{d\varepsilon} E(\varepsilon) = \\
&= \frac{\left[\left\{ \langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \rangle + \langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \rangle \right\} + 2\varepsilon \langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \rangle \right]}{1 + \varepsilon^2} \\
&+ \left[\langle \Phi_0^{(N)} | H | \Phi_0^{(N)} \rangle + \varepsilon \left\{ \langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \rangle + \langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \rangle \right\} + \varepsilon^2 \langle \Phi_q^{(N)} | H | \Phi_q^{(N)} \rangle \right] \frac{d}{d\varepsilon} (1 + \varepsilon^2)^{-1}
\end{aligned}$$

$$\frac{d}{d\varepsilon} (1 + \varepsilon^2)^{-1} = -1 (1 + \varepsilon^2)^{-2} \times 2\varepsilon = -\frac{2\varepsilon}{(1 + \varepsilon^2)^2} = -\frac{2\varepsilon}{(1 + 2\varepsilon^2 + \varepsilon^4)},$$

which goes to zero as $\varepsilon \rightarrow 0$

$$\left[\frac{d}{d\varepsilon} E(\varepsilon) \right]_{\varepsilon \rightarrow 0} = \frac{\left[\left\{ \langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \rangle + \langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \rangle \right\} \right]}{1}$$

$$\left[\frac{d}{d\varepsilon} E(\varepsilon) \right]_{\varepsilon \rightarrow 0} = \frac{\left[\left\{ \langle \Phi_0^{(N)} | H | \Phi_q^{(N)} \rangle + \langle \Phi_q^{(N)} | H | \Phi_0^{(N)} \rangle \right\} \right]}{1}$$

But we had seen that the choice F which gives

$$\langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle = \langle \Phi_q^{(N)} | \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) | \Phi_p^{(N)} \rangle$$

$$= \sum_{i=1}^N [\langle iq | v | ip \rangle - \langle qi | v | ip \rangle]$$

gave us :

$$\langle \Phi_q^{(N)} | H^N | \Phi_p^{(N)} \rangle = 0$$

$$\Rightarrow \left[\frac{d}{d\varepsilon} E(\varepsilon) \right]_{\varepsilon \rightarrow 0} = 0$$

we get the best single
determinantal ground state
wave function according to
the variation principle

$E(\varepsilon)$: extremum ... minimum

$$\begin{aligned} \Phi_0^{(N)} &= \frac{1}{\sqrt{N!}} | \rangle_{SD} \\ &= \Phi_p^{(N)} \end{aligned}$$

Thus, the choice F which gives

$$\begin{aligned}\langle \Phi_q^{(N)} | F | \Phi_0^{(N)} \rangle &= \left\langle \Phi_q^{(N)} \left| \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) \right| \Phi_0^{(N)} \right\rangle \\ &= \sum_{i=1}^N \left[\langle iq | v | ip \rangle - \langle qi | v | ip \rangle \right]\end{aligned}$$

gives us:

$$\langle \Phi_q^{(N)} | H^N | \Phi_0^{(N)} \rangle = 0$$

and it gives the best single determinantal ground state wave function according to the variation principle

since $\varepsilon \rightarrow 0$ MINIMISES the variational energy functional:

$$E(\varepsilon) = \frac{\left\langle \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} | H | \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} \right\rangle}{\left\langle \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} | \Phi_0^{(N)} + \varepsilon \Phi_q^{(N)} \right\rangle}$$



Hartree-Fock approximation.

Questions: pcd@physics.iitm.ac.in

PCD STiTACS Unit 3 Electron Gas in HF & RPA

Select/Special Topics from 'Theory of Atomic Collisions and Spectroscopy'

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Unit 3

Lecture Number 17

***Electron Gas in Hartree Fock and Random
Phase Approximations***

HF SCF for Free Electron Gas

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

$$H_{approx}^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) = f + F$$

$$\Phi_0^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. \\ \psi_p(1) & .. & .. & .. & \psi_p(N) \\ .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

NOTE

$$\Phi_q^{(N)} = \frac{1}{\sqrt{N!}}$$

$$\begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. \\ \psi_q(1) & .. & .. & .. & \psi_q(N) \\ .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

The variational function we

considered is: $\psi = [\Phi_0^{(N)} + \epsilon \Phi_q^{(N)}]$

Hartree Fock: **FROZEN ORBITAL APPROXIMATION**

Spin / statistical / Fermi correlations included

Coulomb correlations ignored

... Variation considered is in
just **one** orbital

All other orbitals **FROZEN**

STiAP Unit 4 L21
Reference→

<http://www.nptel.ac.in/downloads/115106057/>

Recall!

Hartree Fock Self Consistent Field Equation:
Special>Select Topic in Atomic Physics
STiAP Unit 4
Reference→

<http://www.nptel.ac.in/downloads/115106057>
Specifically, the HF SCF equation as it appears
on slide number 104

$$\begin{aligned} & f(\vec{r}_1) u_i(\vec{r}_1) + \\ & \sum_j \left[\int dV_2 \frac{u_j^*(\vec{r}_2)}{r_{12}} \left(u_i(\vec{r}_1) u_j(\vec{r}_2) - \delta(m_{s_i}, m_{s_j}) u_i(\vec{r}_2) u_j(\vec{r}_1) \right) \right] \\ & = \varepsilon_i u_i(\vec{r}_1) \end{aligned}$$

$$f(\vec{r}_1)u_i(\vec{r}_1) + \sum_j \left[\int dV_2 \frac{u_j^*(\vec{r}_2)}{r_{12}} \left(u_i(\vec{r}_1)u_j(\vec{r}_2) - \delta(m_{s_i}, m_{s_j})u_i(\vec{r}_2)u_j(\vec{r}_1) \right) \right] = \epsilon_i u_i(\vec{r}_1)$$

Change of notation slightly:

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N \left(-\frac{\hbar^2}{2m} \nabla_i^2 - \frac{Ze^2}{r_i} \right) + \sum_{i < j=1}^N \frac{e^2}{r_{ij}}$$

$$= \sum_{i=1}^N f(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N \frac{e^2}{r_{ij}} = H_1 + H_2$$

$$i \rightarrow p; j \rightarrow i; u(\vec{r}) \rightarrow \psi(\vec{r})$$

$$\vec{r}_1 \rightarrow \vec{r}; \vec{r}_2 \rightarrow \vec{r}'$$

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\vec{r}) +$$

Notation changed only to bring it closer to that in Raimes: 'Many Electron Theory' (1972; North Holland)

$$\sum_{i=1}^N \left[\int dV' \frac{\psi_i^*(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \left(\psi_p(\vec{r})\psi_i(\vec{r}') - \delta(m_{s_p}, m_{s_i})\psi_p(\vec{r}')\psi_i(\vec{r}) \right) \right]$$

$$= \epsilon_p \psi_p(\vec{r})$$

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\vec{r}) + \sum_{i=1}^N \left[\int dV' \frac{\psi_i^*(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \left(\psi_p(\vec{r}) \psi_i(\vec{r}') - \delta(m_{s_p}, m_{s_i}) \psi_p(\vec{r}') \psi_i(\vec{r}) \right) \right] = \varepsilon_p \psi_p(\vec{r})$$

Writing the terms in the bracket separately:
coulomb

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\xi) + \sum_{i=1}^N \left[\int d^4V' \frac{\psi_i^*(\xi') \psi_i(\xi') \psi_p(\xi) e^2}{|\vec{r} - \vec{r}'|} \right]$$

Includes sum over discrete spin variable

$$- \sum_{i=1}^N \delta(m_{s_p}, m_{s_i}) \left[\int d^4V' \frac{\psi_i^*(\xi') (\psi_p(\xi') \psi_i(\xi)) e^2}{|\vec{r} - \vec{r}'|} \right] = \varepsilon_p \psi_p(\xi)$$

exchange

Non-ferromagnetic systems: equal number of \uparrow & \downarrow

ε_i : doubly degenerate; one eigenfunction each for spin \uparrow & \downarrow

Ground state Slater determinant contains the set of one-electron orbitals:

$$\psi_1, \psi_2, \psi_3, \dots, \psi_{N-1}, \psi_N \equiv \psi_{1\uparrow}, \psi_{1\downarrow}, \psi_{2\uparrow}, \psi_{2\downarrow}, \dots, \psi_{\frac{N}{2}\uparrow}, \psi_{\frac{N}{2}\downarrow}$$

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\xi) + \sum_{i=1}^N \left[\int d^4V' \frac{\psi_i^*(\xi') \psi_i(\xi') \psi_p(\xi) e^2}{|\vec{r} - \vec{r}'|} \right]$$

$$-\sum_{i=1}^N \delta(m_{s_p}, m_{s_i}) \left[\int d^4V' \frac{\psi_i^*(\xi') (\psi_p(\xi') \psi_i(\xi)) e^2}{|\vec{r} - \vec{r}'|} \right] = \varepsilon_p \psi_p(\xi)$$

Carrying out the discrete sum over the spin variables:

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\vec{r}) + 2 \sum_{i=1}^{N/2} \left[\int dV' \frac{\psi_i^*(\vec{r}') \psi_i(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \right] \psi_p(\vec{r})$$

$$-\sum_{i=1}^{N/2} \left[\int dV' \frac{\psi_i^*(\vec{r}') \psi_p(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \right] \psi_i(\vec{r}) = \varepsilon_p \psi_p(\vec{r})$$

Hartree-Fock one electron Self consistent field equation.

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\vec{r}) + 2 \sum_{i=1}^{N/2} \left[\int dV' \frac{\psi_i^*(\vec{r}') \psi_i(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \right] \psi_p(\vec{r})$$

$$-\sum_{i=1}^{N/2} \left[\int dV' \frac{\psi_i^*(\vec{r}') \psi_p(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \right] \psi_i(\vec{r}) = \varepsilon_p \psi_p(\vec{r})$$

$\frac{e^2}{|\vec{r} - \vec{r}'|} = v(\vec{r}, \vec{r}')$ *Coulomb interaction*

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\vec{r}) + 2 \sum_{i=1}^{N/2} \left[\int dV' \left| \psi_i(\vec{r}') \right|^2 v(\vec{r}, \vec{r}') \right] \psi_p(\vec{r})$$

coulomb —

$$-\sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right] = \varepsilon_p \psi_p(\vec{r})$$

exchange

Recall

that **IF** $H = H_0 + H'$

$$= \sum_{i=1}^N f(q_i) + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N v(q_i, q_j)$$

$$f(q_i) = \left(-\frac{1}{2} \nabla_i^2 - \frac{Z}{r_i} \right) \quad \boxed{i \neq j}$$

Many-Electron
Hamiltonian
in the notation of
**FIRST
QUANTIZATION**

THEN

$$H = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | kl \rangle c_l c_k$$

Many-Electron Hamiltonian
in the notation of
SECOND QUANTIZATION

$$\langle ij | v | kl \rangle = \int dq_1 \int dq_2 \psi_i^*(q_1) \psi_j^*(q_2) v(q_1, q_2) \psi_k(q_1) \psi_l(q_2)$$

IF

$$H = \sum_{i=1}^N f(q_i) + \frac{1}{2} \sum_{i=1}^N \sum_{j=1, j \neq i}^N v(q_i, q_j)$$

I Q**THEN**

$$H = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | kl \rangle c_l c_k$$

II Q**Hence, IF**

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N v(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

I Q**THEN**

$$H = \sum_i \sum_j c_i^\dagger \langle i | f + F | j \rangle c_j$$

II Q

$$+ \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | kl \rangle c_l c_k - \sum_i \sum_j c_i^\dagger \langle i | F | j \rangle c_j$$

Raimes / Many Electron Theory / Eq.3.27; page 55

$$\Phi_q^{(N)} = \frac{1}{\sqrt{N!}} \begin{vmatrix} \psi_1(1) & .. & .. & .. & \psi_1(N) \\ .. & .. & .. & .. & .. \\ \psi_q(1) & .. & .. & .. & \psi_q(1) \\ .. & .. & .. & .. & .. \\ \psi_N(1) & .. & .. & .. & \psi_N(N) \end{vmatrix}$$

$$H = \sum_{i=1}^N f(q_i) + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \text{v}(q_i, q_j)$$

$i \neq j$

$$H^{(N)}(q_1, q_2, \dots, q_N) = \sum_{i=1}^N f(\vec{r}_i) + \sum_{i=1}^N F(\vec{r}_i) + \frac{1}{2} \sum_{i=1; i \neq j}^N \sum_{j=1}^N \text{v}(\vec{r}_i, \vec{r}_j) - \sum_{i=1}^N F(\vec{r}_i)$$

From slide # 24, U3L17:

$$\langle \Phi_q^{(N)} | \textcolor{violet}{F} | \Phi_0^{(N)} \rangle = \langle \Phi_q^{(N)} | \textcolor{violet}{F} | \Phi_p^{(N)} \rangle = \sum_{i=1}^N [\langle iq | \text{v} | ip \rangle - \langle qi | \text{v} | ip \rangle]$$


$$(f + F) \phi_j(q) = \varepsilon_j \phi_j(q)$$

Eigenfunctions of the single particle operator

$$\langle i | (f + F) | j \rangle = \varepsilon_j \langle i | j \rangle = \varepsilon_j \delta_{ij}$$

$$\langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle = \sum_{i=1}^N [\langle iq | v | ip \rangle - \langle qi | v | ip \rangle]$$

$$\begin{aligned} \langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle &= \sum_{i=1}^N \iint d^4\xi_1 d^4\xi_2 \psi_i^*(\xi_1) \psi_q^*(\xi_2) v(\vec{r}_1, \vec{r}_2) \psi_i(\xi_1) \psi_p(\xi_2) \\ &\quad - \sum_{i=1}^N \iint d^4\xi_1 d^4\xi_2 \psi_q^*(\xi_1) \psi_i^*(\xi_2) v(\vec{r}_1, \vec{r}_2) \psi_i(\xi_1) \psi_p(\xi_2) \end{aligned}$$

$$\begin{aligned} \langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle &= \sum_{i=1}^N \int d^4\xi_2 \psi_q^*(\xi_2) \left[\int d^4\xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \right] \psi_p(\xi_2) \\ &\quad - \sum_{i=1}^N \int d^4\xi_1 \psi_q^*(\xi_1) \left[\int d^4\xi_2 \psi_i^*(\xi_2) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_2) \right] \psi_i(\xi_1) \end{aligned}$$

interchanging $\xi_1 \rightleftharpoons \xi_2$ in the second (exchange) term:

$$\begin{aligned} \langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle &= \sum_{i=1}^N \int d^4\xi_2 \psi_q^*(\xi_2) \left[\int d^4\xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \right] \psi_p(\xi_2) \\ &\quad - \sum_{i=1}^N \int d^4\xi_2 \psi_q^*(\xi_2) \left[\int d^4\xi_1 \psi_i^*(\xi_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_1) \right] \psi_i(\xi_2) \end{aligned}$$

$$\begin{aligned} \langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle &= \sum_{i=1}^N \int d^4 \xi_2 \psi_q^*(\xi_2) \left[\int d^4 \xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \right] \psi_p(\xi_2) \\ &\quad - \sum_{i=1}^N \int d^4 \xi_2 \psi_q^*(\xi_2) \left[\int d^4 \xi_1 \psi_i^*(\xi_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_1) \right] \psi_i(\xi_2) \end{aligned}$$

$$\langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle = \sum_{i=1}^N \int d^4 \xi_2 \psi_q^*(\xi_2) \left\{ \begin{array}{l} \left[\int d^4 \xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_2) \right] \\ - \left[\int d^4 \xi_1 \psi_i^*(\xi_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_1) \psi_i(\xi_2) \right] \end{array} \right\}$$

$$\langle \Phi_q^{(N)} | F | \Phi_p^{(N)} \rangle = \int d^4 \xi_2 \psi_q^*(\xi_2) \sum_{i=1}^N \left\{ \begin{array}{l} \left[\int d^4 \xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_2) \right] \\ - \left[\int d^4 \xi_1 \psi_i^*(\xi_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_1) \psi_i(\xi_2) \right] \end{array} \right\}$$

↓

$$\Rightarrow F \psi_p(\xi_2) = \sum_{i=1}^N \left\{ \begin{array}{l} \left[\int d^4 \xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_2) \right] \\ - \left[\int d^4 \xi_1 \psi_i^*(\xi_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_1) \psi_i(\xi_2) \right] \end{array} \right\}$$

Raimes / Many Electron Theory / Eq.3.19; page 52

$$\Rightarrow F\psi_p(\xi_2) = \sum_{i=1}^N \left\{ \left[\int d^4\xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_2) \right] - \left[\int d^4\xi_1 \psi_i^*(\xi_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_1) \psi_i(\xi_2) \right] \right\}$$

\Rightarrow

$$F\psi_p(\xi_2) = \sum_{i=1}^N \int d^4\xi_1 |\psi_i(\xi_1)|^2 v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_2) - \sum_{i=1}^N \int d^4\xi_1 \psi_i^*(\xi_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\xi_1) \psi_i(\xi_2)$$

carrying out the summation over the discrete spin variable:

$$F\psi_p(\vec{r}_2) = 2 \sum_{i=1}^{N/2} \int d^3\vec{r}_1 |\psi_i(\vec{r}_1)|^2 v(\vec{r}_1, \vec{r}_2) \psi_p(\vec{r}_2) - \sum_{i=1}^{N/2} \int d^3\vec{r}_1 \psi_i^*(\vec{r}_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\vec{r}_1) \psi_i(\vec{r}_2)$$

carrying out the summation over the discrete spin variable:

$$F\psi_p(\vec{r}_2) = 2 \sum_{i=1}^{N/2} \int d^3\vec{r}_1 |\psi_i(\vec{r}_1)|^2 v(\vec{r}_1, \vec{r}_2) \psi_p(\vec{r}_2)$$

$$- \sum_{i=1}^{N/2} \int d^3\vec{r}_1 \psi_i^*(\vec{r}_1) v(\vec{r}_1, \vec{r}_2) \psi_p(\vec{r}_1) \psi_i(\vec{r}_2)$$

$$\vec{r}_1 \rightarrow \vec{r}'$$

$$\vec{r}_2 \rightarrow \vec{r}$$

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\vec{r}) + 2 \sum_{i=1}^{N/2} \left[\int dV' \frac{\psi_i^*(\vec{r}') \psi_i(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \right] \psi_p(\vec{r})$$

$$- \sum_{i=1}^{N/2} \left[\int dV' \frac{\psi_i^*(\vec{r}') \psi_p(\vec{r}') e^2}{|\vec{r} - \vec{r}'|} \right] \psi_i(\vec{r}) = \varepsilon_p \psi_p(\vec{r})$$

HF-SCF Eq.

$$\left(-\frac{\hbar^2}{2m} \nabla^2 - \frac{Ze^2}{r} \right) \psi_p(\vec{r}) + F\psi_p(\vec{r}) = [f + F]\psi_p(\vec{r}) = \varepsilon_p \psi_p(\vec{r})$$

Raiems / Many Electron Theory / Eq.3.23; page 53

*Recall, from Special>Select Topics in Atomic Physics,
STiAP: Unit 4, Lecture 23, Slide 111*

Hartree-Fock Self-Consistent Field formalism

Reference→ <http://www.nptel.ac.in/downloads/115106057>

$$\begin{aligned}
 E(N) &= \langle \psi^{(N)} | H | \psi^{(N)} \rangle \\
 &= \sum_{i=1}^N \varepsilon_i - \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | v | ij \rangle - \langle ij | v | ji \rangle] \quad \text{Raimes, Eq.3.35}
 \end{aligned}$$

slide 14: $[f(\vec{r}) + F(\vec{r})]\psi_{i\sigma}(\vec{r}) = \varepsilon_i \psi_{i\sigma}(\vec{r})$

i.e. $[f(\vec{r}) + F(\vec{r})]$ is diagonal in $\{\psi_{i\sigma}(\vec{r})\}$

$$\Rightarrow \langle i | f + F | i \rangle = \varepsilon_i = \langle i | f | i \rangle + \langle i | F | i \rangle$$

$$E(N) = \sum_{i=1}^N [\langle i | f | i \rangle + \langle i | F | i \rangle] - \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | v | ij \rangle - \langle ij | v | ji \rangle]$$

Raimes, Eq.3.36

$$E(N) = \sum_{i=1}^N [\langle i | f | i \rangle + \langle i | F | i \rangle] - \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | v | ij \rangle - \langle ij | v | ji \rangle]$$

$$\frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | v | ij \rangle - \langle ij | v | ji \rangle] = -E(N) + \sum_{i=1}^N [\langle i | f | i \rangle + \langle i | F | i \rangle]$$

Also,

$$\langle \Phi^{(N)} | H | \Phi^{(N)} \rangle = E(N) = \sum_{i=1}^N \langle i | f | i \rangle + \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | v | ij \rangle - \langle ij | v | ji \rangle]$$

$$\Rightarrow$$

$$E(N) = \sum_{i=1}^N \langle i | f | i \rangle - E(N) + \sum_{i=1}^N [\langle i | f | i \rangle + \langle i | F | i \rangle]$$

$$\Rightarrow$$

$$E(N) = \frac{1}{2} \left\{ \sum_{i=1}^N \langle i | f | i \rangle + \sum_{i=1}^N [\langle i | f | i \rangle + \langle i | F | i \rangle] \right\} = \frac{1}{2} \left\{ \sum_{i=1}^N \langle i | f | i \rangle + \sum_{i=1}^N \varepsilon_i \right\}$$

Raimes, Many Electron Theory Eq.3.38 / page 56

Hartree Fock Self Consistent Field for the Free Electron Gas

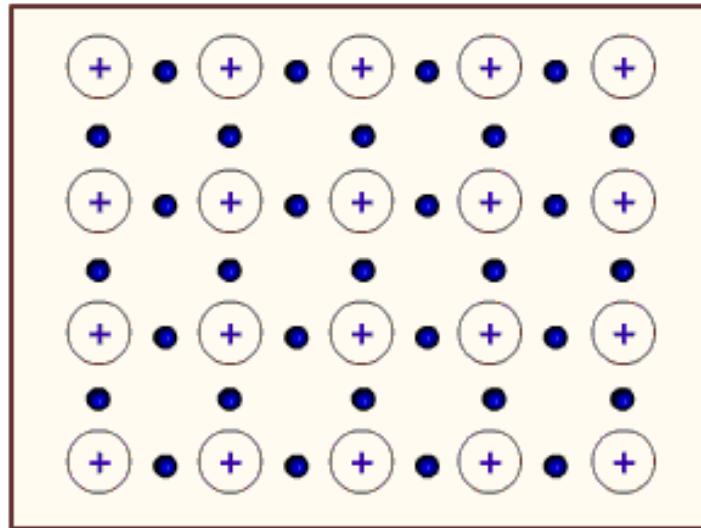
For FEG, the HF-SCF can be obtained ANALYTICALLY

- FEG → only many-electron system for which HF-SCF can be obtained ANALYTICALLY

'free'

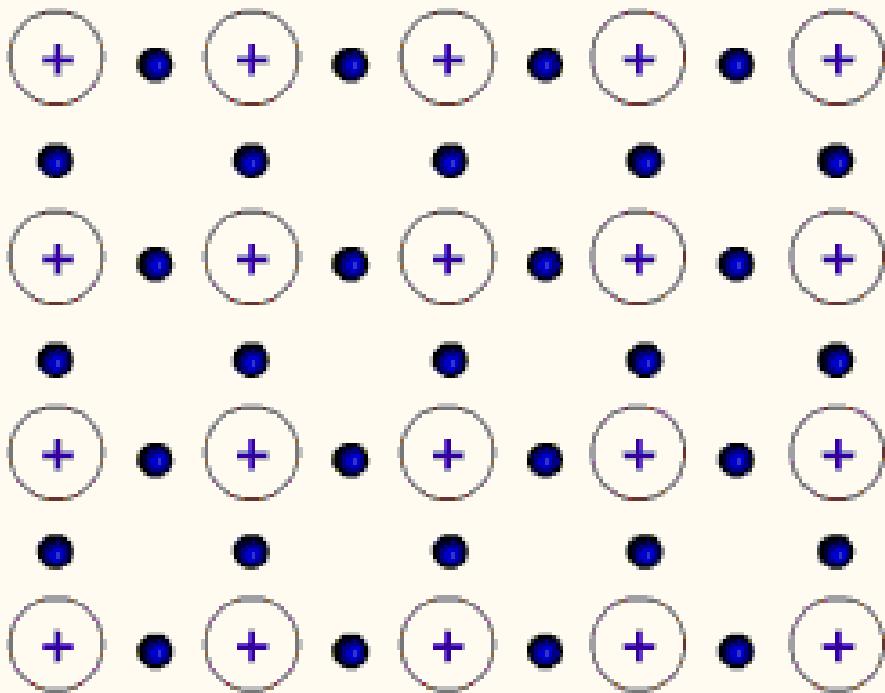
in $V=0$

No interaction
with any
external field



What
about the
effect of
the
positive
nuclei?

Fermi gas of electrons which
interact only with each other.



discrete positive charges in the nuclei considered smeared out, like jelly beans into a jellium.

Whole system: electrically neutral.



Positive charge density
 $\rho = \frac{Ne}{V}$
 smeared out uniformly.

$$n_x \lambda_x = L$$

$$n_x \frac{2\pi}{k_x} = L; \quad k_x = \frac{2\pi n_x}{L}$$

$$\vec{k} = \frac{2\pi}{L} (n_x \hat{e}_x + n_y \hat{e}_y + n_z \hat{e}_z)$$

N electrons in a cubical box.

Each side has length = L

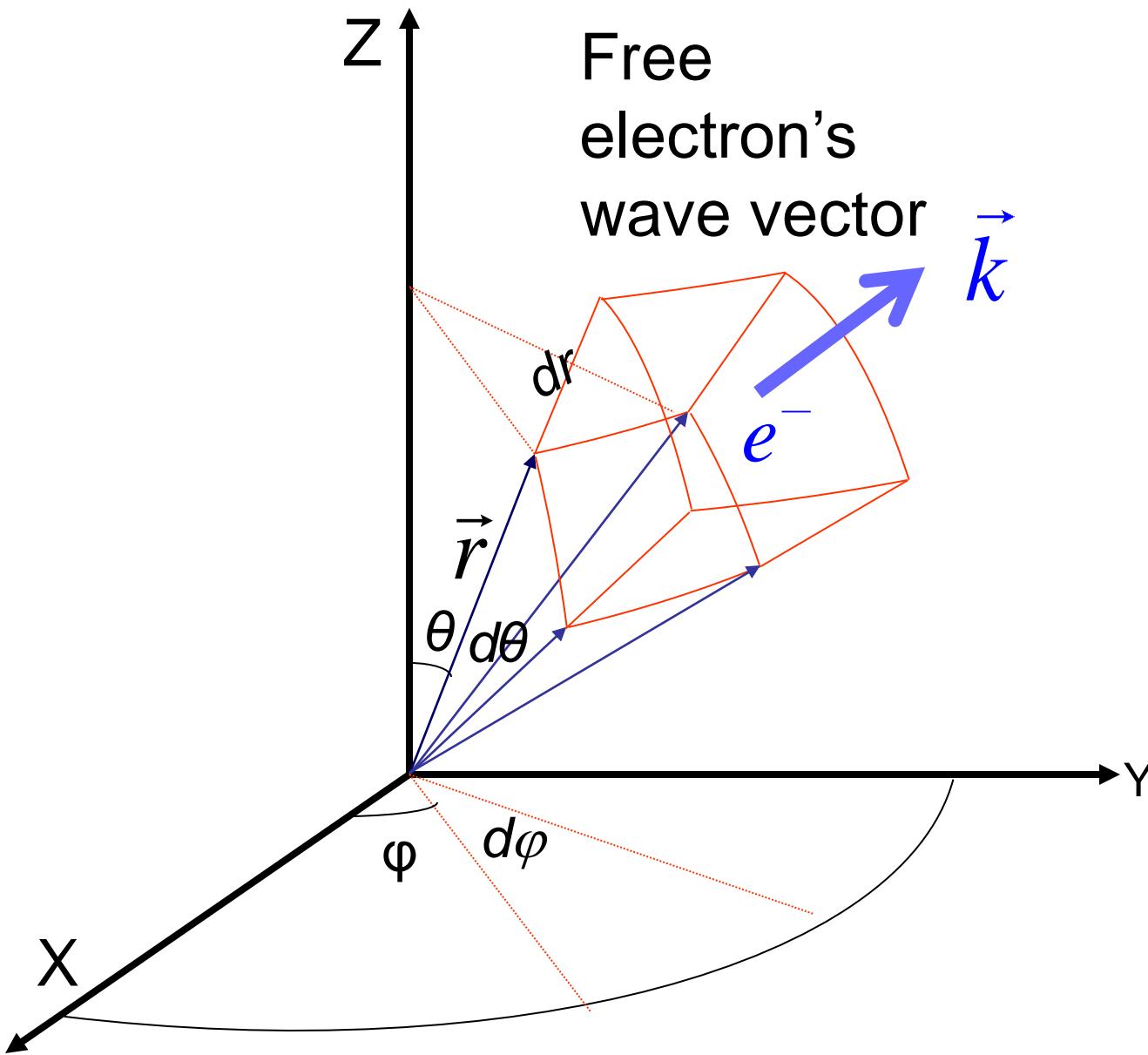
Volume of the box = $V = L^3$

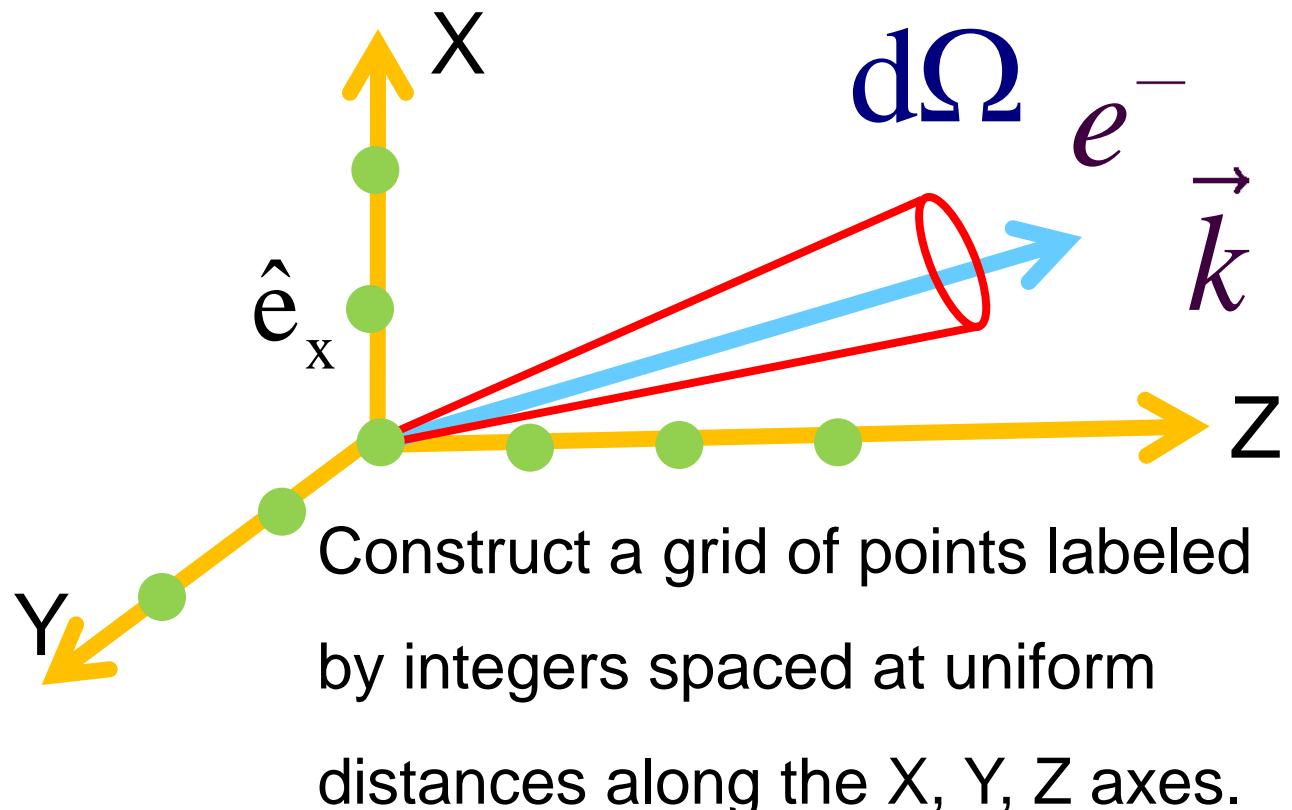
Box normalization
 with Born von Karmann boundary conditions

How many wavelengths fit in the box?

$$\Psi_{\vec{k}\sigma}(\vec{r}) = \left(\frac{1}{\sqrt{L^3}} e^{i\vec{k}\cdot\vec{r}} \right) \chi_\sigma(\zeta)$$

orbital part spin part



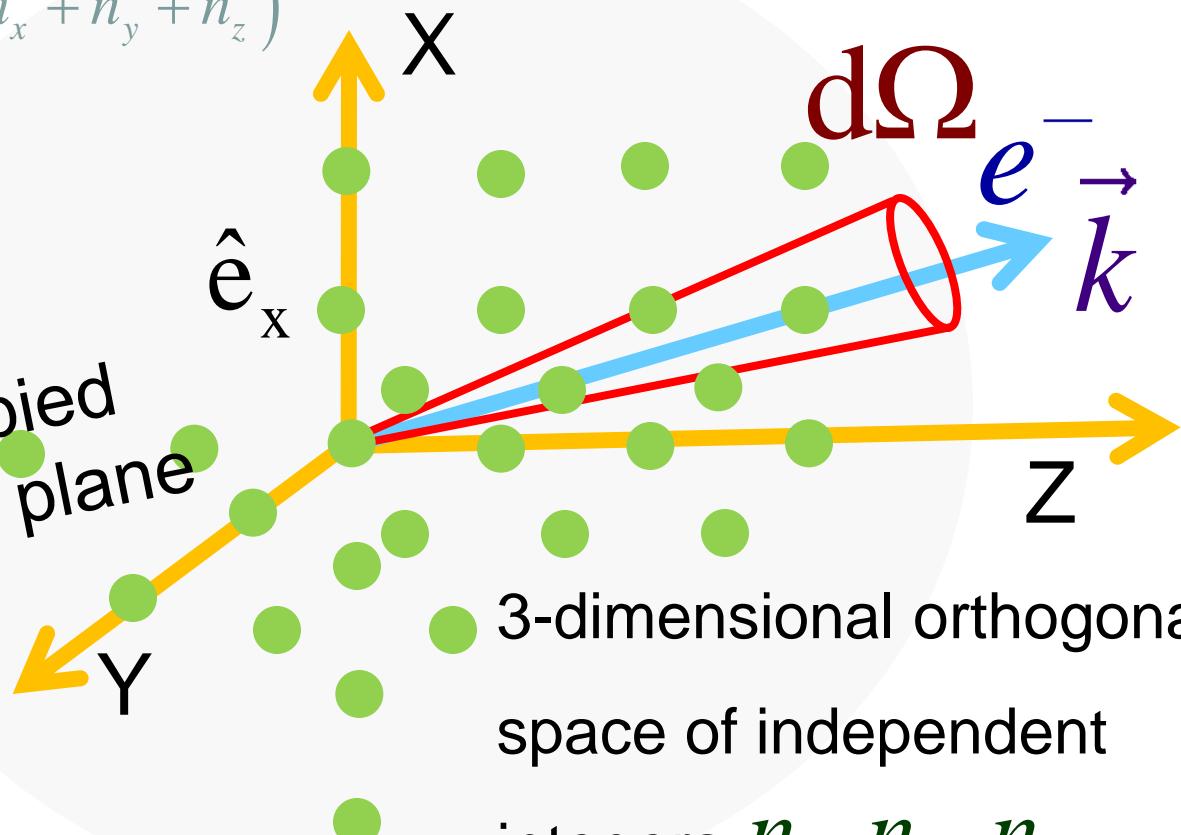


$$E = \frac{\hbar^2 k^2}{2m} = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2)$$

$$E = \frac{\hbar^2}{2m} \left(\frac{2\pi}{L} \right)^2 (n_x^2 + n_y^2 + n_z^2)$$

$$E = \frac{2\pi^2 \hbar^2}{m L^2} n^2$$

Fermi sphere
Lowest occupied
free electron plane
wave states



states with different n_x, n_y, n_z
 $(n_x^2 + n_y^2 + n_z^2) = n^2$ are degenerate

HF equation

$$\begin{aligned}
 & -\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) \\
 & + V(\vec{r}) \psi_p(\vec{r}) \\
 & + 2 \sum_{i=1}^{N/2} \left[\int dV' |\psi_i(\vec{r}')|^2 v(\vec{r}, \vec{r}') \right] \psi_p(\vec{r}) \\
 & - \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right]
 \end{aligned}$$

attractive
 jellium
 potential
 electron-electron
 Coulomb repulsion
 electron-electron

exchange
 interaction

$$= \varepsilon_p \psi_p(\vec{r})$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) - \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right] = \varepsilon_p \psi_p(\vec{r})$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) - \left[\sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right] \right] = \epsilon_p \psi_p(\vec{r})$$

Recall, from Special>Select Topics in Atomic Physics,
STiAP Unit 4, Lecture 23, Slide 118 HF SCF formalism
Reference → <http://www.nptel.ac.in/downloads/115106057>

$$V_i^{exchange}(q) \psi_p(q) = \psi_i(q) \left[\int dq' \frac{\psi_i^*(q') \psi_p(q')}{|\vec{r} - \vec{r}'|} \right]$$

Sum
over i

$$\sum_{i=1}^{N/2} V_i^{exchange}(q)$$

$$\psi_p(q) = \sum_{i=1}^{N/2} \psi_i(q) \left[\int dq' \frac{\psi_i^*(q') \psi_p(q')}{|\vec{r} - \vec{r}'|} \right]$$

$$\sum_{\zeta'} \langle m_{s_i} | \zeta' \rangle \langle \zeta' | m_{s_p} \rangle = \delta_{m_{s_i}, m_{s_p}}$$

$$V^{exchange}(q) \psi_p(q) = \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int d^3 \vec{r}' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right]$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) - \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right] = \varepsilon_p \psi_p(\vec{r})$$

V^{exchange}(q)ψ_p(q) = ∑_{i=1}^{N/2} ψ_i(r) [∫ d³r' ψ_i^{}(r') ψ_p(r') v(r, r')]*

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) - V^{exchange}(q) \psi_p(q) = \varepsilon_p \psi_p(\vec{r})$$

i.e.

$$\left[-\frac{\hbar^2}{2m} \nabla^2 - V^{exchange}(q) \right] \psi_p(q) = \varepsilon_p \psi_p(\vec{r})$$

$$\left[-\frac{\hbar^2}{2m} \nabla^2 + F_{exchange}(q) \right] \psi_p(q) = \varepsilon_p \psi_p(\vec{r})$$

$$F_{exchange}(q) = -V^{exchange}(q)$$

Raimes, Many Electron Theory Eq.3.44 / page 58

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) - \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right] = \varepsilon_p \psi_p(\vec{r})$$

Note sign

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_{\vec{k}}(\vec{r}_1) - \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \psi_{\vec{k}'}^*(\vec{r}_2) \psi_{\vec{k}}(\vec{r}_2) v(\vec{r}_1, \vec{r}_2) \{ \psi_{\vec{k}'}(\vec{r}_1) \} \right] = \varepsilon(\vec{k}) \psi_{\vec{k}}(\vec{r}_1)$$

Exchange Term
Slide 57

$$\psi_{\vec{k}\sigma}(\vec{r}) = \left(\frac{1}{\sqrt{L^3}} e^{i\vec{k} \cdot \vec{r}} \right) \chi_\sigma(\zeta)$$

orbital part spin part

Note sign

$$-\frac{e^2}{L^3} \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_2} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k}' \cdot \vec{r}_1} \right\}}{r_{12}} \right] = ET, S57$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_{\vec{k}}(\vec{r}_1) - \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \psi_{\vec{k}'}^*(\vec{r}_2) \psi_{\vec{k}}(\vec{r}_2) v(\vec{r}_1, \vec{r}_2) \psi_{\vec{k}'}(\vec{r}_1) \right] = \varepsilon(\vec{k}) \psi_{\vec{k}}(\vec{r}_1)$$



$$-\frac{e^2}{L^3} \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_2} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k}' \cdot \vec{r}_1} \right\}}{r_{12}} \right] = ET, S57$$



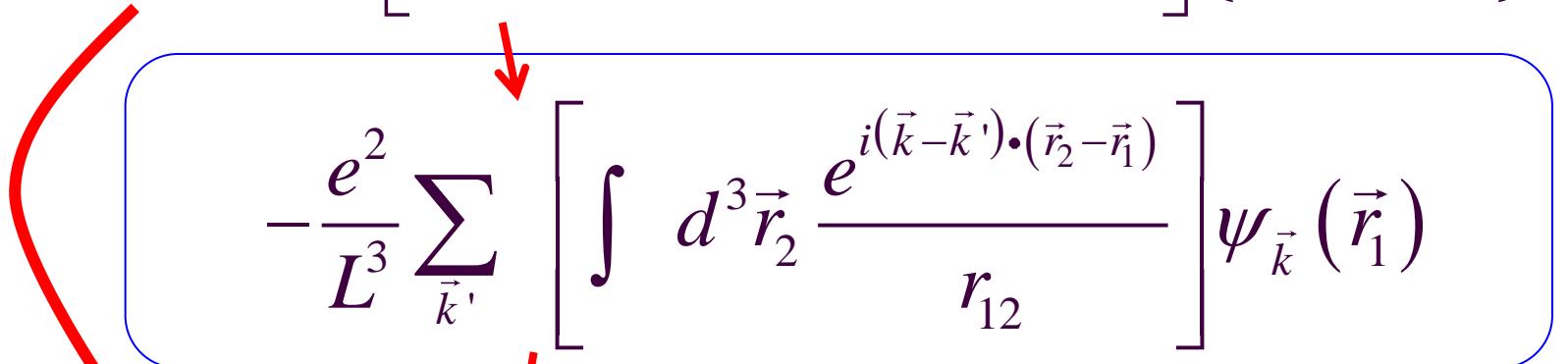
$$-\frac{e^2}{L^3} \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_2} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k}' \cdot \vec{r}_1} \right\} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k} \cdot \vec{r}_1} \right\}}{r_{12} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k} \cdot \vec{r}_1} \right\}} \right]$$

$$-\frac{e^2}{L^3} \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k} - \vec{k}') \cdot \vec{r}_2} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k}' \cdot \vec{r}_1} \right\} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k} \cdot \vec{r}_1} \right\}}{r_{12} \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k} \cdot \vec{r}_1} \right\}} \right]$$

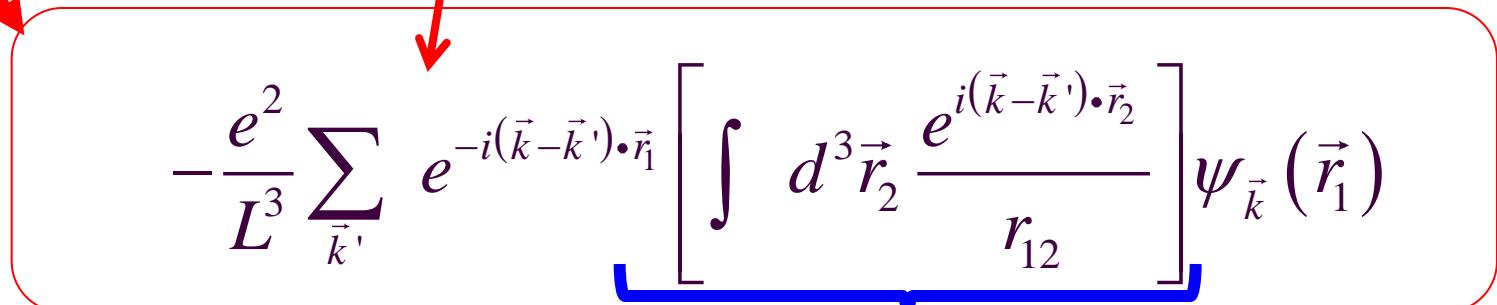
$$-\frac{e^2}{L^3} \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k} - \vec{k}') \cdot \vec{r}_2} \left\{ e^{-i(\vec{k} - \vec{k}') \cdot \vec{r}_1} \right\}}{r_{12}} \right] \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k} \cdot \vec{r}_1} \right\}$$

ET, S57 =

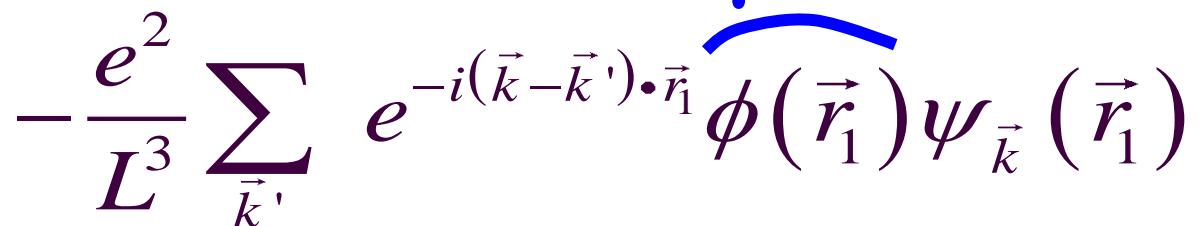
$$-\frac{e^2}{L^3} \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_2} \left\{ e^{-i(\vec{k}-\vec{k}') \cdot \vec{r}_1} \right\}}{r_{12}} \right] \left\{ \frac{1}{\sqrt{L^3}} e^{i\vec{k} \cdot \vec{r}_1} \right\}$$



$$-\frac{e^2}{L^3} \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k}-\vec{k}') \cdot (\vec{r}_2 - \vec{r}_1)}}{r_{12}} \right] \psi_{\vec{k}}(\vec{r}_1)$$



$$-\frac{e^2}{L^3} \sum_{\vec{k}'} e^{-i(\vec{k}-\vec{k}') \cdot \vec{r}_1} \left[\int d^3 \vec{r}_2 \frac{e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_2}}{r_{12}} \right] \psi_{\vec{k}}(\vec{r}_1)$$



$$-\frac{e^2}{L^3} \sum_{\vec{k}'} e^{-i(\vec{k}-\vec{k}') \cdot \vec{r}_1} \overbrace{\phi(\vec{r}_1)}^{\text{blue bracket}} \psi_{\vec{k}}(\vec{r}_1)$$

$$ET, S57 = -\frac{e^2}{L^3} \sum_{\vec{k}'} e^{-i(\vec{k}-\vec{k}') \cdot \vec{r}_1} \phi(\vec{r}_1) \psi_{\vec{k}}(\vec{r}_1)$$

Exchange
Term

$$\phi(\vec{r}_1) = \int d^3 \vec{r}_2 \frac{e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_2}}{r_{12}} = \frac{4\pi e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_1}}{|\vec{k} - \vec{k}'|^2}$$

The Wave Mechanics of Electrons in Metals – by Stanley Raimes, page 170, Eq.7.40

$$ET, S57 =$$

$$= -\frac{e^2}{L^3} \sum_{\vec{k}'} e^{-i(\vec{k}-\vec{k}') \cdot \vec{r}_1} \frac{4\pi e^{i(\vec{k}-\vec{k}') \cdot \vec{r}_1}}{|\vec{k} - \vec{k}'|^2} \psi_{\vec{k}}(\vec{r}_1)$$

Subscript,
not argument

$$= -\frac{4\pi e^2}{L^3} \sum_{\vec{k}'} \frac{1}{|\vec{k} - \vec{k}'|^2} \psi_{\vec{k}}(\vec{r}_1) = \boxed{\varepsilon_{\vec{k}}} \psi_{\vec{k}}(\vec{r}_1)$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_{\vec{k}}(\vec{r}_1) - \sum_{\vec{k}'} \left[\int d^3 \vec{r}_2 \psi_{\vec{k}'}^*(\vec{r}_2) \psi_{\vec{k}}(\vec{r}_2) v(\vec{r}_1, \vec{r}_2) \psi_{\vec{k}'}(\vec{r}_1) \right] = \varepsilon(\vec{k}) \psi_{\vec{k}}(\vec{r}_1)$$

$\Rightarrow = \varepsilon_{\vec{k}} \psi_{\vec{k}}(\vec{r}_1)$ **Hartree-Fock Eq for Free Electron Gas**

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_{\vec{k}}(\vec{r}_1) + \varepsilon_{\vec{k}} \psi_{\vec{k}}(\vec{r}_1) = \varepsilon(\vec{k}) \psi_{\vec{k}}(\vec{r}_1)$$

Note sign

$$\frac{\hbar^2 k^2}{2m} + \varepsilon_{\vec{k}} = \varepsilon(\vec{k})$$

K.E.

where

$$\varepsilon_{\vec{k}} = -\frac{4\pi e^2}{L^3} \sum_{\vec{k}'} \frac{1}{|\vec{k} - \vec{k}'|^2}$$

Note sign

Raines / Wave Mechanics of Electrons in Metals / Eq.7.41, page 171

Next: calculation of $\varepsilon_{\vec{k}}$

Questions: pcd@physics.iitm.ac.in



Select/Special Topics from 'Theory of Atomic Collisions and Spectroscopy'

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Unit 3

Lecture Number 18

***Electron Gas in Hartree Fock and Random
Phase Approximations***

Electron-Electron Exchange Energy

Hartree-Fock Eq for Free Electron Gas

K.E.

$$\underbrace{-\frac{\hbar^2}{2m} \nabla_{\vec{r}_1}^2 \psi_{\vec{k}}(\vec{r}_1)}_{\text{K.E.}} + \varepsilon_{\vec{k}} \psi_{\vec{k}}(\vec{r}_1) = \varepsilon(\vec{k}) \psi_{\vec{k}}(\vec{r}_1)$$

$$\frac{\hbar^2 k^2}{2m} + \varepsilon_{\vec{k}} = \varepsilon(\vec{k})$$

where $\varepsilon_{\vec{k}} = -\frac{4\pi e^2}{L^3} \sum_{\vec{k}'} \frac{1}{|\vec{k} - \vec{k}'|^2}$
 Subscript \vec{k} \leftarrow Exchange Term \leftarrow Argument
 origin: Lagrange variational multiplier in the HFSCF method

Raines / Wave Mechanics of Electrons in Metals / Eq.7.41,
page 171

Determination of $\varepsilon_{\vec{k}}$

$$E_K + E_{\substack{\text{exchange} \\ \text{correlation}}} = E_{HF}^{\text{jellium potential}}$$

electron gas in

Positive charge density
 $\rho = \frac{Ne}{V}$
 smeared out uniformly.

$$n_x \lambda_x = L$$

$$n_x \frac{2\pi}{k_x} = L; \quad k_x = \frac{2\pi n_x}{L}$$

$$\vec{k} = \frac{2\pi}{L} (n_x \hat{e}_x + n_y \hat{e}_y + n_z \hat{e}_z)$$

N electrons in a cubical box.

Each side has length = L

Volume of the box = $V = L^3$

Box normalization
 with Born von Karmann boundary conditions

How many wavelengths fit in the box?

In the k-space

'volume' of each state = $\left(\frac{2\pi}{L}\right)^3$

$$\epsilon_{\vec{k}} = -\frac{4\pi e^2}{L^3} \sum_{\vec{k}'} \frac{1}{|\vec{k} - \vec{k}'|^2}$$

← Exchange Term

In the k-space
'volume' of each state = $\left(\frac{2\pi}{L}\right)^3$

Sum over all states

$$\sum_{\vec{k}'} \rightarrow \frac{1}{\left(\frac{2\pi}{L}\right)^3} \iiint d^3 \vec{k}' : \text{integration in } \vec{k} \text{ space}$$

$$\epsilon_{\vec{k}} = -\frac{4\pi e^2}{L^3} \frac{1}{\left(\frac{2\pi}{L}\right)^3} \iiint d^3 \vec{k}' \frac{1}{|\vec{k} - \vec{k}'|^2}$$

Integration up to the Fermi level

$$\epsilon_{\vec{k}} = -\frac{e^2}{2\pi^2} \int_{k'=0}^{k'=k_F} \int_{\theta=0}^{\pi} \int_{\varphi=0}^{2\pi} \frac{k'^2 dk' \sin \theta d\theta d\varphi}{(\vec{k} - \vec{k}') \cdot (\vec{k} - \vec{k}')}}$$

$$\mathcal{E}_{\vec{k}} = -\frac{e^2}{2\pi^2} \int_{k'=0}^{k'=k_F} \int_{\theta=0}^{\pi} \int_{\varphi=0}^{2\pi} \frac{k'^2 dk' \sin \theta d\theta d\varphi}{(\vec{k} - \vec{k}') \cdot (\vec{k} - \vec{k}')} \quad \text{Exchange Term}$$

Raimes / Wave Mechanics of Electrons in Metals / Eq.7.42next, page 171

$$\vec{p} = \hbar \vec{k} \Rightarrow p^2 = \hbar^2 k^2$$

$$2pd\vec{p} = \hbar^2 2kdk \Rightarrow pd\vec{p} = \hbar^2 kdk$$

$$dk = \frac{dp}{\hbar}$$

$$\mathcal{E}_{\vec{k}} = -\frac{e^2}{2\pi^2} \int_{p'=0}^{p'=p_F} \int_{\theta=0}^{\pi} \int_{\varphi=0}^{2\pi} \frac{\left(\frac{p'^2}{\hbar^2} \right) \frac{dp'}{\hbar} \sin \theta d\theta d\varphi}{\left(\frac{1}{\hbar} \right) (\vec{p} - \vec{p}') \cdot \left(\frac{1}{\hbar} \right) (\vec{p} - \vec{p}')}$$

$$\mathcal{E}_{\vec{k}} = -\frac{e^2}{2\hbar\pi^2} \int_{p'=0}^{p'=p_F} \int_{\theta=0}^{\pi} \int_{\varphi=0}^{2\pi} \frac{p'^2 dp' \sin \theta d\theta d\varphi}{(\vec{p} - \vec{p}') \cdot (\vec{p} - \vec{p}')}$$

$$\mathcal{E}_{\vec{k}} = - \frac{e^2}{2\hbar\pi^2} \int_{p'=0}^{p'=p_F} \int_{\theta=0}^{\pi} \int_{\varphi=0}^{2\pi} \frac{p'^2 dp' \sin \theta d\theta d\varphi}{(\vec{p} - \vec{p}') \cdot (\vec{p} - \vec{p}')}}$$

integrating over φ

$$\mathcal{E}_{\vec{k}} = - \frac{e^2}{2\hbar\pi^2} (2\pi) \int_{p'=0}^{p'=p_F} \int_{\theta=0}^{\pi} \frac{p'^2 dp' \sin \theta d\theta}{(\vec{p} - \vec{p}') \cdot (\vec{p} - \vec{p}')}}$$

$$\mathcal{E}_{\vec{k}} = - \frac{e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} \int_{\theta=0}^{\pi} \frac{p'^2 dp' \sin \theta d\theta}{p^2 + p'^2 - 2pp' \cos \theta}$$

$\cos \theta = \mu ; \text{ i.e. } -\sin \theta d\theta = d\mu$

$$\mathcal{E}_{\vec{k}} = - \frac{e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} \int_{\mu=-1}^{\mu=+1} \frac{p'^2 dp' d\mu}{p^2 + p'^2 - 2pp' \mu}$$

$$\mathcal{E}_{\vec{k}} = -\frac{e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} \int_{\mu=-1}^{\mu=+1} \frac{p'^2 dp' d\mu}{p^2 + p'^2 - 2pp'\mu}$$

← Exchange Term

$$\mathcal{E}_{\vec{k}} = -\frac{e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} p'^2 dp' \int_{\mu=-1}^{\mu=+1} \frac{d\mu}{p^2 + p'^2 - 2pp'\mu}$$

$$\mathcal{E}_{\vec{k}} = \frac{-e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} p'^2 dp' \frac{1}{(-2pp')} \ln [p^2 + p'^2 - 2pp'\mu]_{\mu=-1}^{\mu=+1}$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} p'^2 dp' \frac{1}{2pp'} \ln [p^2 + p'^2 - 2pp'\mu]_{-1}^{+1}$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} p'^2 dp' \frac{1}{2pp'} \ln \left[p^2 + p'^2 - 2pp' \mu \right]_{\mu=-1}^{\mu=+1}$$

Exchange Term

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi} \int_{p'=0}^{p'=p_F} p'^2 dp' \left[\frac{\ln(p^2 + p'^2 - 2pp')}{2pp'} - \frac{\ln(p^2 + p'^2 + 2pp')}{2pp'} \right]$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{2\hbar\pi} \int_{p'=0}^{p'=p_F} \frac{p'}{p} dp' \left[\ln(p^2 + p'^2 - 2pp') - \ln(p^2 + p'^2 + 2pp') \right]$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{2\hbar\pi} \int_{p'=0}^{p'=p_F} \frac{p'}{p} dp' \left[\ln(p - p')^2 - \ln(p + p')^2 \right]$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{2\hbar\pi} \int_{p'=0}^{p'=p_F} \frac{p'}{p} dp' \left[\ln(p - p')^2 - \ln(p + p')^2 \right]$$

← Exchange Term

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{2\hbar\pi} \int_{p'=0}^{p'=p_F} \frac{p'}{p} dp' \ln \left| \frac{p - p'}{p + p'} \right|^2$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{2\hbar\pi} \int_{p'=0}^{p'=p_F} \frac{p'}{p} dp' \cancel{\frac{1}{2} \ln \left| \frac{p - p'}{p + p'} \right|}$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \int_{p'=0}^{p'=p_F} p' dp' \ln \left| \frac{p - p'}{p + p'} \right|$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \int_{p'=0}^{p'=p_F} p' \ln \left(\frac{|p - p'|}{|p + p'|} \right) dp' \quad p \leq p_f$$

Exchange Term

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left\{ \int_{p'=0}^{p'=p_F} p' \ln |p - p'| dp' - \int_{p'=0}^{p'=p_F} p' \ln |p + p'| dp' \right\}$$

$$\int x \ln(x+a) dx = \frac{x^2 - a^2}{2} \ln(x+a) - \frac{(x-a)^2}{4}$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left[\frac{p'^2 - p^2}{2} \ln |p - p'| - \frac{(p' + p)^2}{4} - \frac{p'^2 - p^2}{2} \ln |p + p'| + \frac{(p' - p)^2}{4} \right]_0^{p_f}$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left[\frac{p'^2 - p^2}{2} \ln \frac{|p - p'|}{|p + p'|} - \frac{(p' + p)^2}{4} + \frac{(p' - p)^2}{4} \right]_{p'=0}^{p'=p_f}$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left[\frac{p'^2 - p^2}{2} \ln \frac{|p - p'|}{|p + p'|} - \frac{(p' + p)^2}{4} + \frac{(p' - p)^2}{4} \right]$$

p' = p_f
p' = 0

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left[\frac{p_f^2 - p^2}{2} \ln \frac{|p - p_f|}{|p + p_f|} - \frac{(p_f + p)^2}{4} + \frac{(p_f - p)^2}{4} \right]$$

+ $\frac{p^2}{2} \ln \frac{|p|}{|p|} + \frac{p^2}{4} - \frac{p^2}{4}$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left[\frac{p_f^2 - p^2}{2} \ln \frac{|p - p_f|}{|p + p_f|} - \frac{(p_f + p)^2}{4} + \frac{(p_f - p)^2}{4} \right]$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left[\frac{p_f^2 - p^2}{2} \ln \frac{|p - p_f|}{|p + p_f|} - \frac{(p_f + p)^2}{4} + \frac{(p_f - p)^2}{4} \right]$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2}{\hbar\pi p} \left[\frac{p_f^2 - p^2}{2} \ln \frac{|p - p_f|}{|p + p_f|} - p_f p \right]$$

$$\mathcal{E}_{\vec{k}} = \frac{e^2 (-p_f p)}{\hbar\pi p} \left[-\frac{p_f^2 - p^2}{2p_f p} \ln \frac{|p - p_f|}{|p + p_f|} + 1 \right]$$

Exchange Term

$$\mathcal{E}_{\vec{k}} = \frac{-e^2 p_f}{\hbar\pi} \left[1 + \frac{p_f^2 - p^2}{2p_f p} \ln \frac{|p + p_f|}{|p - p_f|} \right] = \mathcal{E}_{exchange}(\vec{p})$$

$$\varepsilon(\vec{p}) = \frac{p^2}{2m} + \varepsilon_{exchange}(\vec{p})$$

$$\& \quad \varepsilon_{exchange}(\vec{p}) = \frac{-e^2 p_f}{\hbar\pi} \left[1 + \frac{p_f^2 - p^2}{2p_f p} \ln \frac{|p + p_f|}{|p - p_f|} \right]$$

$$\varepsilon(\vec{p}) = \frac{p^2}{2m} - \frac{e^2 p_f}{\hbar\pi} \left[1 + \frac{p_f^2 - p^2}{2p_f p} \ln \frac{|p + p_f|}{|p - p_f|} \right]$$

$$let \quad \rho = \frac{p_f}{p} \quad \Rightarrow \quad \varepsilon(\vec{p}) = \frac{p^2}{2m} - \frac{e^2 p_f}{\hbar\pi} \left[1 + \frac{\rho^2 - 1}{2\rho} \ln \frac{|1 + \rho|}{|1 - \rho|} \right]$$

$$\varepsilon(\vec{p}) = \frac{p^2}{2m} - \frac{e^2 k_f}{\pi} \left[1 + \frac{\rho^2 - 1}{2\rho} \ln \frac{|1 + \rho|}{|1 - \rho|} \right]$$

define:

$$F(\rho) = 1 + \frac{\rho^2 - 1}{2\rho} \ln \frac{|1 + \rho|}{|1 - \rho|}$$

$$\varepsilon(\vec{k}) = \frac{p^2}{2m}$$

$$+ \varepsilon_{\vec{k}} \quad \text{← Exchange Term}$$

$$\varepsilon(\vec{k}) = \frac{p^2}{2m} - \frac{e^2 k_f}{\pi} \left[1 + \frac{\rho^2 - 1}{2\rho} \ln \frac{|1+\rho|}{|1-\rho|} \right]$$

$$\rho = \frac{p_f}{p}$$

$$p \leq p_f \Rightarrow \rho \geq 1$$

$$|1-\rho| \leq |1+\rho|$$

$\varepsilon_{\vec{k}}$: EXCHANGE TERM IS NEGATIVE

Singlet State 
Triplet State 

Select/Special Topics in Atomic Physics

<http://nptel.ac.in/courses/115106057/> Unit 4

“Triplet State is less punished by the coulomb interaction”
- Landau & Lifshitz



Singlet: $\chi(\zeta_2, \zeta_1) = -\chi(\zeta_1, \zeta_2)$ anti-symmetric spin part

$$\phi(\vec{r}_2, \vec{r}_1) = +\phi(\vec{r}_1, \vec{r}_2) = N \left[\varphi_1(\vec{r}_1)\varphi_2(\vec{r}_2) + \varphi_1(\vec{r}_2)\varphi_2(\vec{r}_1) \right]$$

singlet: orbital part \rightarrow double as $\vec{r}_1 \rightarrow \vec{r}_2$

Fermi correlation \rightarrow

- * electrons with **antiparallel spins** to lump together,
- * as if in a **heap** of electrical charge

Fermi “heap”

- * This causes INCREASED repulsion \rightarrow less stable



Triplet: $\chi(\zeta_2, \zeta_1) = +\chi(\zeta_1, \zeta_2)$ anti-symmetric space part

$$\phi(\vec{r}_2, \vec{r}_1) = -\phi(\vec{r}_1, \vec{r}_2) = N \left[\varphi_1(\vec{r}_1)\varphi_2(\vec{r}_2) - \varphi_1(\vec{r}_2)\varphi_2(\vec{r}_1) \right]$$

triplet: orbital part $\rightarrow 0$ as $\vec{r}_1 \rightarrow \vec{r}_2$

Fermi correlation \rightarrow

* electrons with **parallel spins** have an EXCLUSION region of space

* as if a spherical cavity is generated around it in which another electron with a parallel spin **cannot enter**

Fermi “hole”
Exchange hole

* DECREASED repulsion \rightarrow more stable

Slide No. 54, Previous lecture

HF equation

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r})$$

attractive
jellium
potential

electron-electron

Coulomb repulsion

electron-electron
exchange
interaction

$$+ V(\vec{r}) \psi_p(\vec{r})$$

$$+ 2 \sum_{i=1}^{N/2} \left[\int dV' |\psi_i(\vec{r}')|^2 v(\vec{r}, \vec{r}') \right] \psi_p(\vec{r})$$

$$- \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right]$$

$$= \varepsilon_p \psi_p(\vec{r})$$

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) - \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right] = \varepsilon_p \psi_p(\vec{r})$$

in the \vec{k} space
 'volume' of each state = $\left(\frac{2\pi}{L}\right)^3$

$$\sum_{\vec{k}'} \rightarrow \frac{1}{\left(\frac{2\pi}{L}\right)^3} \iiint d^3 \vec{k}' : \text{integration in } \vec{k} \text{ space}$$

in the \vec{p} space
 'volume' of each state = $\left(\frac{2\pi\hbar}{L}\right)^3$

$$\sum_{\vec{p}'} \rightarrow \frac{1}{\left(\frac{2\pi\hbar}{L}\right)^3} \iiint d^3 \vec{p}' : \text{integration in } \vec{p} \text{ space}$$

Select/Special Topics in Atomic Physics

<http://nptel.iitm.ac.in/courses/115106057/>

Unit 4 / Slide # 110 & 111

$$\begin{aligned} E_{HF}^{atom} &= \langle \psi^{(N)} | H | \psi^{(N)} \rangle && \text{electron-electron Coulomb repulsion} \\ &= \sum_{i=1}^N \langle i | f | i \rangle + \frac{1}{2} \sum_{j=1}^N \sum_{i=1}^N [\langle ij | g | ij \rangle - \langle ij | g | ji \rangle] && \text{electron-electron Exchange interaction} \end{aligned}$$

The operator f contains the K.E. operators and the nuclear attraction operators

attractive jellium potential
cancels the electron-electron
direct Coulomb repulsion terms

Electron gas in jellium potential

* integration instead of the
above discrete sum

electron gas in
jellium potential =

$$= 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin \theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{\vec{p} \cdot \vec{p}}{2m} + \frac{1}{2} \varepsilon_{exchange}(\vec{p}) \right]$$

electron gas in
 $E_{HF}^{\text{jellium potential}} =$

$$= 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin \theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{\vec{p} \cdot \vec{p}}{2m} + \frac{1}{2} \varepsilon_{\text{exchange}}(\vec{p}) \right]$$

electron gas in
 $E_{HF}^{\text{jellium potential}} = E_K + E_{\text{exchange correlation}}$

where

$$E_K = 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin \theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{\vec{p} \cdot \vec{p}}{2m} \right]$$

and

$$E_{\text{exchange correlation}} = 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin \theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{1}{2} \varepsilon_{\text{exchange}}(\vec{p}) \right]$$

E_K : “Kinetic”
 E_x : “Exchange Correlation”

$$E_{HF}^{\text{jellium potential}} = E_K + E_{\text{exchange correlation}}$$

where

E_K : "Kinetic"
 E_x : "Exchange Correlation"

$$E_K = 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin\theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{\vec{p} \cdot \vec{p}}{2m} \right]$$

$$E_K = 2 \frac{L^3}{(2\pi\hbar)^3} \frac{4\pi}{2m} \int_{p=0}^{p=p_f} p^4 dp$$

$$E_K = \frac{L^3}{(2\pi\hbar)^3} \frac{4\pi}{m} \frac{p_f^5}{5}$$

$$E_K = \frac{\hbar^2 L^3}{10\pi^2 m} k_f^5$$

K: K.E. part of the HF
energy of the degenerate
free electron gas

$$E_K = \frac{\hbar^2 V}{10\pi^2 m} k_f^5$$

Raines / Many Electron Theory /
Eq.3.64, page 63

Estimation of E_K

in the \vec{k} space

'volume' of each state = $\left(\frac{2\pi}{L}\right)^3$

Number of electrons = N

$N = \text{Twice}$ the Number of single-electron states *in the 'volume'*
(in k-space) spanned by the Fermi sphere

whose volume is $\frac{4}{3}\pi k_f^3$

$$= 2 \times \frac{\frac{4}{3}\pi k_f^3}{\left(\frac{2\pi}{L}\right)^3} = \frac{L^3}{3\pi^2} k_f^3 = \frac{L^3}{\pi^2} \times \left(\frac{1}{4\pi}\right) \frac{4}{3}\pi k_f^3$$

$$N = \frac{L^3}{4\pi^3} \times \frac{4}{3}\pi k_f^3$$

$$= \frac{V}{4\pi^3} \times \frac{4}{3}\pi k_f^3$$

$$k_f = \left(\frac{3\pi^2 N}{V}\right)^{1/3}$$

$$E_K = \frac{\hbar^2 V}{10\pi^2 m} k_f^5 \quad k_f = \left(\frac{3\pi^2 N}{V} \right)^{1/3}$$

$$r_s^3 = \frac{9\pi/4}{k_f^3} \Rightarrow r_s = \frac{(9\pi/4)^{1/3}}{k_f} = \frac{\hbar(9\pi/4)^{1/3}}{mv_f}$$

$$E_K = \frac{\hbar^2 \left\{ N \times \left(\frac{4}{3} \pi r_s^3 \right) \right\}}{10\pi^2 m} \left(\frac{3\pi^2 N}{N \times \left(\frac{4}{3} \pi r_s^3 \right)} \right)^{5/3} = \frac{3\hbar^2}{10m} \left(\frac{9\pi}{4} \right)^{2/3} \frac{N}{r_s^2}$$

K.E. contribution to the average
HF ground state energy per
electron in a free-electron-gas

$$\begin{aligned} \frac{E_K}{N} &= \frac{3\hbar^2}{10m} \left(\frac{9\pi}{4} \right)^{2/3} \frac{1}{r_s^2} \\ &= \frac{2.21}{r_s^2} \text{ Ryd} \end{aligned}$$

Raines / Many Electron Theory /
Eq.3.68, page 63

$$1 \text{ Ryd} = me^4 / 2\hbar^2 = 13.60569\dots \text{ eV}; \quad 1 \text{ Bohr unit} = \hbar^2 / me^2 = 0.5292 \text{ \AA}^0$$

electron gas in
 $E_{HF}^{\text{jellium potential}} = E_K + E_{\text{exchange correlation}}$

K.E.

$$\frac{E_K}{N} = \frac{2.21}{r_s^2} \text{ Ryd}$$

$$E_{\text{exchange correlation}} = 2 \frac{V}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin\theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{1}{2} \varepsilon_{\text{exchange}}(\vec{p}) \right]$$

$$\varepsilon_{\text{exchange}}(\vec{p}) = \frac{-e^2 p_f}{\hbar\pi} \left[1 + \frac{{p_f}^2 - p^2}{2p_f p} \ln \frac{|p + p_f|}{|p - p_f|} \right]$$

Next: Estimation of $E_{\text{exchange-correlation}}$

and how to account for many-electron
 COULOMB CORRELATIONS (Bohm Pines: RPA)



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Select/Special Topics from 'Theory of Atomic Collisions and Spectroscopy'

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Unit 3

Lecture Number 19

***Electron Gas in Hartree Fock and Random
Phase Approximations***

Free Electron Gas in Jellium Background
Potential

electron gas in
 $E_{HF}^{\text{jellium potential}} = E_{\substack{\text{Kinetic} \\ \text{Energy}}} + E_{\substack{\text{Exchange} \\ \text{Correlation}}}$

where

$$E_{\substack{\text{Kinetic} \\ \text{Energy}}} = 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin\theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{\vec{p} \cdot \vec{p}}{2m} \right]$$

$$E_K = 2 \frac{L^3}{(2\pi\hbar)^3} \frac{4\pi}{2m} \int_{p=0}^{p=p_f} p^4 dp$$

$$E_K = \frac{L^3}{(2\pi\hbar)^3} \frac{4\pi}{m} \frac{p_f^5}{5}$$

$$E_K = \frac{\hbar^2 L^3}{10\pi^2 m} k_f^5 = \frac{\hbar^2 V}{10\pi^2 m} k_f^5$$

K: K.E. part of the HF energy of the degenerate free electron gas

f: Fermi level

Raines / Many Electron Theory /
Eq.3.64, page 63

$$E_K = \frac{\hbar^2 V}{10\pi^2 m} k_f^5$$

$$r_s = \frac{(9\pi/4)^{1/3}}{k_f} = \frac{\hbar(9\pi/4)^{1/3}}{mv_f}$$

$$E_K = \frac{3\hbar^2}{10m} \left(\frac{9\pi}{4}\right)^{2/3} \frac{N}{r_s^2}$$

$$N \times \left(\frac{4}{3} \pi r_s^3 \right) = V = \frac{3\pi^2 N}{k_f^3}$$

r_s : radius of a sphere whose volume is equal to the average volume per electron.

r_s : Bohr units

r_s : Seitz parameter

K.E. contribution to the average
HF ground state energy per
electron in a free-electron-gas

$$\begin{aligned} \frac{E_K}{N} &= \frac{3\hbar^2}{10m} \left(\frac{9\pi}{4}\right)^{2/3} \frac{1}{r_s^2} \\ &= \frac{2.21}{r_s^2} Ryd \end{aligned}$$

Raines / Many Electron Theory /
Eq.3.68, page 63

$$1 \text{ Ryd} = me^4 / 2\hbar^2 = 13.60569\dots \text{ eV}; \quad 1 \text{ Bohr unit} = \hbar^2 / me^2 = 0.5292 \text{ \AA}^0$$

$$E_{HF}^{\text{electron gas in jellium potential}} = \underbrace{E_K}_{\text{K.E.}} + E_{\text{exchange correlation}}$$

$$\frac{E_K}{N} = \frac{2.21}{r_s^2} \text{ Ryd}$$

$$E_{\text{exchange correlation}} = ? \frac{V}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin\theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{1}{2} \varepsilon_{\text{exchange}}(\vec{p}) \right]$$

From last class
Slide No.86 →

$$\varepsilon_{\text{exchange}}(\vec{p}) = \frac{-e^2 p_f}{\hbar\pi} \left[1 + \frac{p_f^2 - p^2}{2p_f p} \ln \left(\frac{p_f + p}{p_f - p} \right) \right]$$

$$E_{\text{exchange correlation}} =$$

$$= \frac{V}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin\theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{-e^2 p_f}{\hbar\pi} \left\{ 1 + \frac{p_f^2 - p^2}{2p_f p} \ln \left(\frac{p_f + p}{p_f - p} \right) \right\} \right]$$

$$E_{exchange \atop correlation} =$$

$$= \frac{V}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin\theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{-e^2 p_f}{\hbar\pi} \left\{ 1 + \frac{p_f^2 - p^2}{2p_f p} \ln\left(\frac{p_f + p}{p_f - p}\right) \right\} \right]$$

$$E_{exchange \atop correlation} =$$

$$-\frac{Ve^2}{8\pi^3} 4\pi \left(\frac{1}{2\pi} \right) \int_{k=0}^{k=k_f} dk \left[2k_f k^2 + k(k_f^2 - k^2) \ln\left(\frac{k_f + k}{k_f - k}\right) \right]$$

$p = \hbar k$ $p^2 dp = \hbar^3 k^2 dk$

$$E_{exchange \atop correlation} =$$

$$-\frac{Ve^2}{4\pi^3} \int_{k=0}^{k=k_f} dk \left[2k_f k^2 + k(k_f^2 - k^2) \ln\left(\frac{k_f + k}{k_f - k}\right) \right]$$

$$E_{exchange correlation} =$$

$$= -\frac{Ve^2}{4\pi^3} \int_{k=0}^{k=k_f} dk \left[2k_f k^2 + k(k_f^2 - k^2) \ln \left(\frac{k_f + k}{k_f - k} \right) \right]$$

$$\int \ln ax \, dx = x \ln ax - x$$

Standard Integrals

$$\int x \ln x \, dx = \frac{1}{2}x^2 \ln x - \frac{x^2}{4}$$

with logarithm

$$\int x^2 \ln x \, dx = \frac{1}{3}x^3 \ln x - \frac{x^3}{9}$$

functions

$$\int x^n \ln x \, dx = x^{n+1} \left(\frac{\ln x}{n+1} - \frac{1}{(n+1)^2} \right), \quad n \neq -1$$

$$E_{exchange correlation} = V \left(-\frac{e^2 k_f^4}{4\pi^3} \right)$$

$$E_{exchange\ correlation} = V \left\{ -\frac{e^2 k_f^4}{4\pi^3} \right\}$$

$k_f = \left(\frac{3\pi^2 N}{V} \right)^{1/3}; \text{ & } N \times \left(\frac{4}{3} \pi r_s^3 \right) = V$

from: slide 85, last class

$$-\frac{e^2 k_f^4}{4\pi^3} = -\frac{e^2}{4\pi^3} \left(\frac{9\pi}{4} \right)^{4/3} \frac{1}{r_s^4}$$

$$E_{exchange\ correlation} = N \left(\frac{4}{3} \pi r_s^3 \right) \times \left\{ -\frac{e^2}{4\pi^3} \left(\frac{9\pi}{4} \right)^{4/3} \frac{1}{r_s^4} \right\}$$

$$= N \times \left\{ -\frac{e^2}{3\pi^2} \left(\frac{9\pi}{4} \right)^{4/3} \frac{1}{r_s} \right\}$$

$$\frac{E_{exchange\ correlation}}{N} = \frac{-0.916}{r_s} Ryd$$

$$E_{HF}^{\text{electron gas in jellium potential}} = E_{KE} + E_{\substack{\text{exchange} \\ \text{correlation}}}$$

← Adding both the terms

$$\text{where } E_{KE} = 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin \theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{\vec{p} \cdot \vec{p}}{2m} \right]$$

$$\text{and } E_{\substack{\text{exchange} \\ \text{correlation}}} = 2 \frac{L^3}{(2\pi\hbar)^3} \int_{p=0}^{p=p_f} p^2 dp \int_{\theta=0}^{\theta=\pi} \sin \theta d\theta \int_{\varphi=0}^{\varphi=2\pi} d\varphi \left[\frac{1}{2} \varepsilon_{\text{exchange}}(\vec{p}) \right]$$

For free electron gas in SCF jellium potential :

$$\left[\frac{E_{HF}}{N} \right] = \left(\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right) \text{Ryd}$$

Average HF energy per electron

r_s : Bohr units

$$H =$$

$$= \sum_{i=1}^N f(q_i) + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \begin{cases} v(q_i, q_j) \\ i \neq j \end{cases}$$

electron gas in
 $E_{HF}^{\text{jellium potential}} = E_K + E_{\substack{\text{exchange} \\ \text{correlation}}}$

$$\frac{E_{HF}}{N} = \left(\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right) \text{Ryd}$$

Average HF energy per electron

electron-electron interaction, reduces the energy BELOW that of the Sommerfeld gas (of course in the positive jellium potential)

HF equation

$$-\frac{\hbar^2}{2m} \nabla^2 \psi_p(\vec{r}) + V(\vec{r}) \psi_p(\vec{r}) + 2 \sum_{i=1}^{N/2} \left[\int dV' |\psi_i(\vec{r}')|^2 v(\vec{r}, \vec{r}') \right] \psi_p(\vec{r}) - \sum_{i=1}^{N/2} \psi_i(\vec{r}) \left[\int dV' \psi_i^*(\vec{r}') \psi_p(\vec{r}') v(\vec{r}, \vec{r}') \right] = \varepsilon_p \psi_p(\vec{r})$$

attractive jellium potential

electron-electron Coulomb repulsion exchange interaction

Origin of the 'reduction'

Average HF energy per electron

electron-electron interaction, reduces the energy BELOW that of the Sommerfeld gas (of course in the positive jellium potential)

FEG in HF-SCF jellium potential :

$$\left[\frac{E_{HF}}{N} \right] = \left(\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right) Ryd$$

$$1 \text{ Ryd} = \frac{me^4}{2\hbar^2} = 13.60569\dots \text{ eV}$$

$$1 \text{ Bohr unit} = \frac{\hbar^2}{me^2} = 0.5292\dots \text{ \AA}$$

r_s : Bohr units

First Order Perturbative treatment of the exchange term → SAME RESULT (next class)

Second (and higher) Order Perturbative treatment of the electron-electron Coulomb interaction however diverges.

For free electron gas in jellium potential :

$$\left[\frac{E_{HF}}{N} \right] = \left(\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right) \text{Ryd}$$

COULOMB
correlations
ignored

Need!
Many-body
theory – *beyond
perturbation
methods*

Bohm & Pines: mid-fifties

D.Pines (1963) Elementary excitations in solids (Benjamin, NY)

Random Phase Approximation

$$E_{BP} = \frac{2.21}{r_s^2} - \frac{0.916}{r_s} + \frac{\sqrt{3}}{2r_s^{3/2}} \beta^2 - \frac{0.916}{r_s} \left(\frac{\beta^2}{2} - \frac{\beta^4}{48} \right)$$

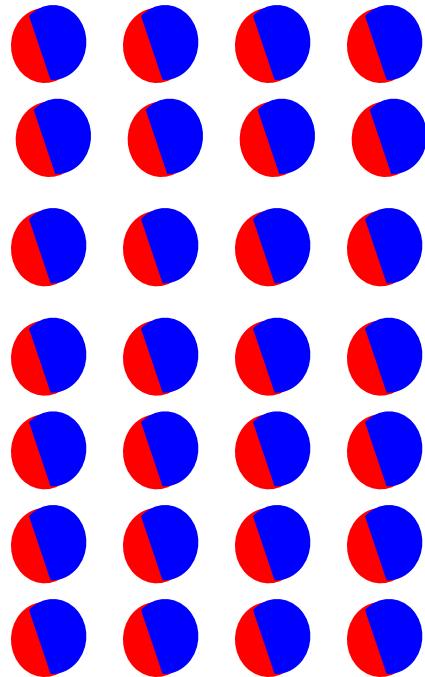
$$\beta = \frac{k_c}{k_f}$$

k_c : Upper bound to wave number of plasma oscillations

→ Lower bound to wave length; since oscillations get

damped by the random thermal motion of the electrons.

First, the ‘classical model’

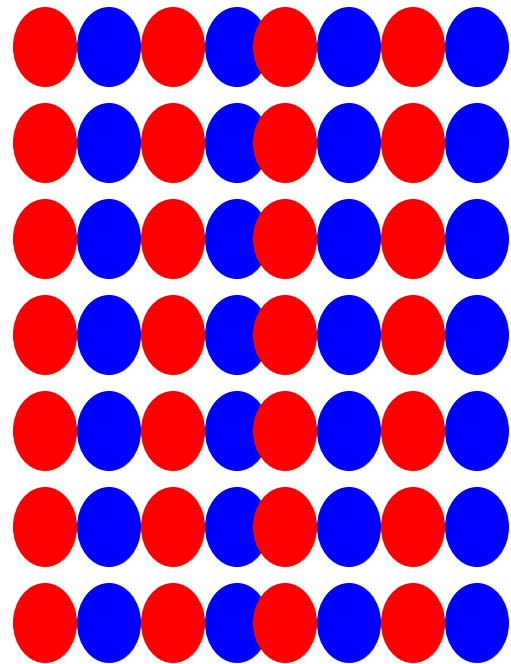


Positive
and
Negative
charge in
balance

ρ : average
volume
charge
density



**Displacement
of all the
electrons to
the right**



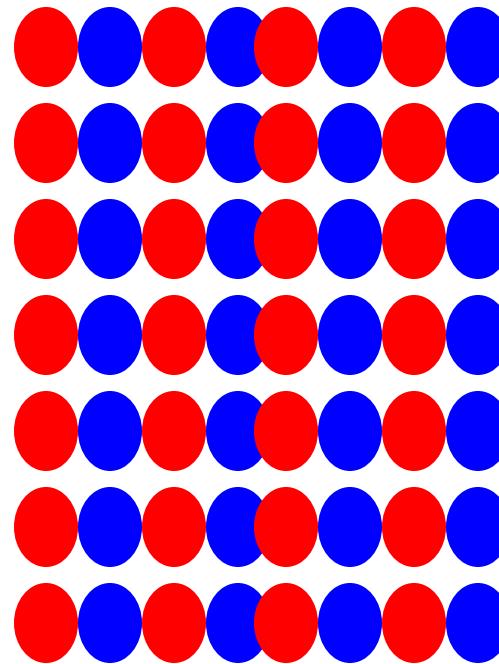
First, the ‘classical model’

ρ : average
volume
charge
density

$$\rightarrow \xi$$

**Displacement
of all the
electrons to
the right**

*net positive
surface
charge per
unit area*
 $= +e\rho_p \xi$



*net negative
surface
charge per
unit area*
 $= -e\rho_e \xi$

surface charge

density : $\sigma = e\rho\xi$

net field in-between

$$\vec{E} = \frac{1}{\epsilon_0} e\rho\xi \hat{u}$$

net field in-between

$$\vec{E} = \frac{1}{\epsilon_0} e \rho \xi \hat{u}$$

$$\frac{1}{4\pi\epsilon_0} \rightarrow 1 \quad ; \quad \frac{1}{\epsilon_0} \rightarrow 4\pi$$

$$\omega_p = \sqrt{\frac{4\pi\rho e^2}{m}}$$

Eq. of motion

$$m \frac{d^2 \xi}{dt^2} = \left(\frac{1}{\epsilon_0} e \rho \xi \right) (-e)$$

$$\frac{d^2 \xi}{dt^2} = -\frac{\rho e^2}{m \epsilon_0} \xi \quad \text{s.H.O.}$$

$$\omega_p = \sqrt{\frac{\rho e^2}{m \epsilon_0}}$$

SI units

Frequency of plasma oscillations

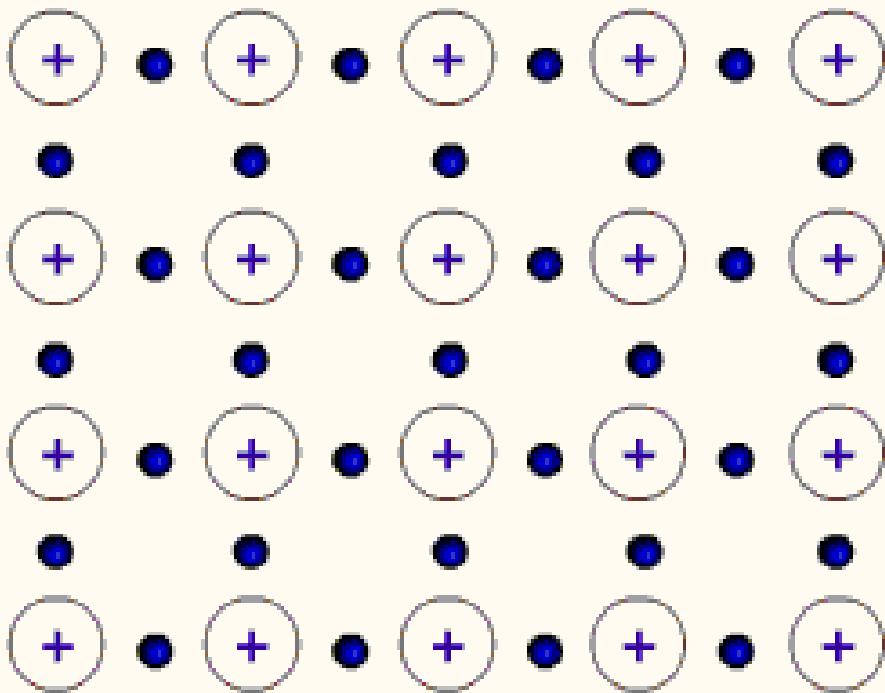
Thermal motion of electrons: ignored

→ except that thermal fluctuations would have 'caused' the onset of plasma oscillations

Thermal motion → dispersion

when dispersion is present:

$$\omega^2 = \omega_p^2 - \frac{2E_F}{m} k^2$$



discrete positive charges in the nuclei considered smeared out, like jelly beans into a jellium.

Uniform charge density

Whole system: electrically neutral.



Positive charge density

$$\rho = \frac{Ne}{V}$$

N electrons in volume V together with a **uniform positive charge** background jellium distribution.

Jellium **background**

$$H = H_{el} + H_b + H_{el-b}$$

1st term in the Hamiltonian

$$H_{el} = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} e^2 \sum_{\substack{j=1 \\ j \neq i}}^N \sum_{i=1}^N \frac{e^{-\mu|\vec{r}_i - \vec{r}_j|}}{|\vec{r}_i - \vec{r}_j|}$$

Mathematical device to avoid divergences.
Later, we take the limit:
 $\mu \rightarrow 0$

2nd term $H_b = \frac{1}{2} e^2 \iiint d^3 \vec{x} \iiint d^3 \vec{x}' \frac{\rho_{\vec{x}}^+ \rho_{\vec{x}'}^+ e^{-\mu|\vec{x} - \vec{x}'|}}{|\vec{x} - \vec{x}'|}$

3rd term in the Hamiltonian

$$H_{el-b} = -e^2 \sum_{i=1}^N \iiint d^3 \vec{x} \frac{\rho_{\vec{x}}^+ e^{-\mu|\vec{x} - \vec{r}_i|}}{|\vec{x} - \vec{r}_i|}$$

N electrons and the background: NEUTRAL system

2nd
term

$$H_b = \frac{1}{2} e^2 \iiint d^3 \vec{x} \iiint d^3 \vec{x}' \frac{\rho_{\vec{x}}^+ \rho_{\vec{x}'}^+ e^{-\mu |\vec{x} - \vec{x}'|}}{|\vec{x} - \vec{x}'|}$$

$$\rho_{\vec{x}}^+ = \rho_{\vec{x}'}^+ = \frac{N}{V} \text{ (uniform density)} \quad H_b = \frac{1}{2} e^2 \left(\frac{N}{V} \right)^2 \iiint d^3 \vec{x} \iiint d^3 \vec{x}' \frac{e^{-\mu |\vec{x} - \vec{x}'|}}{|\vec{x} - \vec{x}'|}$$

$$\vec{x}' - \vec{x} = \vec{z}$$

$$d\vec{x}' = d\vec{z} \dots \underline{\text{at constant } \vec{x}} \quad H_b = \frac{1}{2} e^2 \left(\frac{N}{V} \right)^2 \left(\iiint d^3 \vec{x} \right) \iiint d^3 \vec{z} \frac{e^{-\mu z}}{z}$$

$$\iiint d^3 \vec{z} \frac{e^{-\mu z}}{z} = 4\pi \int_0^\infty z^2 dz \frac{e^{-\mu z}}{z} = 4\pi \int_0^\infty z e^{-\mu z} dz = \frac{4\pi}{\mu^2}$$

$$H_b = \frac{1}{2} e^2 \left(\frac{N}{V} \right)^2 (V) \frac{4\pi}{\mu^2} = \frac{1}{2} e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2}$$

**Contribution of
this term (per electron)
diverges
as $\mu \rightarrow 0$
 μ^2 divergence**

$$\frac{H_b}{N} \xrightarrow{\mu \rightarrow 0} \text{diverges}$$

Reference: Fetter & Walecka - Eq.3.7
in Quantum Theory of Many-Particle Systems; page 22

**3rd
term**

$$H_{el-b} = -e^2 \sum_{i=1}^N \iiint d^3 \vec{x} \frac{\rho_{\vec{x}}^+ e^{-\mu|\vec{x}-\vec{r}_i|}}{|\vec{x}-\vec{r}_i|}$$

$$\rho = \left(\frac{N}{V} \right)$$

$$H_{el-b} = -e^2 \sum_{i=1}^N \left(\frac{N}{V} \right) \iiint d^3 \vec{x} \frac{e^{-\mu|\vec{x}-\vec{r}_i|}}{|\vec{x}-\vec{r}_i|}$$

$$H_{el-b} = -e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2}$$

$$H_b = \frac{1}{2} e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2}$$

$$\frac{H_b}{N} \xrightarrow[\mu \rightarrow 0]{} \mu^2 \text{ divergence}$$

**Contribution of
this term (per electron)
diverges
as $\mu \rightarrow 0$
 μ^2 divergence**

Reference: Fetter & Walecka - Eq.3.8 in Quantum Theory of Many-Particle Systems; page 22

$$H = H_{el} + H_b + H_{el-b}$$

$$H = H_{el} + \frac{1}{2} e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2} - e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2}$$

$$H = H_{el} \left(-\frac{1}{2} e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2} \right)$$

Contribution of
this term (per electron)
diverges
as $\mu \rightarrow 0$
 μ^2 divergence

Does the diverging term cancel with any part of H_{el} ?

Procedure to take limits:

FIRST: $L \rightarrow \infty$ (i.e. $V \rightarrow \infty$) and **then** $\mu \rightarrow 0$ After due cancellation
of appropriate parts,
if any?

Reference: Eq.3.9 in

Fetter & Walecka - Quantum Theory of Many-Particle Systems; page 23

Recall,
when
then

$$H_{el}^{IQ} = H_1 + H_2 = \sum_{i=1}^N f(q_i) + \frac{1}{2} \sum_{i=1}^N \sum_{j=1}^N \underset{i \neq j}{\text{v}^c(q_i, q_j)}$$

Coulomb

$$H^{I\prime Q} = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v^c | kl \rangle c_l c_k$$

$$\langle ij | v^c | kl \rangle = \int dq_1 \int dq_2 \phi_i^*(q_1) \phi_j^*(q_2) v^c(q_1, q_2) \phi_k(q_1) \phi_l(q_2)$$

1st term

$$H_{el}^{I\prime Q} = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} e^2 \sum_{j=1}^N \sum_{i=1}^N \frac{e^{-\mu|\vec{r}_i - \vec{r}_j|}}{|\vec{r}_i - \vec{r}_j|}$$

Screened Coulomb

V^{sc}

Hence

$$H_{el}^{II\prime Q} = \sum_i \sum_j c_i^\dagger \left\langle i \left| \frac{p^2}{2m} \right| j \right\rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v^{sc} | kl \rangle c_l c_k$$

$$\langle ij | v^{sc} | kl \rangle = \int dq_1 \int dq_2 \phi_i^*(q_1) \phi_j^*(q_2) \frac{e^{-\mu|\vec{r}_1 - \vec{r}_2|}}{|\vec{r}_1 - \vec{r}_2|} \phi_k(q_1) \phi_l(q_2)$$

$$H_{el}^{II \text{ } Q} = \sum_i \sum_j c_i^\dagger \langle i \left| \frac{\mathbf{p}^2}{2m} \right| j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | \mathbf{v}^{sc} | kl \rangle c_l c_k$$

$$\langle ij | \mathbf{v}^{sc} | kl \rangle = \int dq_1 \int dq_2 \phi_i^*(q_1) \phi_j^*(q_2) \frac{e^{-\mu |\vec{r}_1 - \vec{r}_2|}}{|\vec{r}_1 - \vec{r}_2|} \phi_k(q_1) \phi_l(q_2)$$

Showing the summation over spin variables explicitly:

$$H_{el}^{II \text{ } Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} c_{\vec{k}_1 \sigma_1}^\dagger \langle \vec{k}_1 \sigma_1 | \frac{\mathbf{p}^2}{2m} | \vec{k}_2 \sigma_2 \rangle c_{\vec{k}_2 \sigma_2} + \frac{1}{2} \sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} \sum_{\vec{k}_3} \sum_{\sigma_3} \sum_{\vec{k}_4} \sum_{\sigma_4} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger \langle \vec{k}_1 \sigma_1 | \vec{k}_2 \sigma_2 | \mathbf{v}^{sc} | \vec{k}_3 \sigma_3 | \vec{k}_4 \sigma_4 \rangle c_{\vec{k}_3 \sigma_3} c_{\vec{k}_4 \sigma_4} \right]$$

$$H_{el}^{II \text{ } Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} c_{\vec{k}_1 \sigma_1}^\dagger \left\langle \vec{k}_1 \sigma_1 \left| \frac{p^2}{2m} \right| \vec{k}_2 \sigma_2 \right\rangle c_{\vec{k}_2 \sigma_2} + \frac{1}{2} \sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} \sum_{\vec{k}_3} \sum_{\sigma_3} \sum_{\vec{k}_4} \sum_{\sigma_4} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger \left\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} \right| \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \right] c_{\vec{k}_4 \sigma_4} c_{\vec{k}_3 \sigma_3}$$

← First, examine the K.E. term.

$$\left\langle \vec{k}_1 \sigma_1 \left| \frac{p^2}{2m} \right| \vec{k}_2 \sigma_2 \right\rangle = \delta_{\sigma_1, \sigma_2} \iiint d^3 \vec{x} \left(\frac{1}{\sqrt{V}} e^{-i \vec{k}_1 \cdot \vec{x}} \right) \left(\frac{-\hbar^2 \vec{\nabla}^2}{2m} \right) \left(\frac{1}{\sqrt{V}} e^{i \vec{k}_2 \cdot \vec{x}} \right)$$

$$\left\langle \vec{k}_1 \sigma_1 \left| \frac{p^2}{2m} \right| \vec{k}_2 \sigma_2 \right\rangle = \frac{-\hbar^2 \delta_{\sigma_1, \sigma_2}}{2mV} \iiint d^3 \vec{x} e^{-i \vec{k}_1 \cdot \vec{x}} \vec{\nabla}^2 e^{i \vec{k}_2 \cdot \vec{x}}$$

$$= \frac{\hbar^2 k_2^2}{2m} \delta_{\sigma_1, \sigma_2} \boxed{\frac{1}{V} \iiint d^3 \vec{x} e^{i(\vec{k}_2 - \vec{k}_1) \cdot \vec{x}}}$$

$$\frac{1}{(2\pi)^3} \iiint d^3 \vec{x} e^{i(\vec{k}_2 - \vec{k}_1) \cdot \vec{x}} = \delta(\vec{k}_1 - \vec{k}_2)$$

↓
Dirac δelta function

Positive charge density smeared uniformly

$$\rho = \frac{Ne}{V}$$

N electrons in a cubical box.

Each side has length = L

Volume of the box = $V = L^3$

$$\langle \vec{x} | \vec{k} \rangle = \frac{1}{\sqrt{V}} e^{i\vec{k} \cdot \vec{x}}$$

Box normalization with Born von Karmann boundary conditions

$$n_x \lambda_x = L; \quad n_x \frac{2\pi}{k_x} = L; \quad k_x = \frac{2\pi n_x}{L}$$

$$\vec{k} = \frac{2\pi}{L} (n_x \hat{e}_x + n_y \hat{e}_y + n_z \hat{e}_z)$$

In the k-space
'volume' of each state = $\left(\frac{2\pi}{L}\right)^3$

$$\frac{1}{2\pi} \int dx e^{i(K-k)x} = \delta(K - k)$$

$$\frac{1}{(2\pi)^3} \iiint d^3 \vec{x} e^{i(\vec{k}_2 - \vec{k}_1) \cdot \vec{x}} = \delta(\vec{k}_1 - \vec{k}_2)$$

$$\frac{1}{L^3} \iiint d^3 \vec{x} e^{i(\vec{k}_2 - \vec{k}_1) \cdot \vec{x}} = \delta_{\vec{k}_1, \vec{k}_2}$$

Eq.3.11; page 23; F&W

$$\langle \vec{k}_1 \sigma_1 \left| \frac{p^2}{2m} \right| \vec{k}_2 \sigma_2 \rangle = \frac{\hbar^2 k_2^2}{2mV} \delta_{\sigma_1, \sigma_2} \iiint d^3 \vec{x} e^{i(\vec{k}_2 - \vec{k}_1) \cdot \vec{x}} = \frac{\hbar^2 k_2^2}{2mV} \delta_{\sigma_1, \sigma_2} \cancel{V} \delta_{\vec{k}_1, \vec{k}_2}$$

$$H_{el}^{II \text{ } Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} c_{\vec{k}_1 \sigma_1}^\dagger \left\langle \vec{k}_1 \sigma_1 \left| \frac{p^2}{2m} \right| \vec{k}_2 \sigma_2 \right\rangle c_{\vec{k}_2 \sigma_2} + \frac{1}{2} \sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} \sum_{\vec{k}_3} \sum_{\sigma_3} \sum_{\vec{k}_4} \sum_{\sigma_4} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger \left\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} \right| \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \right] \text{ ← First, examine the K.E. term.}$$

$$\left\langle \vec{k}_1 \sigma_1 \left| \frac{p^2}{2m} \right| \vec{k}_2 \sigma_2 \right\rangle = \frac{\hbar^2 k_2^2}{2m} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}_1, \vec{k}_2}$$

$$H_{el}^{II \text{ } Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2} \frac{\hbar^2 k_2^2}{2m} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}_1, \vec{k}_2} + \frac{1}{2} \sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} \sum_{\vec{k}_3} \sum_{\sigma_3} \sum_{\vec{k}_4} \sum_{\sigma_4} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger \left\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} \right| \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \right]$$

$$H_{el}^{II \text{ } Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} \frac{\hbar^2 k_1^2}{2m} + \frac{1}{2} \sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} \sum_{\vec{k}_3} \sum_{\sigma_3} \sum_{\vec{k}_4} \sum_{\sigma_4} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger \left\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} \right| \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \right]$$

$$H_{el}^{II,Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \frac{\hbar^2 k_1^2}{2m} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} + \frac{1}{2} \sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} \sum_{\vec{k}_3} \sum_{\sigma_3} \sum_{\vec{k}_4} \sum_{\sigma_4} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger \langle \vec{k}_1 \sigma_1 | \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 | \vec{k}_4 \sigma_4 \rangle c_{\vec{k}_4 \sigma_4} c_{\vec{k}_3 \sigma_3} \right]$$

2nd term → $\delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4}$

$$\langle \vec{k}_1 \sigma_1 | \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 | \vec{k}_4 \sigma_4 \rangle =$$

$$= \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \int d^3 \vec{r}_1 \int d^3 \vec{r}_2 \phi_{\vec{k}_1 \sigma_1}^*(\vec{r}_1) \phi_{\vec{k}_2 \sigma_2}^*(\vec{r}_2) \frac{e^2 e^{-\mu |\vec{r}_1 - \vec{r}_2|}}{|\vec{r}_1 - \vec{r}_2|} \phi_{\vec{k}_3 \sigma_3}(\vec{r}_1) \phi_{\vec{k}_4 \sigma_4}(\vec{r}_2)$$

$$\langle \vec{x} | \vec{k} \rangle = \frac{1}{\sqrt{V}} e^{i \vec{k} \cdot \vec{x}}$$

$$\langle \vec{k}_1 \sigma_1 | \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 | \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} \int d^3 \vec{r}_1 \int d^3 \vec{r}_2 \frac{e^{-\mu |\vec{r}_1 - \vec{r}_2|}}{|\vec{r}_1 - \vec{r}_2|} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{r}_1} e^{+i(\vec{k}_4 - \vec{k}_2) \cdot \vec{r}_2}$$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} \int d^3 \vec{r}_1 \int d^3 \vec{r}_2 \frac{e^{-\mu |\vec{r}_1 - \vec{r}_2|}}{|\vec{r}_1 - \vec{r}_2|} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{r}_1} e^{+i(\vec{k}_4 - \vec{k}_2) \cdot \vec{r}_2}$$

$\vec{r}_2 \rightarrow \vec{x}$

$\vec{r}_1 - \vec{r}_2 \rightarrow \vec{y}$

$\vec{r}_1 = \vec{y} + \vec{r}_2 = \vec{y} + \vec{x}$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} \int d^3 \vec{y} \int d^3 \vec{x} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot (\vec{y} + \vec{x})} e^{+i(\vec{k}_4 - \vec{k}_2) \cdot \vec{x}}$$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} \int d^3 \vec{y} \int d^3 \vec{x} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1 + \vec{k}_4 - \vec{k}_2) \cdot (\vec{y} + \vec{x})} e^{-i(\vec{k}_4 - \vec{k}_2) \cdot \vec{y}}$$

$$e^{+i(\vec{k}_3 - \vec{k}_1 + \vec{k}_4 - \vec{k}_2) \cdot (\vec{y} + \vec{x})} e^{-i(\vec{k}_4 - \vec{k}_2) \cdot \vec{y}} = e^{+i(\vec{k}_3 - \vec{k}_1 + \vec{k}_4 - \vec{k}_2) \cdot \vec{x}} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{y}}$$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} \int d^3 \vec{y} \int d^3 \vec{x} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1 + \vec{k}_4 - \vec{k}_2) \cdot \vec{x}} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{y}}$$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} \int d^3 \vec{y} \int d^3 \vec{x} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1 + \vec{k}_4 - \vec{k}_2) \cdot \vec{x}} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{y}}$$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} \underbrace{\int d^3 \vec{x} e^{+i(\vec{k}_3 - \vec{k}_1 + \vec{k}_4 - \vec{k}_2) \cdot \vec{x}}}_{\text{Conservation of linear momentum in homogeneous space}} \int d^3 \vec{y} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{y}}$$

Conservation of linear momentum in homogeneous space

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V^2} V \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \int d^3 \vec{y} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{y}}$$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \int d^3 \vec{y} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{y}}$$

Fourier transform of the Screened Coulomb Potential

Small digression: Fourier transform of the Coulomb Potential

Fourier transform $g(\vec{k})$ of $f(\vec{r})$:

$$g(\vec{k}) = \iiint e^{-i\vec{k} \cdot \vec{r}} f(\vec{r}) d^3 r$$

When the integral does not converge:

$$g(\vec{k}) = \lim_{\mu \rightarrow 0^+} \iiint e^{-i\vec{k} \cdot \vec{r}} \boxed{e^{-\mu r}} f(\vec{r}) d^3 r$$

Given $g(\vec{k})$, how do we recover $f(\vec{r})$?

$$f(\vec{r}) = \left(\frac{1}{2\pi}\right)^3 \iiint e^{+i\vec{k} \cdot \vec{r}} g(\vec{k}) d^3 \vec{k}$$

When the integral does not converge:

$$f(\vec{r}) = \lim_{\mu \rightarrow 0^+} \iiint e^{+i\vec{k} \cdot \vec{r}} e^{-\mu k} g(\vec{k}) d^3 \vec{k}$$

rotational symmetry:

When $f(\vec{r}) = f(|\vec{r}|)$, then $g(\vec{k}) = g(|\vec{k}|)$; & vice versa

In the case of rotational symmetry, $f(\vec{r}) = f(|\vec{r}|) = f(r)$:

$$g(\vec{k}) = g(|\vec{k}|) = g(k) = \frac{4\pi}{k} \int_0^\infty dr r f(r) \sin(kr)$$

FT of Coulomb potential, $V(\vec{r}) = V(|\vec{r}|) = V(r) = \frac{1}{r}$

$$g(\vec{k}) = g(|\vec{k}|) = g(k) = \frac{4\pi}{k} \int_0^\infty dr \cancel{r} \frac{1}{r} \sin(kr) = \frac{4\pi}{k} \int_0^\infty dr \sin(kr)$$

FT of Coulomb potential, $V(\vec{r}) = V(|\vec{r}|) = V(r) = \frac{1}{r}$

$$g(\vec{k}) = g(|\vec{k}|) = g(k) = \frac{4\pi}{k} \int_0^\infty dr r \frac{1}{r} \sin(kr) = \frac{4\pi}{k} \int_0^\infty dr \sin(kr)$$

The above integral does not converge

FT of Screened Coulomb potential, $V^{SC}(\vec{r}) = V^{SC}(|\vec{r}|) = V^{SC}(r) = \lim_{\mu \rightarrow 0^+} \frac{e^{-\mu r}}{r}$

$$g(\vec{k}) = g(|\vec{k}|) = g(k) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \int_0^\infty dr r \frac{e^{-\mu r}}{r} \sin(kr) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \int_0^\infty dr e^{-\mu r} \sin(kr)$$

$$g(\vec{k}) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \int_0^\infty dr e^{-\mu r} \sin(kr) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \int_0^\infty dr e^{-\mu r} \text{Im}(e^{ikr})$$

$$g(\vec{k}) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \text{Im} \int_0^\infty dr (e^{ikr - \mu r}) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \text{Im} \left[\frac{e^{ikr - \mu r}}{ik - \mu} \right]_0^\infty$$

$$g(\vec{k}) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \operatorname{Im} \left[\frac{e^{ikr - \mu r}}{ik - \mu} \right]_0^\infty = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \operatorname{Im} \frac{1}{ik - \mu} [e^{ikr - \mu r}]_0^\infty$$

$$= \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \operatorname{Im} \frac{1}{ik - \mu} [0 - 1]$$

$$g(\vec{k}) = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \operatorname{Im} \frac{-1}{ik - \mu} = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \operatorname{Im} \frac{1}{\mu - ik}$$

$$= \lim_{\mu \rightarrow 0^+} \frac{4\pi}{k} \operatorname{Im} \frac{\mu + ik}{\mu^2 + k^2} = \lim_{\mu \rightarrow 0^+} \frac{4\pi}{\mu^2 + k^2} = \frac{4\pi}{k^2}$$

$$FT \text{ of } \left(\frac{e^{-\mu r}}{r} \right)^{SC} = \frac{4\pi}{\mu^2 + k^2}$$

$$FT \text{ of } \left(\frac{1}{r}\right)^c = \frac{4\pi}{k^2}$$

$$FT \text{ of } \frac{4\pi}{\mu^2 + k^2} = \left(\frac{e^{-\mu r}}{r} \right)^{SC}$$

$$FT \text{ of } \frac{4\pi}{k^2} = \left(\frac{1}{r}\right)^c$$

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \int d^3 \vec{y} \frac{e^{-\mu y}}{y} e^{+i(\vec{k}_3 - \vec{k}_1) \cdot \vec{y}}$$

$$FT \text{ of } \left(\frac{e^{-\mu r}}{r} \right)^{SC} = \frac{4\pi}{\mu^2 + k^2}$$

Reference: Fetter & Walecka
 Quantum Theory of Many-Particle Systems
 page 24 / Eq.3.14

Fourier transform
 of Screened
 Coulomb Potential

$$\langle \vec{k}_1 \sigma_1 \vec{k}_2 \sigma_2 | v^{sc} | \vec{k}_3 \sigma_3 \vec{k}_4 \sigma_4 \rangle = \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \frac{4\pi}{|\vec{k}_1 - \vec{k}_3|^2 + \mu^2}$$

$$H_{el}^{II, Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \frac{\hbar^2 k_1^2}{2m} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} + \frac{1}{2} \sum_{\vec{k}_1, \sigma_1} \sum_{\vec{k}_2, \sigma_2} \sum_{\vec{k}_3, \sigma_3} \sum_{\vec{k}_4, \sigma_4} \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \left(\frac{\frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4)}{\frac{4\pi}{|\vec{k}_1 - \vec{k}_3|^2 + \mu^2} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger c_{\vec{k}_4 \sigma_4} c_{\vec{k}_3 \sigma_3}} \right) \right]$$

Reference:

Fetter & Walecka - Quantum Theory of Many-
 Particle Systems; page 24 / Eq.3.15

Rearrange the terms → Cancellations with
 terms from the background....

$$H = H_{el} + H_b + H_{el-b}$$

$$H = H_{el} - \frac{1}{2} e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2}$$

Contribution of
this term (per electron)
diverges
as $\mu \rightarrow 0$
 μ^2 divergence

$$H_{el}^{II,Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \frac{\hbar^2 k_1^2}{2m} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} + \frac{1}{2} \sum_{\vec{k}_1, \sigma_1} \sum_{\vec{k}_2, \sigma_2} \sum_{\vec{k}_3, \sigma_3} \sum_{\vec{k}_4, \sigma_4} \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \left(\frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \right. \right. \\ \left. \left. \frac{4\pi}{|\vec{k}_1 - \vec{k}_3|^2 + \mu^2} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger c_{\vec{k}_4 \sigma_4} c_{\vec{k}_3 \sigma_3} \right) \right]$$

' δ ' $\Rightarrow \vec{k}_1 + \vec{k}_2 = \vec{k}_3 + \vec{k}_4 \Rightarrow \vec{k}_4 - \vec{k}_2 = \vec{k}_1 - \vec{k}_3 = \vec{q}$

Momentum transfer

For free electron gas in jellium



Questions:

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potential : $\langle H \rangle = \left[\frac{E_{\text{I order PT}}}{N} \right] = ?$

Next class:
Rearrange
the terms \rightarrow
Cancellations
with the
'divergence'
terms from
the
background

....

Select/Special Topics from 'Theory of Atomic Collisions and Spectroscopy'

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Unit 3

Lecture Number 20

Electron Gas in Hartree Fock and Random Phase Approximations

Plasma Oscillations in Free Electron Gas

Reference: Fetter &
Walecka
Quantum Theory of
Many-Particle Systems

References: 'The theory of plasma oscillations in metals'
- by S Raimes 1957 *Rep. Prog. Phys.* **20** 1
& 'Many Electron Theory' by Stanley Raimes

$$H = H_{el} + H_b + H_{el-b}$$

$$H = H_{el} - \frac{1}{2} e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2}$$

μ^2 divergence

Free Electron Gas in
Positive Jellium
Background Potential

Does the diverging term cancel with any part of H_{el} ?

$$H_{el}^{II,Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \frac{\hbar^2 k_1^2}{2m} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} + \frac{1}{2} \sum_{\vec{k}_1, \sigma_1} \sum_{\vec{k}_2, \sigma_2} \sum_{\vec{k}_3, \sigma_3} \sum_{\vec{k}_4, \sigma_4} \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \left(\frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \right. \right. \\ \left. \left. \frac{4\pi}{|\vec{k}_1 - \vec{k}_3|^2 + \mu^2} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger c_{\vec{k}_4 \sigma_4} c_{\vec{k}_3 \sigma_3} \right) \right]$$

$$\delta \Rightarrow \vec{k}_1 + \vec{k}_2 = \vec{k}_3 + \vec{k}_4 \Rightarrow \vec{k}_4 - \vec{k}_2 = \vec{k}_1 - \vec{k}_3 = \vec{q} \quad \text{Momentum transfer}$$

Rearrange the terms \rightarrow Cancellations with
terms from the background....

$$H_{el}^{II \text{ Q}} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \frac{\hbar^2 k_1^2}{2m} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} + \frac{1}{2} \sum_{\vec{k}_1, \sigma_1} \sum_{\vec{k}_2, \sigma_2} \sum_{\vec{k}_3, \sigma_3} \sum_{\vec{k}_4, \sigma_4} \delta_{\sigma_1, \sigma_3} \delta_{\sigma_2, \sigma_4} \left(\frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \right. \right. \\ \left. \left. \frac{4\pi}{|\vec{k}_1 - \vec{k}_3|^2 + \mu^2} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger c_{\vec{k}_4 \sigma_4} c_{\vec{k}_3 \sigma_3} \right) \right]$$

$$\left[\delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \right] \Rightarrow \vec{k}_1 + \vec{k}_2 = \vec{k}_3 + \vec{k}_4$$

$\vec{k}_4 - \vec{k}_2 = \vec{k}_1 - \vec{k}_3 = \vec{q}$: momentum transfer

constraint

$$\begin{array}{lll} \vec{k}_3 = \vec{k} & \vec{k}_1 = \underbrace{\vec{k}}_{\text{i.e.}} + \underbrace{\vec{q}}_{\text{only 3 variables}} \\ \vec{k}_1 = \vec{k}_3 + \vec{q} = \vec{k} + \vec{q} & \vec{k}_2 = \underbrace{\vec{p}}_{\vec{k}_3 = \vec{k}} - \underbrace{\vec{q}}_{\vec{k}_4 = \vec{p}} \\ \vec{k}_4 = \vec{p} & \end{array}$$

$$\vec{k}_2 = \vec{k}_4 - \vec{q} = \vec{p} - \vec{q} \quad \vec{k}_4 = \vec{p}$$

$$H_{el}^{II \text{ } Q} = \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \frac{\hbar^2 k_1^2}{2m} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} + \frac{1}{2} \sum_{\vec{k}_1} \sum_{\sigma_1} \sum_{\vec{k}_2} \sum_{\sigma_2} \sum_{\vec{k}_3} \sum_{\vec{k}_4} \left(\frac{e^2}{V} \delta(\vec{k}_1 + \vec{k}_2, \vec{k}_3 + \vec{k}_4) \times \frac{4\pi}{|\vec{k}_1 - \vec{k}_3|^2 + \mu^2} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_2 \sigma_2}^\dagger c_{\vec{k}_4 \sigma_2} c_{\vec{k}_3 \sigma_1} \right) \right]$$

$$\vec{k}_1 = \vec{k} + \vec{q}; \quad \vec{k}_2 = \vec{p} - \vec{q}; \quad \vec{k}_3 = \vec{k}; \quad \vec{k}_4 = \vec{p}$$

$$H_{el}^{II \text{ } Q} = \left[\sum_{\vec{k} + \vec{q}} \sum_{\sigma} \frac{\hbar^2 (\vec{k} + \vec{q})^2}{2m} c_{\vec{k} + \vec{q} \sigma}^\dagger c_{\vec{k} + \vec{q} \sigma} + \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \left(\sum_{\vec{q}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k} + \vec{q} \sigma_1}^\dagger c_{\vec{p} - \vec{q} \sigma_2}^\dagger c_{\vec{p} \sigma_2} c_{\vec{k} \sigma_1} \right) \right) \right]$$

← K.E. term $\sum_{\vec{k}_1} \equiv \sum_{\vec{k} + \vec{q}}$

separate the $\vec{q} = \vec{0}$ term in the $e - e$ interaction

**e-e
term**

$$H_{e-e}^{II \text{ } Q} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \left(\sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k} + \vec{q} \sigma_1}^\dagger c_{\vec{p} - \vec{q} \sigma_2}^\dagger c_{\vec{p} \sigma_2} c_{\vec{k} \sigma_1} \right) \right) + \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{\mu^2} c_{\vec{k} \sigma_1}^\dagger c_{\vec{p} \sigma_2}^\dagger c_{\vec{p} \sigma_2} c_{\vec{k} \sigma_1} \right) \right]$$

$\sum_{\vec{q} = \vec{0}}$ μ² = q² + μ² for q = 0

$$H_{e-e}^{II Q} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right] \\ + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\substack{\vec{p} \\ \vec{q}=\vec{0}}} \sum_{\sigma_1} \sum_{\sigma_2} \left(c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right)$$

$\vec{q} = \vec{0}$ term
separated

$$\left[c_{r_1\sigma_1}, c_{r_2\sigma_2}^\dagger \right]_\pm = \delta_{r_1 r_2} \delta_{\sigma_1 \sigma_2} \quad \left[c_{r_1\sigma_1}^\dagger, c_{r_2\sigma_2}^\dagger \right]_\pm = 0 \quad \left[c_{r_1\sigma_1}, c_{r_2\sigma_2} \right]_\pm = 0$$

$$\underbrace{c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1}}_{= -} = - \underbrace{c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2}^\dagger c_{\vec{k}\sigma_1} c_{\vec{p}\sigma_2}}_{=} \\ = c_{\vec{k}\sigma_1}^\dagger c_{\vec{k}\sigma_1} c_{\vec{p}\sigma_2}^\dagger c_{\vec{p}\sigma_2} - c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}, \vec{p}}$$

$$H_{e-e}^{II Q} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right] \\ + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\substack{\vec{p} \\ \vec{q}=\vec{0}}} \sum_{\sigma_1} \sum_{\sigma_2} \left(c_{\vec{k}\sigma_1}^\dagger c_{\vec{k}\sigma_1} c_{\vec{p}\sigma_2}^\dagger c_{\vec{p}\sigma_2} - c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}, \vec{p}} \right)$$

$$\begin{aligned}
H &= -\frac{1}{2} \frac{e^2 N^2}{V} \frac{4\pi}{\mu^2} + H_{el}^{II Q} \\
&= -\frac{1}{2} \frac{e^2 N^2}{V} \frac{4\pi}{\mu^2} + \left[\sum_{\vec{k}_1} \sum_{\sigma_1} \frac{\hbar^2 k_1^2}{2m} c_{\vec{k}_1 \sigma_1}^\dagger c_{\vec{k}_1 \sigma_1} + \boxed{H_{e-e}^{II Q}} \right]
\end{aligned}$$

Reference: Fetter & Walecka - Quantum Theory of Many-Particle Systems; page 24 / Eq.3.15

$$\begin{aligned}
&\boxed{H_{e-e}^{II Q}} = \\
&\quad \boxed{\downarrow \vec{q} \neq \vec{0} \text{ terms}} \\
&= \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q} \sigma_1}^\dagger c_{\vec{p}-\vec{q} \sigma_2}^\dagger c_{\vec{p} \sigma_2} c_{\vec{k} \sigma_1} \right) \right. \\
&= \left[+ \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\substack{\sigma_1 \\ \vec{q}=\vec{0}}} \sum_{\sigma_2} \left(c_{\vec{k} \sigma_1}^\dagger c_{\vec{k} \sigma_1} c_{\vec{p} \sigma_2}^\dagger c_{\vec{p} \sigma_2} - c_{\vec{k} \sigma_1}^\dagger c_{\vec{p} \sigma_2} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}, \vec{p}} \right) \right] \\
&\quad \boxed{\uparrow \vec{q} = \vec{0} \text{ terms}}
\end{aligned}$$

$$H_{e-e}^{IIQ} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right. \\ \left. + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q}=\vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(c_{\vec{k}\sigma_1}^\dagger c_{\vec{k}\sigma_1} c_{\vec{p}\sigma_2}^\dagger c_{\vec{p}\sigma_2} - c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}, \vec{p}} \right) \right]$$

★ ★

We now write these two terms separately

$$H_{e-e}^{IIQ} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right. \\ \left. + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\substack{\sigma_1 \\ \vec{q}=\vec{0}}} \sum_{\sigma_2} c_{\vec{k}\sigma_1}^\dagger c_{\vec{k}\sigma_1} c_{\vec{p}\sigma_2}^\dagger c_{\vec{p}\sigma_2} \right. \\ \left. - \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\substack{\sigma_1 \\ \vec{q}=\vec{0}}} \sum_{\sigma_2} c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}, \vec{p}} \right]$$

★ ★

$$H_{e-e}^{II Q} = \left[\begin{array}{l} \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \\ + \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q}=\vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} c_{\vec{k}\sigma_1}^\dagger c_{\vec{k}\sigma_1} c_{\vec{p}\sigma_2}^\dagger c_{\vec{p}\sigma_2} \\ - \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q}=\vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} c_{\vec{k}\sigma_1}^\dagger c_{\vec{p}\sigma_2} \delta_{\sigma_1, \sigma_2} \delta_{\vec{k}, \vec{p}} \end{array} \right]$$

Number operator

$$H_{e-e}^{II Q} = \left[\begin{array}{l} \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \\ + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q}=\vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} n_{\vec{k}\sigma_1} n_{\vec{p}\sigma_2} \\ - \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\sigma_1} n_{\vec{k}\sigma_1} \end{array} \right]$$

$$H_{el}^{II Q}, ST = \left[\begin{array}{l} \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \\ + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\substack{\vec{p} \\ \vec{q}=\vec{0}}} \sum_{\sigma_1} \sum_{\sigma_2} n_{\vec{k}\sigma_1} n_{\vec{p}\sigma_2} - \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \sum_{\vec{k}} \sum_{\substack{\sigma_1 \\ \vec{q}=\vec{0}}} n_{\vec{k}\sigma_1} \end{array} \right]$$

$\downarrow \vec{q} \neq \vec{0}$ terms

$$H_{el}^{II Q}, ST = \left[\begin{array}{l} \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \left(\sum_{\vec{q} \neq \vec{0}} \right) \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \\ + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \left(\sum_{\vec{k}} \sum_{\substack{\sigma_1 \\ \vec{q}=\vec{0}}} n_{\vec{k}\sigma_1} \right) \left(\sum_{\vec{p}} \sum_{\sigma_2} n_{\vec{p}\sigma_2} \right) - \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \left(\sum_{\vec{k}} \sum_{\substack{\sigma_1 \\ \vec{q}=\vec{0}}} n_{\vec{k}\sigma_1} \right) \end{array} \right]$$

The above summations give the total number operator

$$H_{el}^{II Q}, ST = \left[\begin{array}{l} \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \\ + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \hat{N}^2 - \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \hat{N} \end{array} \right]$$

$\vec{q} = \vec{0}$ terms

$$H_{e-e}^{II Q} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right] \\ + \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \hat{N}^2 - \frac{1}{2} \frac{e^2}{V} \frac{4\pi}{\mu^2} \hat{N}$$

We now replace the number operators by their eigenvalues

$$H_{e-e}^{II Q} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right]$$

$\vec{q} = \vec{0}$ terms

C-number contributions
having μ^2 divergence

$$\vec{q} = \vec{0} \text{ terms} \rightarrow +\frac{1}{2V} \frac{e^2}{\mu^2} \frac{4\pi N^2}{\mu^2} - \frac{1}{2V} \frac{e^2}{\mu^2} \frac{4\pi N}{\mu^2}$$

C-number contributions to

$$H_{e-e}$$

and hence to

$$H = H_{el} + H_b + H_{el-b}$$

contribution to

$$\frac{E_{HF}}{N} : \text{per particle}$$

$$-\frac{1}{2V} \frac{e^2}{\mu^2} \frac{4\pi}{\mu^2}$$

From slide 100:

$$H = H_{el} - \frac{1}{2} e^2 \frac{N^2}{V} \frac{4\pi}{\mu^2}$$

Reference: Fetter & Walecka
Quantum Theory of Many-Particle Systems;
page 25

First: $V \rightarrow \infty$, next: $\mu \rightarrow 0$

$$L^3 = V : \frac{1}{\mu} \ll L; \quad \frac{1}{L} \ll \mu$$

$$H_{e-e} = \left[\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2 + \mu^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right]$$

$\boxed{+ \frac{1 \cdot e^2}{2 V} \frac{4\pi N^2}{\mu^2} - \frac{1 \cdot e^2}{2 V} \frac{4\pi N}{\mu^2}}$

$\vec{q} = \vec{0}$ terms

cancel

$$H = H_{el} + \overbrace{H_b + H_{el-b}}^{1] \text{ } \lim_{V \rightarrow \infty} \frac{E_{HF}}{N}}$$

Hamiltonian for a bulk electron gas in a uniform positive background jellium potential

$$H = \left[\sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}} \sum_{\vec{p}} \left(\sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{q^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right) \right]$$

$\mu \rightarrow 0$

Reference: Fetter & Walecka
 Quantum Theory of Many-Particle Systems; page 25 / Eq.3.19

Hamiltonian for a bulk electron gas in a uniform positive background jellium potential

Ref: F & W; page 25 / Eq.3.19

$$H = \sum_{\vec{k}} \sum_{\sigma} \underbrace{\frac{\hbar^2 \vec{k}^2}{2m} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma}} + \underbrace{\frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}, \sigma_1} \sum_{\vec{p}, \sigma_2} \sum_{\vec{q} \neq 0} \left(\frac{4\pi}{q^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right)}_{\text{Red bracket}}$$

$N \times \left(\frac{4}{3} \pi r_s^3 \right) = V \leftrightarrow r_s$: radius of a sphere whose volume is equal to the average volume per electron. *length scale:* $Bohr\ radius = a_0 = \frac{\hbar^2}{me^2}$

$$\text{dimensionless : } r_0 = \frac{r_s}{a_0}$$

$$\text{scaling: } \tilde{\vec{k}} = r_s \vec{k}; \quad \tilde{V} = \frac{V}{r_s^3}; \quad \tilde{\vec{p}} = r_s \vec{p}; \quad \tilde{q} = r_s q$$

$$\frac{\hbar^2 \vec{k}^2}{2m} = ?$$

$$\frac{1}{2} \frac{e^2}{V} \frac{1}{q^2} = ?$$

length scale:

$$\text{Bohr radius} = a_0 = \frac{\hbar^2}{me^2}$$

$$\text{dimensionless : } r_0 = \frac{r_s}{a_0}$$

scaling: $\tilde{k} = r_s \vec{k}; \quad \tilde{V} = \frac{V}{r_s^3}; \quad \tilde{p} = r_s \vec{p}; \quad \tilde{q} = r_s q$

$$\begin{aligned} \frac{\hbar^2 \vec{k}^2}{2m} &= \frac{\hbar^2 \left(\frac{\tilde{k}}{r_s} \right)^2}{2m} = \left(\frac{1}{r_s} \right)^2 \frac{\hbar^2 \tilde{k}^2}{2m} \\ &= \left(\frac{1}{a_0 r_0} \right)^2 \frac{\hbar^2 \tilde{k}^2}{2m} = \left(\frac{me^2}{\hbar^2 r_0} \right) \left(\frac{me^2}{\hbar^2 r_0} \right) \frac{\hbar^2 \tilde{k}^2}{2m} \\ &= \boxed{\left(\frac{e^2}{a_0 r_0^2} \right) \frac{\tilde{k}^2}{2}} \end{aligned}$$

$$\begin{aligned} \frac{e^2}{2V} \frac{1}{q^2} &= \frac{e^2}{2r_s^3 \tilde{V}} \frac{r_s^2}{\tilde{q}^2} \\ &= \frac{e^2}{2a_0 r_0 \tilde{V}} \frac{1}{\tilde{q}^2} \\ &= \boxed{\frac{e^2}{a_0 r_0^2} \frac{r_0}{2\tilde{V}} \frac{1}{\tilde{q}^2}} \end{aligned}$$

Hamiltonian for a bulk electron gas in a uniform positive background jellium potential

$$H = \sum_{\vec{k}} \sum_{\sigma} \underbrace{\frac{\hbar^2 \vec{k}^2}{2m}} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \frac{1}{2} \underbrace{\frac{e^2}{V} \sum_{\vec{k}, \sigma_1} \sum_{\vec{p}, \sigma_2} \sum_{\vec{q} \neq 0} \left(\frac{4\pi}{q^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right)}$$

$$\frac{\hbar^2 \vec{k}^2}{2m} = \left(\frac{e^2}{a_0 r_0^2} \right) \frac{\tilde{\vec{k}}^2}{2}$$

$$\frac{e^2}{2V} \frac{1}{q^2} = \left(\frac{e^2}{a_0 r_0^2} \right) \frac{r_0}{2\tilde{V}} \frac{1}{\tilde{q}^2}$$

$$H = \left(\frac{e^2}{a_0 r_0^2} \right) \left[\sum_{\tilde{\vec{k}}} \sum_{\sigma} \frac{\tilde{\vec{k}}^2}{2} c_{\tilde{\vec{k}}\sigma}^\dagger c_{\tilde{\vec{k}}\sigma} + \frac{1}{2} \frac{r_0}{\tilde{V}} \sum_{\tilde{\vec{k}}} \sum_{\tilde{\vec{p}}} \sum_{\tilde{\vec{q}} \neq 0} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{\tilde{q}^2} c_{\tilde{\vec{k}}+\tilde{\vec{q}}\sigma_1}^\dagger c_{\tilde{\vec{p}}-\tilde{\vec{q}}\sigma_2}^\dagger c_{\tilde{\vec{p}}\sigma_2} c_{\tilde{\vec{k}}\sigma_1} \right) \right]$$

$$H = \left(\frac{e^2}{a_0 r_0^2} \right) \left[\sum_{\tilde{\vec{k}}} \sum_{\sigma} \frac{\tilde{\vec{k}}^2}{2} c_{\tilde{\vec{k}}\sigma}^\dagger c_{\tilde{\vec{k}}\sigma} + \frac{1}{2} \frac{r_0}{V} \sum_{\tilde{\vec{k}}} \sum_{\tilde{\vec{p}}} \sum_{\tilde{\vec{q}} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \left(\frac{4\pi}{\tilde{q}^2} c_{\tilde{\vec{k}}+\tilde{\vec{q}}\sigma_1}^\dagger c_{\tilde{\vec{p}}-\tilde{\vec{q}}\sigma_2}^\dagger c_{\tilde{\vec{p}}\sigma_2} c_{\tilde{\vec{k}}\sigma_1} \right) \right]$$

Reference: Fetter & Walecka
 Quantum Theory of Many-Particle Systems; page 25 / Eq.3.24

$r_0 \rightarrow 0$: "high density" 1st order perturbative treatment possible
even if the perturbation is not weak.

$$H = \sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}, \sigma_1} \sum_{\vec{p}, \sigma_2} \sum_{\vec{q} \neq \vec{0}} \left(\frac{4\pi}{q^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right)$$

$$= H_0 \text{ (unperturbed part)} + H_1 \text{ (perturbation)}$$

Reference: Fetter & Walecka
 Quantum Theory of Many-Particle Systems; page 25 / Eq.3.24

$$\langle \Phi_0 | H | \Phi_0 \rangle = \langle \Phi_0 | H_0 | \Phi_0 \rangle + \langle \Phi_0 | H_1 | \Phi_0 \rangle$$

$$\begin{aligned} \langle \Phi_0 | H_0 | \Phi_0 \rangle &= \left\langle \Phi_0 \left| \sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} \right| \Phi_0 \right\rangle \\ &= \sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} = 2 \sum_{|\vec{k}| \leq |\vec{k}_F|} \frac{\hbar^2 \vec{k}^2}{2m} \end{aligned}$$

$$\sum_{\vec{k}} \rightarrow \frac{1}{\left(\frac{2\pi}{L}\right)^3} \iiint d^3 \vec{k} : \text{integration in } \vec{k} \text{ space}$$

STiTACS
Unit 3
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$$\langle \Phi_0 | H_0 | \Phi_0 \rangle = 2 \times \frac{V}{8\pi^3} \times 4\pi \int_{k=0}^{k=k_f} k^2 dk \frac{\hbar^2 \vec{k}^2}{2m}$$

$$\begin{aligned}
H &= \sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}, \sigma_1} \sum_{\vec{p}, \sigma_2} \sum_{\vec{q} \neq 0} \left(\frac{4\pi}{q^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \\
&= H_0 \text{ (unperturbed part)} + H_1 \text{ (perturbation)}
\end{aligned}$$

Ref: F & W
QToMPS; p 25 Eq.3.19

$$\langle \Phi_0 | H | \Phi_0 \rangle = \langle \Phi_0 | H_0 | \Phi_0 \rangle + \langle \Phi_0 | H_1 | \Phi_0 \rangle$$

$$\begin{aligned}
\langle \Phi_0 | H_0 | \Phi_0 \rangle &= \left\langle \Phi_0 \left| \sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} \right| \Phi_0 \right\rangle \\
&= \sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} = 2 \sum_{|\vec{k}| \leq |\vec{k}_F|} \frac{\hbar^2 \vec{k}^2}{2m}
\end{aligned}$$

$$\begin{aligned}
\langle \Phi_0 | H_0 | \Phi_0 \rangle &= 2 \times \frac{V}{8\pi^3} \times 4\pi \int_{k=0}^{k=k_f} k^2 dk \frac{\hbar^2 \vec{k}^2}{2m} \\
&= \frac{\hbar^2}{m} \times \frac{V}{8\pi^3} \times 4\pi \int_{k=0}^{k=k_f} k^4 dk \\
&= \frac{\hbar^2}{m} \times \frac{V}{8\pi^3} \times 4\pi \frac{k_F^5}{5} = \frac{\hbar^2 V}{10m\pi^2} k_F^5
\end{aligned}$$

K.E. contribution to the average HF ground state energy per electron in a free-electron-gas .

Ref: F & W

QToMPS; p 27 Eq.3.30

PCD STiTACS Unit 3 Electron Gas in HF & RPA

Same: Slide 85↑

$$\left[\frac{E_{HF}^{(0)}}{N} \right] = \left(\frac{2.21}{r_s^2} \right) Ryd$$

$$H = \sum_{\vec{k}} \sum_{\sigma} \frac{\hbar^2 \vec{k}^2}{2m} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} + \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}, \sigma_1} \sum_{\vec{p}, \sigma_2} \sum_{\vec{q} \neq 0} \left(\frac{4\pi}{q^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right)$$

= H_0 (unperturbed part) + H_1 (perturbation)

$$\langle \Phi_0 | H | \Phi_0 \rangle = \langle \Phi_0 | H_0 | \Phi_0 \rangle + \boxed{\langle \Phi_0 | H_1 | \Phi_0 \rangle}$$

First order

← Perturbation Theory

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle = \left\langle \Phi_0 \left| \frac{1}{2} \frac{e^2}{V} \sum_{\vec{k}, \sigma_1} \sum_{\vec{p}, \sigma_2} \sum_{\vec{q} \neq 0} \left(\frac{4\pi}{q^2} c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right) \right| \Phi_0 \right\rangle$$

$$= \sum_{\vec{k}, \sigma_1} \sum_{\vec{p}, \sigma_2} \sum_{\vec{q} \neq 0} \frac{1}{2} \frac{4\pi}{q^2} \frac{e^2}{V} \underbrace{\left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle}_{\text{Ref: F \& W}}$$

QToMPS; p 27 Eq.3.31

$$\left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle_{\substack{\text{would} \\ \text{be}}} = 0$$

unless $p, k \leq k_f$ so that $c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1}$ annihilate electrons in those states

and $c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger$ create particles in the same/corresponding empty states.

$$\left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle = 0$$

*would
be*

unless $p, k \leq k_f$ so that $c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1}$ annihilate electrons in those states
and $c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger$ create particles in the same/corresponding empty states.

$$\Rightarrow (1) \quad \vec{k} + \vec{q}, \sigma_1 = \vec{k}, \sigma_1 \quad \& \quad \vec{p} - \vec{q}, \sigma_2 = \vec{p}, \sigma_2$$

$$\textcolor{blue}{or} \quad (2) \quad \vec{k} + \vec{q}, \sigma_1 = \vec{p}, \sigma_2 \quad \& \quad \vec{p} - \vec{q}, \sigma_2 = \vec{k}, \sigma_1$$

$\vec{q} \neq \vec{0} \Rightarrow$ second possibility must be correct, not first.

$$\Rightarrow \left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle = \delta_{\vec{k}+\vec{q}, \vec{p}} \delta_{\sigma_1, \sigma_2} \underbrace{\left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{k}\sigma_1}^\dagger c_{\vec{k}+\vec{q}\sigma_1} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle}_{\text{red bracket}}$$

$$\left[a_r, a_s^\dagger \right]_+ = \delta_{rs} \underset{q \neq 0}{\Rightarrow} c_{\vec{k}\sigma_1}^\dagger c_{\vec{k}+\vec{q}\sigma_1} = -c_{\vec{k}+\vec{q}\sigma_1} c_{\vec{k}\sigma_1}^\dagger$$

$$\Rightarrow \left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle = \delta_{\vec{k}+\vec{q}, \vec{p}} \delta_{\sigma_1, \sigma_2} \left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger \left(-c_{\vec{k}+\vec{q}\sigma_1} c_{\vec{k}\sigma_1}^\dagger \right) c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle$$

$$\left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_2}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle = \delta_{\vec{k}+\vec{q},\vec{p}} \delta_{\sigma_1,\sigma_2} \left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger \left(\underset{\uparrow}{-} c_{\vec{k}+\vec{q}\sigma_1} c_{\vec{k}\sigma_1}^\dagger \right) c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle$$

we had : $\left\langle \Phi_0 \left| H_1 \right| \Phi_0 \right\rangle = \sum_{\vec{k},\sigma_1} \sum_{\vec{p},\sigma_2} \sum_{\vec{q} \neq \vec{0}} \frac{1}{2} \left(\frac{4\pi}{q^2} \right) \frac{e^2}{V} \left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{p}-\vec{q}\sigma_1}^\dagger c_{\vec{p}\sigma_2} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle$

$$\begin{aligned} \left\langle \Phi_0 \left| H_1 \right| \Phi_0 \right\rangle &= \\ &= \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \frac{1}{2} \left(\frac{4\pi}{q^2} \right) \frac{e^2}{V} \left\{ \underset{\uparrow}{-} \delta_{\vec{k}+\vec{q},\vec{p}} \delta_{\sigma_1,\sigma_2} \left\langle \Phi_0 \left| c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{k}+\vec{q}\sigma_1}^\dagger c_{\vec{k}\sigma_1} c_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle \right\} \end{aligned}$$

$$\left\langle \Phi_0 \left| H_1 \right| \Phi_0 \right\rangle = \text{number operators}$$

$$= - \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \frac{1}{2} \left(\frac{4\pi}{q^2} \right) \frac{e^2}{V} \delta_{\vec{k}+\vec{q},\vec{p}} \delta_{\sigma_1,\sigma_2} \left\langle \Phi_0 \left| n_{\vec{k}+\vec{q}\sigma_1} n_{\vec{k}\sigma_1} \right| \Phi_0 \right\rangle$$

$$\begin{aligned} \left\langle \Phi_0 \left| n_{\vec{k}+\vec{q},\sigma_1} n_{\vec{k},\sigma_1} \right| \Phi_0 \right\rangle &= 1 \text{ for } |\vec{k} + \vec{q}| \leq k_F \text{ and } k \leq k_F \\ &= 0 \text{ for } |\vec{k} + \vec{q}| > k_F \text{ or } k > k_F \text{ (or both } > k_f) \end{aligned}$$

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle = -\sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \frac{1}{2} \frac{4\pi e^2}{q^2 V} \delta_{\vec{k} + \vec{q}, \vec{p}} \delta_{\sigma_2, \sigma_1} \langle \Phi_0 | n_{\vec{k} + \vec{q}, \sigma_1} n_{\vec{k}, \sigma_1} | \Phi_0 \rangle$$

$$\begin{aligned} \langle \Phi_0 | n_{\vec{k} + \vec{q}, \sigma_1} n_{\vec{k}, \sigma_1} | \Phi_0 \rangle &= 1 \text{ for } |\vec{k} + \vec{q}| \leq k_F \text{ and } k \leq k_F \\ &= 0 \text{ for } |\vec{k} + \vec{q}| > k_F \text{ or } k > k_F \text{ or both } > k_F \end{aligned}$$

$$\langle \Phi_0 | n_{\vec{k} + \vec{q}, \sigma_1} n_{\vec{k}, \sigma_1} | \Phi_0 \rangle = 1 \text{ for } (|\vec{k} + \vec{q}| - k_F) \leq 0 \text{ and } (k - k_F) \leq 0$$

$$1 \quad = 0 \text{ for } (|\vec{k} + \vec{q}| - k_F) > 0 \text{ or } (k - k_F) > 0$$

Heaviside step function



i.e. $\langle \Phi_0 | n_{\vec{k} + \vec{q}, \sigma_1} n_{\vec{k}, \sigma_1} | \Phi_0 \rangle = \theta(k_F - |\vec{k} + \vec{q}|) \times \theta(k_F - k)$

$$\begin{aligned}
\langle \Phi_0 | H_1 | \Phi_0 \rangle &= \\
&= - \sum_{\vec{k}} \sum_{\vec{p}} \sum_{\vec{q} \neq \vec{0}} \sum_{\sigma_1} \sum_{\sigma_2} \frac{1}{2} \frac{4\pi}{q^2} \frac{e^2}{V} \delta_{\vec{k} + \vec{q}, \vec{p}} \delta_{\sigma_2, \sigma_1} \langle \Phi_0 | n_{\vec{k} + \vec{q}, \sigma_1} n_{\vec{k}, \sigma_1} | \Phi_0 \rangle \\
&\quad \left\langle \Phi_0 \left| n_{\vec{k} + \vec{q}, \sigma_1} n_{\vec{k}, \sigma_1} \right| \Phi_0 \right\rangle = \theta(k_F - |\vec{k} + \vec{q}|) \times \theta(k_F - k) \\
\langle \Phi_0 | H_1 | \Phi_0 \rangle &= \\
&= - \sum_{\vec{k}} \underbrace{\sum_{\vec{p}}}_{\text{purple}} \sum_{\vec{q} \neq \vec{0}} \underbrace{\sum_{\sigma_1} \sum_{\sigma_2}}_{\substack{\text{green} \\ \text{red}}} \frac{1}{2} \frac{4\pi}{q^2} \frac{e^2}{V} \delta_{\vec{k} + \vec{q}, \vec{p}} \delta_{\sigma_2 \sigma_1} \theta(k_F - |\vec{k} + \vec{q}|) \theta(k_F - k) \\
&\quad \uparrow \quad \text{Ref: F \& W} \\
&\quad \quad \quad \text{QToMPS; p 28 Eq.3.33} \\
&= - \sum_{\vec{k}} \sum_{\vec{q} \neq \vec{0}} \frac{4\pi}{q^2} \frac{e^2}{V} \theta(k_F - |\vec{k} + \vec{q}|) \theta(k_F - k) \\
\langle \Phi_0 | H_1 | \Phi_0 \rangle &= - \sum_{\vec{k}} \sum_{\vec{q} \neq \vec{0}} \frac{4\pi}{q^2} \frac{e^2}{V} \theta(k_F - |\vec{k} + \vec{q}|) \theta(k_F - k)
\end{aligned}$$

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle = - \sum_{\vec{k}} \sum_{\vec{q} \neq \vec{0}} \frac{4\pi e^2}{q^2 V} \theta(k_F - |\vec{k} + \vec{q}|) \theta(k_F - k)$$

From Unit 3, Lecture 18,
Slide Number 80:

$$\sum_{\vec{k},'} \rightarrow \left(\frac{L}{2\pi} \right)^3 \iiint d^3 \vec{k} \cdot$$

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle = - \underbrace{\left(\frac{L}{2\pi} \right)^3 \iiint d^3 \vec{k}}_{\text{q = 0 now included}} \underbrace{\left(\frac{L}{2\pi} \right)^3 \iiint d^3 \vec{q}}_{\text{q = 0 now included}} \left[\frac{4\pi e^2}{q^2 V} \theta(k_F - |\vec{k} + \vec{q}|) \theta(k_F - k) \right]$$

$$q = 0 \text{ now included: } d^3 \vec{q} = q^2 dq \sin \theta d\theta d\phi$$

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle = - \frac{4\pi e^2 V}{(2\pi)^6} \iiint d^3 \vec{k} \iiint d^3 \vec{q} \left[\frac{1}{q^2} \theta(k_F - |\vec{k} + \vec{q}|) \theta(k_F - k) \right]$$

Ref: F & W
QToMPS; p 28 Eq.3.34

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle = -\frac{4\pi e^2 V}{(2\pi)^6} \iiint d^3 \vec{k} \iiint d^3 \vec{q} \left[\frac{1}{q^2} \theta(k_F - |\vec{k} + \vec{q}|) \theta(k_F - k) \right]$$

Ref: F & W
QToMPS; p 28 Eq.3.34

change variable, $\vec{k} \rightarrow \left(\vec{k} + \frac{1}{2} \vec{q} \right) = \vec{P}$

$$\iiint d^3 \vec{k} \rightarrow \iiint d^3 \vec{P}$$

i.e. $\vec{k} = \vec{P} - \frac{1}{2} \vec{q}$ consequently: $(\vec{k} + \vec{q}) = \left(\vec{P} + \frac{1}{2} \vec{q} \right)$

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle =$$

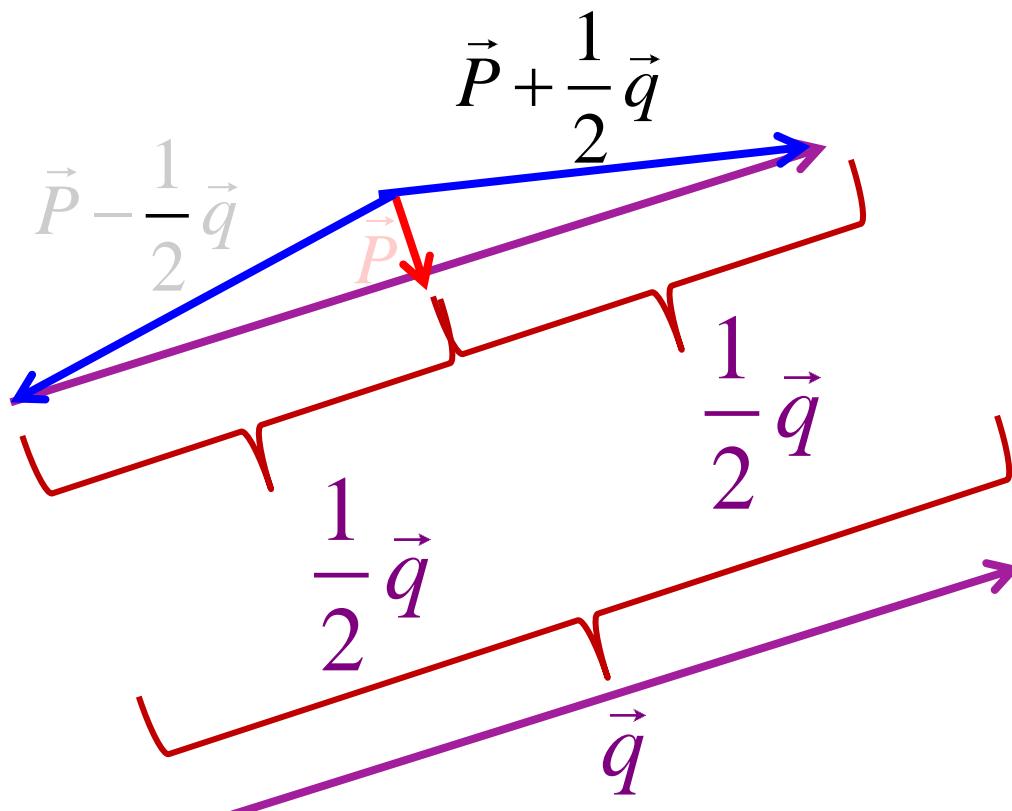
$$= -\frac{4\pi e^2 V}{(2\pi)^6} \iiint d^3 \vec{q} \frac{1}{q^2} \underbrace{\left\{ \iiint d^3 \vec{P} \left[\theta\left(k_F - \left| \vec{P} + \frac{1}{2} \vec{q} \right| \right) \theta\left(k_F - \left| \vec{P} - \frac{1}{2} \vec{q} \right| \right) \right] \right\}}$$

Note the symmetry

We have to evaluate this volume in the k-space.

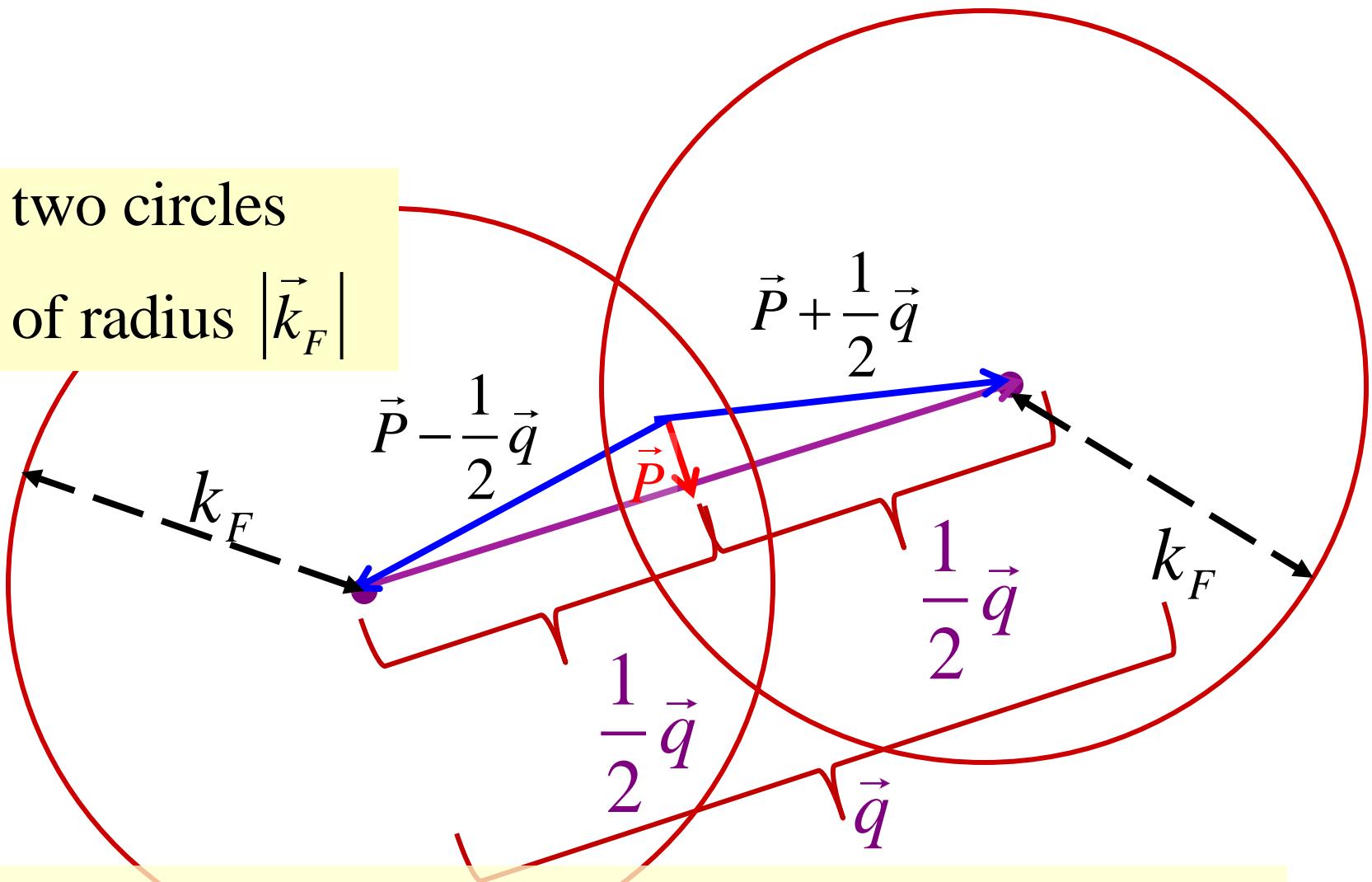
$\langle \Phi_0 | H_1 | \Phi_0 \rangle =$ We have to evaluate this volume in the k-space.

$$= -\frac{4\pi e^2 V}{(2\pi)^6} \iiint d^3 \vec{q} \frac{1}{q^2} \left\{ \iiint d^3 \vec{P} \left[\theta\left(k_F - \left| \vec{P} + \frac{1}{2} \vec{q} \right| \right) \theta\left(k_F - \left| \vec{P} - \frac{1}{2} \vec{q} \right| \right) \right] \right\}$$



two circles

of radius $|\vec{k}_F|$

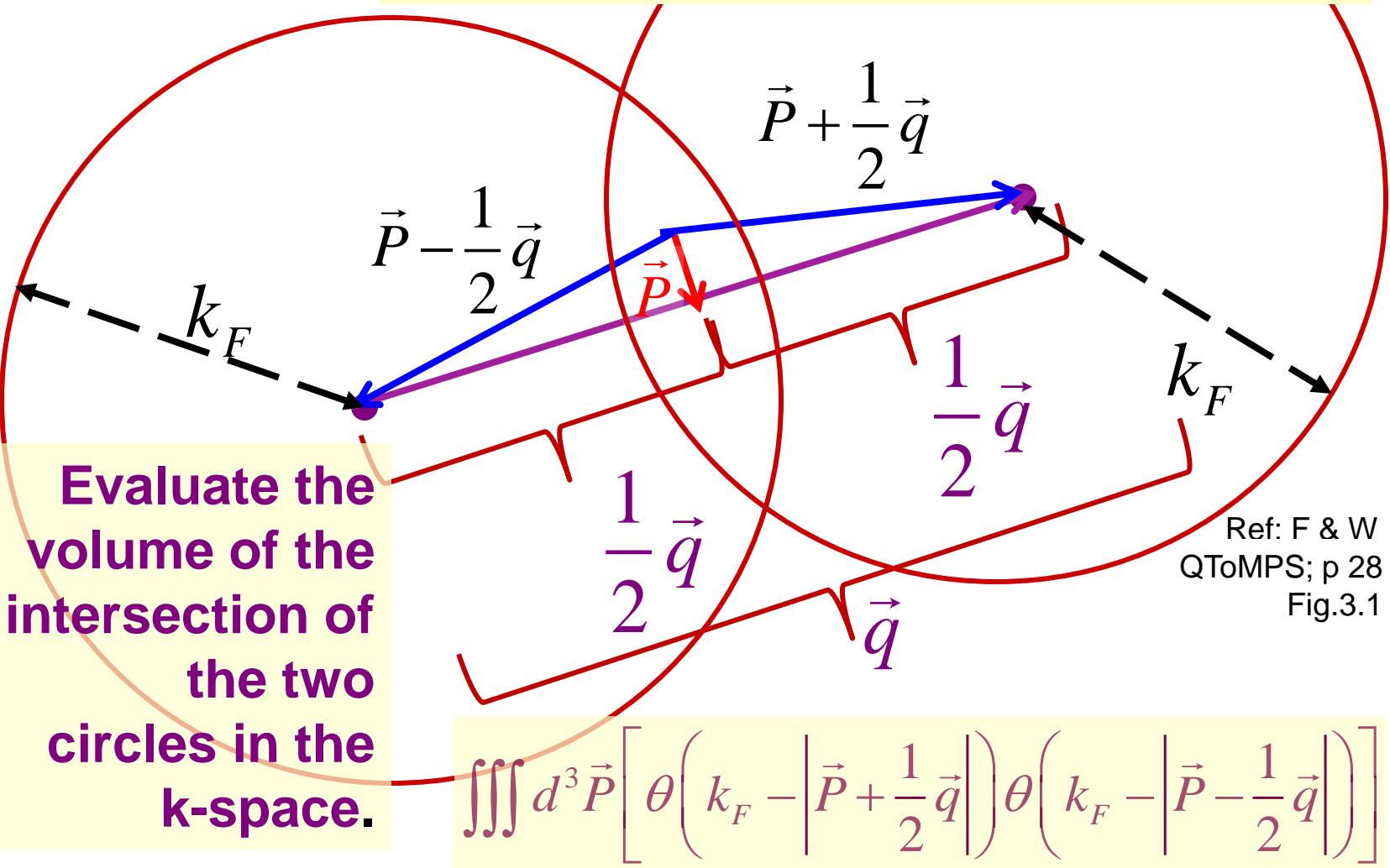


Note where the centers of the circles are chosen

k_F : radius
of the circles

in the region of intersection of the two circles,

we have $\left| \vec{P} + \frac{1}{2} \vec{q} \right| < k_F$ **and also** $\left| \vec{P} - \frac{1}{2} \vec{q} \right| < k_F$



$$\langle \Phi_0 | H_1 | \Phi_0 \rangle = -\frac{4\pi e^2 V}{(2\pi)^6} \iiint d^3 \vec{q} \frac{1}{q^2} \left\{ \iiint d^3 \vec{P} \left[\theta\left(k_F - \left| \vec{P} + \frac{1}{2} \vec{q} \right| \right) \theta\left(k_F - \left| \vec{P} - \frac{1}{2} \vec{q} \right| \right) \right] \right\}$$

$$\iiint d^3 \vec{P} \left[\theta\left(k_F - \left| \vec{P} + \frac{1}{2} \vec{q} \right| \right) \theta\left(k_F - \left| \vec{P} - \frac{1}{2} \vec{q} \right| \right) \right] = \frac{4\pi}{3} k_F^3 \left(1 - \frac{3}{2}x + \frac{1}{2}x^3 \right) \theta(1-x),$$

F&W: QToMPS; p 28 Eq.3.35

with $x = \frac{q}{2k_F}$

$$\langle \Phi_0 | H_1 | \Phi_0 \rangle =$$

$$= -\frac{4\pi e^2 V}{(2\pi)^6} \int_{\substack{\text{whole} \\ \text{space}}} (4\pi q^2 dq) \frac{1}{q^2} \left\{ \frac{4\pi}{3} k_F^3 \left(1 - \frac{3}{2}x + \frac{1}{2}x^3 \right) \theta(1-x) \right\}$$

$$= -\frac{4\pi e^2 V}{(2\pi)^6} \int_{\substack{\text{whole} \\ \text{space}}} (4\pi 2k_F dx) \left\{ \frac{4\pi}{3} k_F^3 \left(1 - \frac{3}{2}x + \frac{1}{2}x^3 \right) \theta(1-x) \right\}$$

with $2k_F dx = dq$

$$\begin{aligned}
& \langle \Phi_0 | H_1 | \Phi_0 \rangle = \\
&= -\frac{4\pi e^2 V}{(2\pi)^6} \iiint d^3 \vec{q} \frac{1}{q^2} \left\{ \iiint d^3 \vec{P} \left[\theta\left(k_F - \left| \vec{P} + \frac{1}{2} \vec{q} \right| \right) \theta\left(k_F - \left| \vec{P} - \frac{1}{2} \vec{q} \right| \right) \right] \right\} \\
&= -\frac{4\pi e^2 V}{(2\pi)^6} \int_{\substack{\text{whole} \\ \text{space}}} (4\pi 2k_F dx) \left\{ \frac{4\pi}{3} k_F^3 \left(1 - \frac{3}{2}x + \frac{1}{2}x^3 \right) \theta(1-x) \right\} \\
&= -\frac{4\pi e^2 V}{(2\pi)^6} \frac{4\pi}{3} k_F^3 (4\pi \times 2k_F) \int_{x=0}^{x=1} dx \left\{ \left(1 - \frac{3}{2}x + \frac{1}{2}x^3 \right) \right\} \quad \text{with } x = \frac{q}{2k_F}
\end{aligned}$$

$$r_s = \frac{\left(\frac{9\pi}{4}\right)^{1/3}}{k_f} \dots$$

....from slide 89,
STiTACS,
Unit3, Lecture 19

$$\text{energy} \rightarrow \frac{me^4}{(4\pi\epsilon_0\hbar)^2} = 1$$

$$\text{distance} \rightarrow a_0 = \frac{4\pi\epsilon_0\hbar^2}{me^2}$$

$$\text{permittivity of vacuum} \rightarrow 4\pi\epsilon_0 = 1$$

$$\text{energy} \rightarrow \frac{e^2}{(4\pi\epsilon_0)a_0} = \frac{e^2}{a_0} = 1$$

$$2\text{Rydbergs} = 1 \underset{\text{of energy}}{\text{au}} = 1 \text{ Hartree}$$

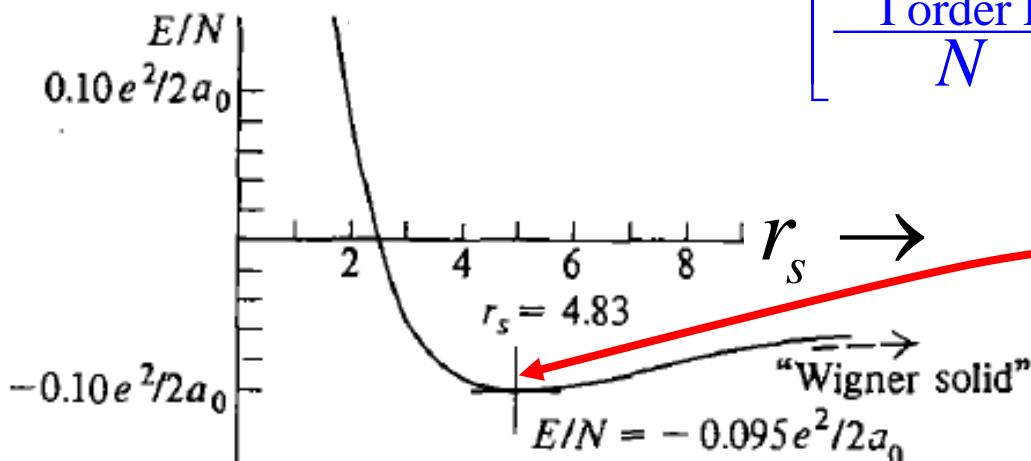
$$\left[\frac{E_{\text{I order PT}}}{N} \right] \underset{r_s \rightarrow 0}{=} -\frac{0.916}{r_s \text{ Rydbers}}$$

For HF-SCF free electron gas in jellium potential :

$$\left[\frac{E_{HF}}{N} \right] = \left(\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right) \text{Ryd}$$

For free electron gas in jellium potential :

Perturbation theory gives the same result



$$\left[\frac{E_{\text{I order PT}}}{N} \right] = \left(\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right) \text{Ryd}$$

Minimum
At negative energy
System: bound

Reference: Fetter & Walecka
Quantum Theory of Many-
Particle Systems;
Fig.3.2/page 29

Fig. 3.2 Approximate ground-state energy [first two terms in Eq. (3.37)] of an electron gas in a uniform positive background.

As $r_s \rightarrow \infty$ (low density)

E.P.Wigner Phys Rev 46:1002 (1934)

$$\left[\frac{E_{\text{Wigner solid}}}{N} \right] = \frac{e^2}{2a_0} \left(-\frac{1.79}{r_s} + \frac{2.66}{r_s^2} + \dots \right)$$



**NEXT CLASS:
RPA**

Questions: pcd@physics.iitm.ac.in

Select/Special Topics from ‘Theory of Atomic Collisions and Spectroscopy’

P. C. Deshmukh

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Unit 3

Lecture Number 21

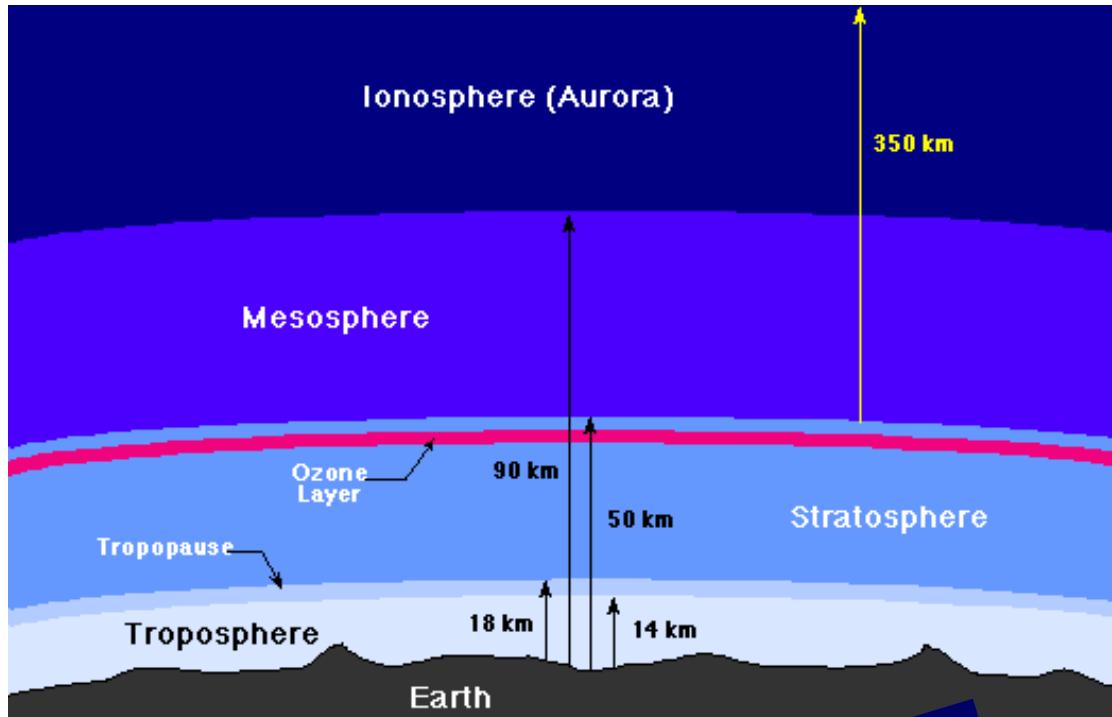
Electron Gas in the Random Phase Approximations

Plasma Oscillations in Free Electron Gas

References: ‘The theory of plasma oscillations in metals’

- by S Raimes 1957 *Rep. Prog. Phys.* **20** 1

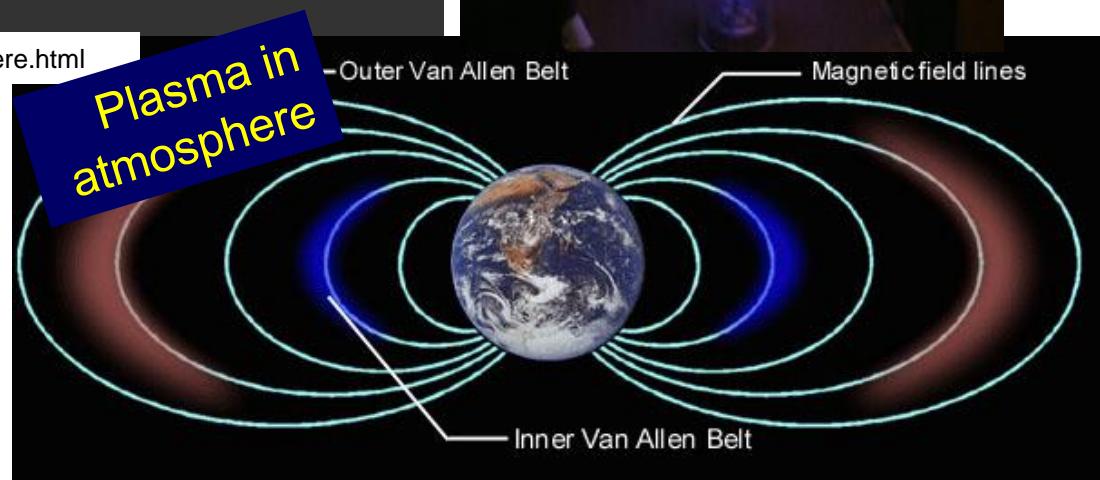
Also: Chapter 4 in 'Many Electron Theory' by Stanley Raimes



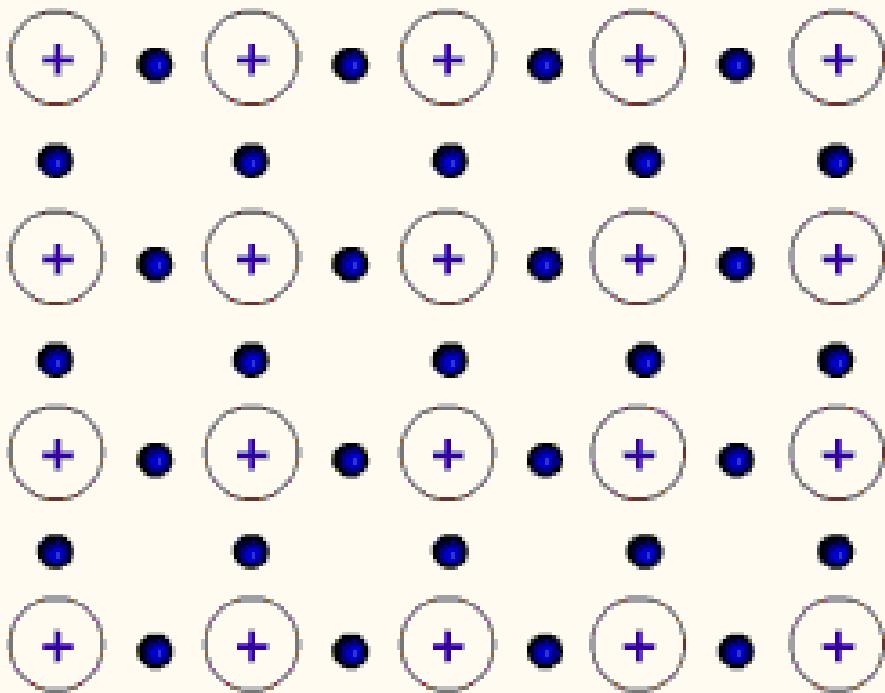
<http://csep10.phys.utk.edu/astr161/lect/earth/atmosphere.html>

PLASMA: 4th state of matter.. highly ionized region.. positive charged ions and virtually free electrons...

http://www.physics.wisc.edu/museum/Exhibits-2/Modern/PlasmaTube/index_plasma.html



http://www.redorbit.com/education/reference_library/space_1/solar_system/2574610/van_alien_radiation_belt/

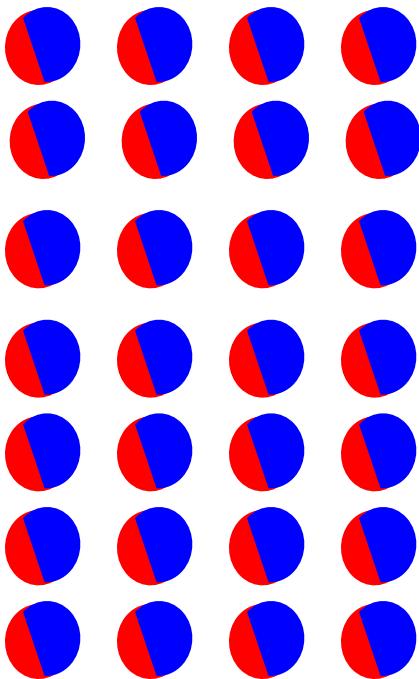


Ignore motion of the ions.... as if they are frozen....

Ions: relatively far more massive and have large inertia....

Metal \rightarrow plasma

Whole system: electrically neutral.



Positive
and
Negative
charge in
balance



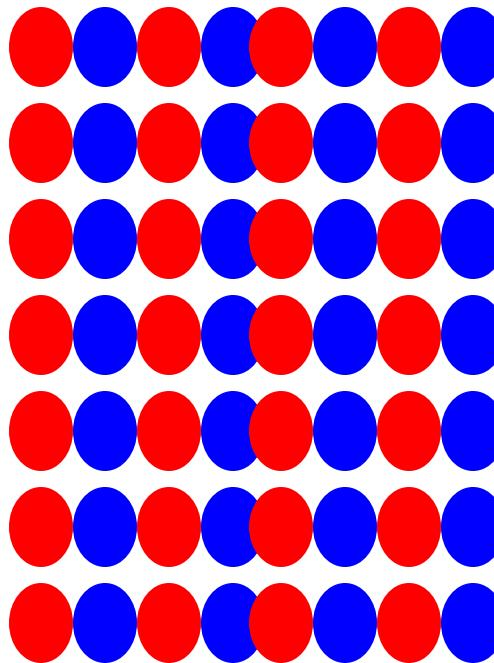
ξ

Displacement
of all the
electrons to
the right

net positive

charge per unit

$$\text{area} = +e\bar{\rho}_p\xi$$



net negative
charge per unit
area = $-e\bar{\rho}_e\xi$

surface
charge
density :
 $\sigma = e\bar{\rho}\xi$

net field in-between

$$\vec{E} = \frac{1}{\epsilon_0} e\bar{\rho}\xi \hat{u}$$

net field in-between

$$\vec{E} = \frac{1}{\epsilon_0} e \bar{\rho} \xi \hat{u}$$

$$\frac{1}{4\pi\epsilon_0} \xrightarrow{\text{CGS units}} 1 \quad ; \quad \frac{1}{\epsilon_0} \xrightarrow{\text{CGS units}} 4\pi$$

Eq. of motion

$$m \frac{d^2 \xi}{dt^2} = \left(\frac{1}{\epsilon_0} e \bar{\rho} \xi \right) (-e)$$

$$\omega_p = \sqrt{\frac{\bar{\rho} e^2}{m \epsilon_0}}$$

SI units

$$\frac{d^2 \xi}{dt^2} = -\frac{\bar{\rho} e^2}{m \epsilon_0} \xi$$

S.H.O.

$$\omega_p = \sqrt{\frac{4\pi \bar{\rho} e^2}{m}}$$

CGS units

Frequency of plasma oscillations

Thermal motion of electrons: ignored

except that implicitly we assumed that thermal fluctuations would have caused departure from equilibrium in plasma density and thereby cause an onset of plasma oscillations.

net field in-between

$$\vec{E} = \frac{1}{\epsilon_0} e \bar{\rho} \xi \hat{u}$$

Eq. of motion

$$m \frac{d^2 \xi}{dt^2} = \left(\frac{1}{\epsilon_0} e \bar{\rho} \xi \right) (-e)$$

$$\frac{d^2 \xi}{dt^2} = - \frac{\bar{\rho} e^2}{m \epsilon_0} \xi$$

S.H.O.

CGS units

$$\omega_p = \sqrt{\frac{4\pi \bar{\rho} e^2}{m}}$$

$$\bar{\rho} = \frac{N}{N \frac{4}{3} \pi r_s^3} = \frac{3}{4\pi r_s^3}$$

$$\omega_p = \sqrt{\frac{3e^2}{mr_s^3}}$$

Frequency of plasma oscillations

$$\omega_p = \sqrt{\frac{4\pi \left(\frac{3}{4\pi r_s^3} \right) e^2}{m}}$$

Thermal motion \rightarrow dispersion

when dispersion is present:

$$\omega^2 = \omega_p^2 - \frac{2E_F}{m} k^2$$

For free electron gas in jellium potential :

$$\left[\frac{E_{PT}^{HF}}{N} \right] = \left(\frac{2.21}{r_s^2} - \frac{0.916}{r_s} \right) Ryd$$

Bohm & Pines:
mid-fiftees

D.Pines (1963)
Elementary excitations in
solids (Benjamin, NY)

$$E_{BP} = \frac{2.21}{r_s^2} + \frac{\sqrt{3}}{2r_s^{3/2}} \beta^2 - \frac{0.916}{r_s} \left(1 + \frac{\beta^2}{2} - \frac{\beta^4}{48} \right)$$

$$\beta = \frac{k_c}{k_f}; \quad k_c : \text{upper bound to the wave number}$$

oscillations get damped by random thermal motion
of the electrons

$$\omega_p = \left(\sqrt{\frac{3}{m}} \right) \left(\frac{e}{r_s^{3/2}} \right)$$

zero point energy of the plasma oscillations

$$\frac{1}{2} \hbar \omega_p \text{ where } \hbar \omega_p = \frac{2\sqrt{3}}{r_s^{3/2}} Ryd$$

Random
Phase
Approximation

Field Operators

$$\hat{\psi}(q) = \sum_i \psi_i(q) c_i$$

$$\hat{\psi}^\dagger(q) = \sum_i \psi_i^*(q) c_i^\dagger$$

Reference:

STiTACS / Unit 3 / lecture 19 /

$$H = \int \hat{\psi}^\dagger(q) f(q) \hat{\psi}(q) dq + \frac{1}{2} \int \int \hat{\psi}^\dagger(q) \hat{\psi}^\dagger(q') v(q, q') \hat{\psi}(q') \hat{\psi}(q) dq dq'$$

equivalent

$$H = \sum_i \sum_j c_i^\dagger \langle i | f | j \rangle c_j + \frac{1}{2} \sum_i \sum_j \sum_k \sum_l c_i^\dagger c_j^\dagger \langle ij | v | lk \rangle c_k c_l$$

Complete expressions for the operators,
inclusive of spin labels →

Complete expressions for the operators, inclusive of spin labels

$$\left[c_{a_1\sigma_1}, c_{a_2\sigma_2}^\dagger \right]_\pm = \delta_{a_1a_2} \delta_{\sigma_1\sigma_2} \quad \left[c_{a_1\sigma_1}^\dagger, c_{a_2\sigma_2}^\dagger \right]_\pm = 0 \quad \left[c_{a_1\sigma_1}, c_{a_2\sigma_2} \right]_\pm = 0$$

$$\hat{\psi}_\alpha(q) = \sum_{\alpha} \sum_i \psi_{i\alpha}(q) c_{i\alpha} \quad \hat{\psi}_\beta^\dagger(q) = \sum_{\beta} \sum_j \psi_{j\beta}^*(q) c_{j\beta}^\dagger$$

$$H = \int \hat{\psi}_\alpha^\dagger(q) f(q) \hat{\psi}_\beta(q) dq + \frac{1}{2} \int \int \hat{\psi}_\alpha^\dagger(q) \hat{\psi}_\beta^\dagger(q) v(q, q') \hat{\psi}_\delta(q') \hat{\psi}_\gamma(q) dq dq'$$

becomes, inclusive of the explicit spin labels:

$$H = \sum_i \sum_j c_{i\alpha}^\dagger \int \psi_{i\alpha}^*(q) f(q) \psi_{j\beta}(q) dq c_{j\beta} + \\ + \frac{1}{2} \sum_i \sum_j \sum_{\alpha} \sum_{\beta} \sum_k \sum_l c_{i\alpha}^\dagger c_{j\beta}^\dagger \int \int \psi_{i\alpha}^*(q) \psi_{j\beta}^*(q) v(q, q') \psi_{l\delta}(q) \psi_{k\gamma}(q) dq dq' c_{k\gamma} c_{l\delta}$$

$$H = \sum_i \sum_j c_{i\alpha}^\dagger \langle i\alpha | f | j\beta \rangle c_{j\beta} + \frac{1}{2} \sum_i \sum_j \sum_{\alpha} \sum_{\beta} \sum_k \sum_l c_{i\alpha}^\dagger c_{j\beta}^\dagger \langle i\alpha, j\beta | v | l\delta, k\gamma \rangle c_{k\gamma} c_{l\delta}$$

Raiems / p.42 / Eq.2.117 → inclusive of spin labels

$q \equiv \vec{r}, \zeta \rightarrow$ space + spin coordinate

$\psi^\dagger(q)\psi(q) = \rho(q) \leftarrow$ particle density operator

$$\sum_{\zeta} \iiint d^3\vec{r} \rho(q) = \sum_{\zeta} \iiint d^3\vec{r} \psi^\dagger(q)\psi(q) = N$$

N : number of electrons in the region

$$\begin{aligned} & \int \psi^\dagger(q') \underbrace{\delta(q - q')}_{\text{red}} \psi(q) dq' = \\ &= \sum_{\zeta'} \int \psi^\dagger(\vec{r}') \chi^\dagger(\zeta') \underbrace{\delta(\vec{r} - \vec{r}') \delta_{\zeta, \zeta'}}_{\text{red}} \psi(\vec{r}) \chi(\zeta) d^3\vec{r}' \\ &= \sum_{\zeta'} \chi^\dagger(\zeta') \delta_{\zeta, \zeta'} \chi(\zeta) \int \psi^\dagger(\vec{r}') \delta(\vec{r} - \vec{r}') \psi(\vec{r}) d^3\vec{r}' \\ &= \chi^\dagger(\zeta) \chi(\zeta) \psi^\dagger(\vec{r}) \psi(\vec{r}) = \psi^\dagger(q) \psi(q) = \rho(q) \end{aligned}$$

$$\psi^\dagger(q)\psi(q) = \rho(q) \leftarrow \text{particle density operator}$$

$$\rho(\vec{r}) = \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i)$$

$$\begin{aligned} \iiint d^3\vec{r} \rho(\vec{r}) &= \iiint d^3\vec{r} \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i) \\ &= \sum_{i=1}^N \iiint d^3\vec{r} \delta(\vec{r} - \vec{r}_i) = N \end{aligned}$$

Raimes, Many Electron Theory Eq. 4.4; page 71 →

$[\rho_{\vec{k}}]$: dimensionless

Fourier expansion:

$$\rho(\vec{r}) = \frac{1}{V} \sum_{\vec{k}=1}^N \rho_{\vec{k}} e^{i\vec{k}\cdot\vec{r}}$$

Positive
charge density ϵ_p
smeared out
uniformly.

N electrons per unit
volume: $\rho=N/V$

Fourier expansion of
the electron-electron
Coulomb interaction

$$\frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_k e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$[c_k] = [\text{charge}]^2 L^2$$

The above sum is a triple sum, over
the three components of \vec{k} .

$$\frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_{\vec{k}} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

*consider first
 $\vec{k} \neq \vec{0}$*

multiplying both sides by $e^{i\vec{k}' \cdot (\vec{r}_j - \vec{r}_i)}$

$$\underbrace{e^{i\vec{k}' \cdot (\vec{r}_j - \vec{r}_i)} \frac{e^2}{r_{ij}}}_{\text{Note the sign}} = \underbrace{e^{i\vec{k}' \cdot (\vec{r}_j - \vec{r}_i)}}_{\text{Note the sign}} \frac{1}{V} \sum_{\vec{k}} c_{\vec{k}} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$e^{i\vec{k}' \cdot (\vec{r}_j - \vec{r}_i)} \frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_{\vec{k}} e^{i(\vec{k} - \vec{k}') \cdot (\vec{r}_i - \vec{r}_j)}$$

Integrating:

$$\iiint d^3 \vec{r}_j \left[e^{i\vec{k}' \cdot (\vec{r}_j - \vec{r}_i)} \right] \frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_{\vec{k}} \iiint d^3 \vec{r}_j \left[e^{i(\vec{k} - \vec{k}') \cdot (\vec{r}_i - \vec{r}_j)} \right]$$

The Wave Mechanics of Electrons in Metals – by Stanley Raimes,
page 285

$$\iiint d^3\vec{r}_j e^{i\vec{k}' \cdot (\vec{r}_j - \vec{r}_i)} \frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_{\vec{k}} \iiint d^3\vec{r}_j e^{i(\vec{k} - \vec{k}') \cdot (\vec{r}_i - \vec{r}_j)}$$

$$e^2 \iiint d^3\vec{r}_j \frac{e^{i\vec{k}' \cdot (\vec{r}_j - \vec{r}_i)}}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_{\vec{k}} \iiint d^3\vec{r}_j e^{i(\vec{k} - \vec{k}') \cdot (\vec{r}_i - \vec{r}_j)}$$

from slide 117, L19:

$$FT \text{ of } \left(\frac{1}{r}\right)^c = \frac{4\pi}{k'^2} \quad \frac{4\pi e^2}{|\vec{k}'|^2} = \sum_{\vec{k}} c_{\vec{k}} \left(\frac{1}{V} \iiint d^3\vec{r}_j \left[e^{i(\vec{k} - \vec{k}') \cdot (\vec{r}_i - \vec{r}_j)} \right] \right)$$

Dirac δ

$$\frac{4\pi e^2}{|\vec{k}'|^2} = c_{\vec{k}'}$$

i.e. $c_{\vec{k}} = \frac{4\pi e^2}{|\vec{k}|^2} \rightarrow \text{except when } \vec{k} = \vec{0}$

$$[c_k] = [\text{charge}]^2 L^2$$

$$\frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_{\vec{k}} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$c_{\vec{k}} = \frac{4\pi e^2}{|\vec{k}|^2} \rightarrow \text{except when } \vec{k} = \vec{0}$$

Integrating ↓

What is $c_{\vec{k}}$ when $\vec{k} = \vec{0}$?

$$e^2 \iiint d^3 \vec{r}_j \frac{1}{r_{ij}} = \sum_{\vec{k}} c_{\vec{k}} \left\{ \frac{1}{V} \iiint d^3 \vec{r}_j e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} \right\}$$

now, $\frac{1}{V} \iiint d^3 \vec{r}_j [e^{i(\vec{k} - \vec{k}') \cdot (\vec{r}_i - \vec{r}_j)}] = \delta(\vec{k} - \vec{k}')$

Eq.3.11; page 23; F&W

i.e. for $\vec{k}' = \vec{0}$: $\frac{1}{V} \iiint d^3 \vec{r}_j e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} = \delta(\vec{k} - \vec{0}) = \delta(\vec{k})$

$$e^2 \iiint d^3 \vec{r}_j \frac{1}{\vec{r}_{ij}} = \sum_{\vec{k}} c_{\vec{k}} \left\{ \frac{1}{V} \iiint d^3 \vec{r}_j e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} \right\}$$

$$\vec{r}_j - \vec{r}_i = \vec{r} \quad \frac{1}{V} \iiint d^3 \vec{r}_j e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} = \delta(\vec{k})$$

$$e^2 \iiint d^3 \vec{r} \frac{1}{\vec{r}} = \sum_{\vec{k}} c_{\vec{k}} \delta(\vec{k}) = c_{\vec{0}}$$

$$\therefore c_{\vec{0}} = e^2 \iiint d^3 \vec{r} \frac{1}{\vec{r}}$$

Potential energy of the i^{th} electron due to **one**
electron charge uniformly smeared throughout the box.

Potential energy of the i^{th} electron due to the j^{th} :

$$\frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{\vec{k}} c_k e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

Potential energy of the i^{th} electron due to all the electrons:

$$P(\vec{r}_i) = \sum_{\substack{j=1 \\ j \neq i}}^N \frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{j=1}^N \sum_{\vec{k}} c_k e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$c_{\vec{k}} = \frac{4\pi e^2}{|\vec{k}|^2} \rightarrow \text{except when } \vec{k} = \vec{0}$$

$$c_{\vec{0}} = e^2 \iiint d^3 \vec{r} \frac{1}{r}$$

Potential energy of the i^{th} electron due to ***all the electrons***:

$$P(\vec{r}_i) = \sum_{\substack{j=1 \\ j \neq i}}^N \frac{e^2}{r_{ij}} = \frac{1}{V} \sum_{j=1}^N \sum_{\vec{k}} c_{\vec{k}} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$c_{\vec{k}} = \frac{4\pi e^2}{|\vec{k}|^2} \rightarrow \text{except when } \vec{k} = \vec{0}$$

$$c_{\vec{0}} = e^2 \iiint d^3 \vec{r} \frac{1}{r}$$

Slide 130 (previous class)

Potential energy of the i^{th} electron due to ***all the electrons and the positive background***:

$\vec{k} = \vec{0}$ term \rightarrow cancels the positive jellium

$$U(\vec{r}_i) = \frac{1}{V} \sum_{\substack{j=1 \\ j \neq i}}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

Potential energy of
the i^{th} electron due to
all the electrons ***and*** the positive
background:

$$U(\vec{r}_i) = \frac{1}{V} \sum_{j=1}^N \sum_{\substack{\vec{k} \\ j \neq i \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

Force exerted on the
 i^{th} electron:

$$m\ddot{\vec{r}}_i = m\dot{\vec{v}}_i = -\vec{\nabla}_i U(\vec{r}_i)$$

weaker magnetic forces ignored

$$\begin{aligned} \ddot{\vec{r}}_i &= \dot{\vec{v}}_i = -\frac{1}{m} \vec{\nabla}_i U(\vec{r}_i) = -\frac{1}{V} \sum_{j=1}^N \sum_{\substack{\vec{k} \\ j \neq i \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{mk^2} \left(\vec{\nabla}_i e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} \right) \\ \text{acceleration of the } i^{\text{th}} \text{ electron} \\ &= -\frac{1}{V} \sum_{j=1}^N \sum_{\substack{\vec{k} \\ j \neq i \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{mk^2} \left(ike^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)} \right) \end{aligned}$$

$\uparrow i = \sqrt{-1}$

$$\ddot{\vec{r}}_i = \dot{\vec{v}}_i = -\frac{1}{m} \vec{\nabla}_i U(\vec{r}_i) = -\frac{1}{V} \sum_{j=1}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{mk^2} (ike^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)})$$

$\uparrow i = \sqrt{-1}$

Due to the symmetrical distribution of the \vec{k} vectors

the summand on the

RHS for ($j = i$) is $\sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{mk^2} (ik) = \vec{0}$

Hence no need to exclude $j=i$ term

$$\ddot{\vec{r}}_i = \dot{\vec{v}}_i = -\frac{1}{m} \vec{\nabla}_i U(\vec{r}_i) = -\frac{1}{V} \sum_{j=1}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{mk^2} (ike^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)})$$

$$\ddot{\vec{r}}_i = \dot{\vec{v}}_i = -\frac{1}{m} \vec{\nabla}_i U(\vec{r}_i) = -\frac{4\pi e^2 i}{Vm} \sum_{j=1}^N \sum_{\vec{k} \neq \vec{0}} \frac{\vec{k} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}}{k^2}$$

acceleration of the i^{th} electron

electron charge
density

$$\rho(\vec{r}) = \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i)$$

$$\iiint d^3\vec{r} \rho(\vec{r}) = \sum_{i=1}^N \iiint d^3\vec{r} \delta(\vec{r} - \vec{r}_i) = N$$

Fourier expansion of charge density

$$\rho(\vec{r}) = \frac{1}{V} \sum_{\vec{k}=1}^N \rho_{\vec{k}} e^{i\vec{k} \cdot \vec{r}}$$

$[\rho_{\vec{k}}]$: dimensionless

Fourier expansion of charge density

$$\rho(\vec{r}) = \frac{1}{V} \sum_{\vec{k}=1}^N \rho_{\vec{k}} e^{i\vec{k}\cdot\vec{r}}$$

$[\rho_{\vec{k}}]$: dimensionless

$$\rho(\vec{r}) = \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i)$$

$$\rho_{\vec{k}} = \iiint d^3\vec{r} \rho(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} = \iiint d^3\vec{r} \left[\sum_{i=1}^N \delta(\vec{r} - \vec{r}_i) \right] e^{-i\vec{k}\cdot\vec{r}}$$

$$\rho_{\vec{k}} = \left[\sum_{i=1}^N \iiint d^3\vec{r} \delta(\vec{r} - \vec{r}_i) e^{-i\vec{k}\cdot\vec{r}} \right]$$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k}\cdot\vec{r}_i}$$

$$\rho_{\vec{k}=\vec{0}} = N \quad \leftarrow \text{total number of electrons}$$

$\vec{k} \neq \vec{0}$ \leftarrow components: density fluctuations over the average

$$\ddot{\vec{r}}_i = \dot{\vec{V}}_i = -\frac{1}{m} \vec{\nabla}_i U(\vec{r}_i) = -\frac{4\pi e^2 i}{Vm} \sum_{j=1}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{\vec{k} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}}{k^2}$$

acceleration of the i^{th} electron

$$\ddot{\vec{r}}_i = \dot{\vec{V}}_i = -\frac{4\pi e^2 i}{mV} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{\vec{k} e^{i\vec{k} \cdot \vec{r}_i}}{k^2} \sum_{j=1}^N e^{-i\vec{k} \cdot \vec{r}_j}$$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\ddot{\vec{r}}_i = \dot{\vec{V}}_i = -\frac{4\pi e^2 i}{mV} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{\vec{k}}{k^2} \rho_{\vec{k}} e^{i\vec{k} \cdot \vec{r}_i}$$

$$\dot{\rho}_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i} \frac{d}{dt} (-i\vec{k} \cdot \vec{r}_i)$$

$$\dot{\rho}_{\vec{k}} = -i \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i} (\vec{k} \cdot \dot{\vec{r}}_i)$$

$$\dot{\rho}_{\vec{k}} = -i \sum_{i=1}^N e^{-i\vec{k}\cdot\vec{r}_i} (\vec{k}\cdot\dot{\vec{r}}_i)$$

$$\ddot{\rho}_k = \frac{d}{dt} \dot{\rho}_{\vec{k}} = -i \sum_{i=1}^N \frac{d}{dt} \left[e^{-i\vec{k}\cdot\vec{r}_i} (\vec{k}\cdot\dot{\vec{r}}_i) \right]$$

$$\ddot{\rho}_{\vec{k}} = -i \sum_{i=1}^N \left[e^{-i\vec{k}\cdot\vec{r}_i} (-i\vec{k}\cdot\dot{\vec{r}}_i)(\vec{k}\cdot\ddot{\vec{r}}_i) + e^{-i\vec{k}\cdot\vec{r}_i} (\vec{k}\cdot\ddot{\vec{r}}_i) \right]$$

$$\ddot{\rho}_{\vec{k}} = \sum_{i=1}^N \left[-(\vec{k}\cdot\dot{\vec{r}}_i)^2 - i(\vec{k}\cdot\ddot{\vec{r}}_i) \right] e^{-i\vec{k}\cdot\vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = \sum_{i=1}^N \left[-\left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 - i \left(\vec{k} \cdot \ddot{\vec{r}}_i \right) \right] e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - i \sum_{i=1}^N \left(\vec{k} \cdot \ddot{\vec{r}}_i \right) e^{-i\vec{k} \cdot \vec{r}_i}$$

from Slide 170: $\ddot{\vec{r}}_i = \dot{\vec{v}}_i = -\frac{4\pi e^2 i}{mV} \sum_{\substack{\vec{k}' \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k}'}{\vec{k}'^2} \rho_{\vec{k}'} e^{i\vec{k}' \cdot \vec{r}_i}$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - i \sum_{i=1}^N \left(\vec{k} \cdot \left\{ -\frac{4\pi e^2 i}{mV} \sum_{\substack{\vec{k}' \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k}'}{\vec{k}'^2} \rho_{\vec{k}'} e^{i\vec{k}' \cdot \vec{r}_i} \right\} \right) e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{4\pi e^2}{m} \frac{1}{V} \sum_{i=1}^N \sum_{\substack{\vec{k}' \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{\vec{k}'^2} \rho_{\vec{k}'} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi e^2}{m} \sum_{i=1}^N \sum_{\substack{\vec{k}' \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = \begin{aligned} & \left[- \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} \right. \\ & - \frac{1}{V} \frac{4\pi e^2}{m} \boxed{\frac{\vec{k} \cdot \vec{k}}{k^2}} \rho_{\vec{k}} \left. \sum_{i=1}^N e^0 \right] \\ & - \frac{1}{V} \frac{4\pi e^2}{m} \sum_{i=1}^N \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i} \\ & = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}} \\ & \quad - \frac{1}{V} \frac{4\pi e^2}{m} \sum_{i=1}^N \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i} \end{aligned}$$

unity

$\vec{k}' = \vec{k}$
 term

$\vec{k}' \neq \vec{k}$
 terms

$\sum_{i=1}^N e^0 = N$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

Eq. of motion for density fluctuations

$$- \frac{1}{V} \frac{4\pi e^2}{m} \boxed{\sum_{i=1}^N} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

$$- \frac{1}{V} \frac{4\pi e^2}{m} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} \left\{ \boxed{\sum_{i=1}^N} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i} \right\}$$

Now,
remember

that ↓

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

Questions:
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$$- \frac{1}{V} \frac{4\pi e^2}{m} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} \underbrace{\left(\rho_{\vec{k}-\vec{k}'} \right)}$$



Select/Special Topics from ‘Theory of Atomic Collisions and Spectroscopy’

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Unit 3

Lecture Number 22

Electron Gas in the Random Phase Approximations

QUANTUM THEORETICAL TREATMENT

Plasma Oscillations in Free Electron Gas

References: ‘The theory of plasma oscillations in metals’

- by S Raimes 1957 *Rep. Prog. Phys.* **20** 1

Also: Chapter 4 in 'Many Electron Theory' by Stanley Raimes

Fourier expansion of charge density

$$\rho(\vec{r}) = \frac{1}{V} \sum_{\vec{k}=1}^N \rho_{\vec{k}} e^{i\vec{k}\cdot\vec{r}}$$

$$\rho(\vec{r}) = \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i)$$

$[\rho_{\vec{k}}]$: dimensionless

$$\rho_{\vec{k}} = \iiint d^3\vec{r} \rho(\vec{r}) e^{-i\vec{k}\cdot\vec{r}}$$

$$\rho_{\vec{k}} = \sum_{i=1}^N \iiint d^3\vec{r} \delta(\vec{r} - \vec{r}_i) e^{-i\vec{k}\cdot\vec{r}}$$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k}\cdot\vec{r}_i}$$

$$\rho_{\vec{k}=\vec{0}} = N \leftarrow \text{total number of electrons}$$

$\vec{k} \neq \vec{0}$ ← components: density fluctuations over the average

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

Eq. of motion for density fluctuations

$$- \frac{1}{V} \frac{4\pi e^2}{m} \boxed{\sum_{i=1}^N} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

$$- \frac{1}{V} \frac{4\pi e^2}{m} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} \left\{ \boxed{\sum_{i=1}^N} e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i} \right\}$$

Similar to ↓

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\rho_{\vec{k}-\vec{k}'}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

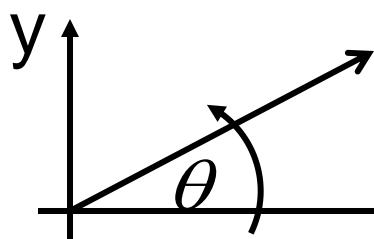
$$- \frac{1}{V} \frac{4\pi e^2}{m} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} \underbrace{\left(\rho_{\vec{k}-\vec{k}'} \right)}$$

$$\ddot{\rho}_{\vec{k}} = -\frac{1}{V} \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

Eq. of motion for density fluctuations

$$-\frac{4\pi e^2}{mV} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} \rho_{\vec{k}-\vec{k}'}$$

Quadratic terms in density fluctuations



$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\rho_{\vec{k}-\vec{k}'} = \sum_{i=1}^N e^{i(\vec{k}' - \vec{k}) \cdot \vec{r}_i}$$

Phase factors of modulus unity

Sum of vectors, in random directions, in the complex plane $z=x+iy$ **Bohm & Pines** (1952,53)

Random Phase Approximation: Neglect quadratic terms in density fluctuations compared to the linear terms.

NOTE: “LINEARIZATION”

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

Eq. of motion for density fluctuations

$$-\frac{4\pi e^2}{m} \sum_{\substack{\vec{k}' \neq \vec{k} \\ \vec{k}' \neq \vec{0}}} \frac{\vec{k} \cdot \vec{k}'}{k'^2} \rho_{\vec{k}'} \rho_{\vec{k}-\vec{k}'}$$

Random Phase Approximation

$$\downarrow \text{RPA}$$

$$\ddot{\rho}_{\vec{k}} \approx - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{1}{V} \frac{4\pi Ne^2}{m} \rho_{\vec{k}}$$

“LINEARIZATION”

from Slide No.5; L22 :

$$\bar{\rho} = \frac{N}{V}$$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} \underset{RPA}{=} - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{4\pi \bar{\rho} e^2}{m} \rho_{\vec{k}}$$

$$\ddot{\rho}_{\vec{k}} \underset{RPA}{=} - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{4\pi\bar{\rho}e^2}{m} \rho_{\vec{k}}$$

This term does not have any 'acceleration' term.

It has only velocities: due to thermal motion;
it is **not** due time-independent to e-e interaction

1^{st} term: $O(k^2) \rightarrow$ ignorable \rightarrow for small values of k

\rightarrow not ignorable if k would get large beyond some limit.

k must have an upper limit

RPA + $k \leq k_c$

$$\ddot{\rho}_{\vec{k}} = - \frac{4\pi\bar{\rho}e^2}{m} \rho_{\vec{k}} = -\omega_p^2 \rho_{\vec{k}}$$

S.H.O.

$$\ddot{\rho}_{\vec{k}} \stackrel{RPA}{=} - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{4\pi\bar{\rho}e^2}{m} \rho_{\vec{k}}$$

RPA + $k \leq k_c$

$$\ddot{\rho}_{\vec{k}} = - \frac{4\pi\bar{\rho}e^2}{m} \rho_{\vec{k}} = -\omega_p^2 \rho_k$$

S.H.O.

$$\ddot{\rho}_{\vec{k}} + \omega_p^2 \rho_k = 0$$

← The Fourier components of the electron density oscillate at the plasma frequency.

$$\ddot{\rho}_{\vec{k}} \stackrel{RPA}{=} - \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{4\pi\bar{\rho}e^2}{m} \rho_{\vec{k}}$$

S.H.O.

RPA + $k \leq k_c$

$$\ddot{\rho}_{\vec{k}} + \omega_p^2 \rho_k = 0$$

← The Fourier components of the electron density oscillate at the plasma frequency.

Collective oscillations
of the electron gas

“PLASMONS”
Quantized
‘collective excitations’

“elementary excitations”

We shall now examine the ‘upper limit’ on k

$$\ddot{\rho}_{\vec{k}} = -\frac{1}{V} \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \frac{4\pi\bar{\rho}e^2}{m} \rho_{\vec{k}}$$

$$\ddot{\rho}_{\vec{k}} = -\frac{1}{V} \sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \omega_p^2 \rho_{\vec{k}}$$

$$\boxed{\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}}$$

$$\ddot{\rho}_{\vec{k}} = -\sum_{i=1}^N \left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 e^{-i\vec{k} \cdot \vec{r}_i} - \omega_p^2 \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = -\sum_{i=1}^N \left[\left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 + \omega_p^2 \right] e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\ddot{\rho}_{\vec{k}} = - \sum_{i=1}^N \left[\left(\vec{k} \cdot \dot{\vec{r}}_i \right)^2 + \omega_p^2 \right] e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

Neglect of 1st term requires: $\langle (\vec{k} \cdot \dot{\vec{r}}_i)^2 \rangle_{average} \ll \omega_p^2$

$$k^2 v_i^2 \ll \omega_p^2 \dots \dots \text{for all } i,$$

k must have an upper limit

including for electrons at the Fermi surface

$$v_i(\max) = v_{Fermi} = v_f$$

$$kv_f \ll \omega_p$$

$$k_{\max} \approx \frac{\omega_p}{v_f} \rightarrow \text{denoted by } k_c$$

Upper bound to wave number of plasma oscillations
 \rightarrow Lower bound to wave length

Quantum treatment \rightarrow $H_0\psi = E\psi$

Hamiltonian for a bulk electron gas in a uniform positive background jellium potential

$$H_0 = H_{el} + H_b + H_{el-b}$$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} \frac{e^2}{V} \sum_{j=1}^N \sum_{\substack{i=1 \\ j \neq i}}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{4\pi}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{j=1}^N \sum_{\substack{i=1 \\ j \neq i}}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{1}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{\substack{j=1 \\ j \neq i}}^N \sum_{i=1}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{1}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

Quantum treatment

$$H_0 \psi = E \psi$$

- D. Bohm and D. Pines Phys. Rev. **82** 625 (1951)
- D. Pines and D. Bohm Phys. Rev. **85** 338 (1952)
- D. Bohm and D. Pines Phys. Rev. **92** 609 (1953)
- D. Pines Reviews of Modern Physics **28** 184 (1956)**

S Raimes 1957 *Rep. Prog. Phys.* **20 1**

The theory of plasma oscillations in metals

Method: transform the above Hamiltonian such that plasma oscillations appear explicitly as solutions of a set of *Hamiltonians for simple harmonic oscillators* for various values of \vec{k} with $k \leq k_{\max} \approx \frac{\omega_p}{V_f} \leftrightarrow k_c$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{j=1}^N \sum_{\substack{i=1 \\ j \neq i}}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{1}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

Method: transform the above Hamiltonian such that plasma oscillations appear explicitly as a set of *Hamiltonians for simple harmonic oscillators* for various \vec{k} values,

with $k \leq k_{\max} \approx \frac{\omega_p}{v_f}$

$$h'_{SHO} = \frac{p^2}{2m} + \frac{1}{2} k q^2 = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 q^2$$

$$\omega^2 = \frac{k}{m}; \quad k = m \omega^2$$

$m \times h'$

$$h_{SHO} = \frac{p^2}{2} + \frac{1}{2} \omega^2 q^2$$

$$H_k = \frac{P_k^\dagger P_k}{2} + \frac{1}{2} \omega^2 Q_k^\dagger Q_k$$

$\uparrow \text{Hermitian}$

$\uparrow q, p : \text{Hermitian}$
 $\leftarrow Q, P : \text{Hermitian?}$
canonically conjugate operators

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{j=1}^N \sum_{\substack{i=1 \\ j \neq i}}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{1}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{1}{k^2} \left(\sum_{i=1}^N e^{i\vec{k} \cdot \vec{r}_i} \right) \left(\sum_{\substack{j=1 \\ j \neq i}}^N e^{-i\vec{k} \cdot \vec{r}_j} \right) ?$$

Include the $j=i$ term, and then subtract its effect!

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\rho_{\vec{k}}^* = \sum_{j=1}^N e^{+i\vec{k} \cdot \vec{r}_j}$$

$j=i$ terms would give :
 $1+1+1+\dots+1 = N$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{1}{k^2} \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right)$$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{\vec{k}} \frac{1}{k^2} \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right)$$

Transformation

$$H_{\vec{k}} = \frac{P_{\vec{k}}^\dagger P_{\vec{k}}}{2} + \frac{1}{2} \omega^2 Q_{\vec{k}}^\dagger Q_{\vec{k}}$$

Method: start with a 'model' Hamiltonian

$$H_1 = \sum_{\substack{\vec{k} \\ k < k_c}} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \quad \text{with } M_k = \sqrt{\frac{4\pi e^2}{V k^2}}$$

Q, P : NOT Hermitian \rightarrow $P_{\vec{k}}^\dagger = P_{-\vec{k}}$; $Q_{\vec{k}}^\dagger = Q_{-\vec{k}}$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i} \quad \rho_{\vec{k}}^\dagger = \rho_{\vec{k}}^* = \sum_{i=1}^N e^{+i\vec{k} \cdot \vec{r}_i} = \rho_{-\vec{k}}$$

$H_1 \rightarrow$ Hermitian

$$H_1 = \sum_{\vec{k} \atop k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} ; M_k = \sqrt{\frac{4\pi e^2}{V k^2}}$$

$$H_1^\dagger = \sum_{\vec{k} \atop k < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}})^\dagger - M_k (P_{\vec{k}}^\dagger \rho_{\vec{k}})^\dagger$$

$$H_1^\dagger = \sum_{\vec{k} \atop k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}} \rho_{\vec{k}}^*$$

$$P_{\vec{k}}^\dagger = P_{-\vec{k}} ; \quad P_{\vec{k}} = P_{-\vec{k}}^\dagger$$

$$H_1^\dagger = \sum_{\vec{k} \atop k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{-\vec{k}}^\dagger \rho_{-\vec{k}}$$

$$H_1^\dagger = \sum_{\vec{k} \atop k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} = H_1$$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\rho_{\vec{k}}^\dagger = \rho_{\vec{k}}^* = \sum_{i=1}^N e^{+i\vec{k} \cdot \vec{r}_i} = \rho_{-\vec{k}}$$

\vec{k} space symmetry

$$\sum_{\vec{k} \atop k < k_c} M_k P_{-\vec{k}}^\dagger \rho_{-\vec{k}} = \sum_{\vec{k} \atop k < k_c} M_k P_{\vec{k}}^\dagger \rho_{\vec{k}}$$

$H_1 \rightarrow \text{Hermitian}$

Q, P : NOT Hermitian

$$H_1 = \sum_{\vec{k}} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} ; M_k = \sqrt{\frac{4\pi e^2}{V k^2}}$$

$$k \leq k_{\max} \approx \frac{\omega_p}{v_f}$$

$k < k_c$

$$k \leq k_{\max} \approx \frac{\omega_p}{v_f} \leftrightarrow k_c$$

← The upper limit on k limits the total degrees of freedom so that the total number of degrees remains fixed at $3N$

$$H_0 \psi = E \psi \quad \leftarrow \text{The wavefunction must be a function only of the electron coordinates.}$$

$$k \leq k_{\max} \approx \frac{\omega_p}{V_f} \leftrightarrow k_c$$

← The upper limit on k limits the total degrees of freedom so that the total number of degrees remains fixed at $3N$

$$H_0\psi = E\psi \quad \leftarrow \text{The wavefunction must be a function only of the electron coordinates.}$$

$\psi \not\propto \text{function}(Q_k; \text{ if } k < k_c)$

$\psi \rightarrow \text{function}(q: \text{electron coordinates})$

We must not introduce any additional degrees of freedom

$$\frac{\partial \psi}{\partial Q_k} = 0 \quad \text{for } k < k_c$$

Subsidiary condition

$$P_k = -i\hbar \frac{\partial}{\partial Q_k}$$

Raines: Many Electron Theory; Eq.4.20, page 76

$$P_k \psi = 0 \quad \text{for } k < k_c$$

$$[Q_k, P_{k'}]_- = i\hbar \delta_{k,k'}$$

canonical conjugation

$$H_0\psi = E\psi$$

$$H_1 = \sum_{\vec{k}; k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} ; M_k = \sqrt{\frac{4\pi e^2}{V k^2}}$$

$$\frac{\partial \psi}{\partial Q_k} = 0 \text{ for } k < k_c; \quad \text{i.e. } P_k \psi = 0 \Rightarrow H_1 \psi = 0$$

$$\therefore (H_0 + H_1)\psi = E\psi$$

Now, we effect a **UNITARY TRANSFORMATION** of

the Hamiltonian $(H_0 + H_1)$

$$S = \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}} \rho_{\vec{k}}$$

$$U = e^{\frac{i}{\hbar} S}$$

$$U^\dagger = e^{\frac{-i}{\hbar} S^\dagger}$$

$$S^\dagger = \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}}^\dagger \rho_{\vec{k}}^*$$

$$= \sum_{\vec{k}; k < k_c} M_k Q_{-\vec{k}} \rho_{-\vec{k}} = S$$

$$U^\dagger = e^{\frac{-i}{\hbar} S^\dagger} = U^{-1}$$

UNITARITY

$$U = e^{\frac{i}{\hbar}S}$$

$$S = \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}} \rho_{\vec{k}}$$

$$\begin{aligned} S^\dagger &= \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}}^\dagger \rho_{\vec{k}}^* \\ &= \sum_{\vec{k}; k < k_c} M_k Q_{-\vec{k}} \rho_{-\vec{k}} = S \end{aligned}$$

$$U^\dagger = e^{\frac{-i}{\hbar}S^\dagger}$$

$$U^\dagger = e^{\frac{-i}{\hbar}S} = U^{-1}$$

Transformation of all operators and the wavefunction under the unitary transformation

$$\Omega_{new} = U^{-1} \Omega U = U^\dagger \Omega U$$

$$\psi_{new} = U^{-1} \psi = e^{\frac{-i}{\hbar}S} \psi$$

$$(\vec{r}_i)_{new} = U^{-1} (\vec{r}_i) U = \vec{r}_i$$

$$(Q_{\vec{k}})_{new} = U^{-1} (Q_{\vec{k}}) U = Q_{\vec{k}}$$

$$(\rho_{\vec{k}})_{new} = U^{-1} (\rho_{\vec{k}}) U = \rho_{\vec{k}}$$

$$\text{since } \rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$\vec{r}_i, Q_{\vec{k}}, \rho_{\vec{k}}$: invariant

HOWEVER:

$\vec{p}_i, P_{\vec{k}}$: change under the transformation

$$P_k = -i\hbar \frac{\partial}{\partial Q_k} \quad (P_k)_{new} = U^{-1} \underbrace{(P_k)U}_{?}$$

$$U = e^{\frac{i}{\hbar} S} \quad S = \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}} \rho_{\vec{k}}$$

$$[P_k, q_{k'}]_- = -i\hbar \delta_{k,k'} \Rightarrow [P_k, F(\vec{r})]_- = -i\hbar \frac{\partial F(\vec{r})}{\partial q_k}$$

$$[P_k, Q_{k'}]_- = -i\hbar \delta_{k,k'} \Rightarrow [P_k, F(Q)]_- = -i\hbar \frac{\partial F(Q)}{\partial Q_k}$$

$$[P_k, U]_- = -i\hbar \frac{\partial U}{\partial Q_k} \quad P_k U = -i\hbar \frac{\partial U}{\partial Q_k} + UP_k$$

$$(P_k)_{new} = U^{-1} \left(-i\hbar \frac{\partial U}{\partial Q_k} + UP_k \right)$$

$$= P_k - i\hbar U^{-1} \frac{\partial U}{\partial Q_k}$$

$$\boxed{(P_k)_{new} = P_k + U^{-1} [P_k, U]_-}$$

$$(P_k)_{new} = P_k + U^{-1} [P_k, U]_-$$

$$[P_k, U]_- = -i\hbar \frac{\partial U}{\partial Q_k}$$

$$(P_k)_{new} = P_k + U^{-1} \left(-i\hbar \frac{\partial U}{\partial Q_k} \right)$$

$$\frac{\partial U}{\partial Q_k} = \frac{\partial e^{\frac{i}{\hbar}S}}{\partial Q_k}$$

$$= e^{\frac{i}{\hbar}S} \frac{i}{\hbar} \frac{\partial S}{\partial Q_k}$$

$$= U \frac{i}{\hbar} \frac{\partial S}{\partial Q_k}$$

$$U = e^{\frac{i}{\hbar}S} \quad \text{with} \quad S = \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}} \rho_{\vec{k}}$$

$$\frac{\partial U}{\partial Q_k} = U \frac{i}{\hbar} M_k \rho_{\vec{k}}$$

$$\begin{aligned} (P_k)_{new} &= P_k + U^{-1} (-i\hbar) \left(U \frac{i}{\hbar} M_k \rho_{\vec{k}} \right) \\ &= P_k + U^{-1} U M_k \rho_{\vec{k}} \end{aligned}$$

$$(P_k)_{new} = P_k + M_k \rho_{\vec{k}}$$

Transformation of the x component of the momentum operator for the i^{th} electron:

$$(p_{ix})_{\text{new}} = U^{-1} \underbrace{(p_{ix})U}_{}$$

$$[p_k, q_{k'}]_- = -i\hbar \delta_{k,k'} \Rightarrow \underbrace{[p_k, F(\vec{r})]_-}_{= -i\hbar \frac{\partial F(\vec{r})}{\partial q_k}}$$

$$[p_k, U]_- = \underbrace{p_k U - U p_k}_{=} = -i\hbar \frac{\partial U}{\partial q_k}$$

$$(p_{ix})_{\text{new}} = U^{-1} \left(U p_{ix} - i\hbar \frac{\partial U}{\partial q_{ix}} \right) = p_{ix} - i\hbar U^{-1} \underbrace{\frac{\partial U}{\partial q_{ix}}}_{}$$

$$(p_{ix})_{new} = U^{-1} \left(Up_{ix} - i\hbar \frac{\partial U}{\partial q_{ix}} \right) = p_{ix} - i\hbar U^{-1} \underbrace{\frac{\partial U}{\partial q_{ix}}}_{}$$

$$[p_k, U]_- = p_k U - U p_k = -i\hbar \frac{\partial U}{\partial q_k}$$

$$(p_{ix})_{new} = p_{ix} - i\hbar U^{-1} \overbrace{\left\{ \frac{[p_{ix}, U]_-}{-i\hbar} \right\}}$$

$$(p_{ix})_{new} = p_{ix} + U^{-1} [p_{ix}, U]$$

$$(p_{ix})_{new} = p_{ix} + U^{-1} [p_{ix}, U]$$

$$(p_{ix})_{new} = p_{ix} - i\hbar U^{-1} \left(\frac{\partial U}{\partial q_{ix}} \right)$$

since

$$[p_{ix}, U]_- = -i\hbar \frac{\partial U}{\partial q_{ix}}$$

Now : $U = e^{\frac{i}{\hbar}S}$ with $S = \sum_{\vec{k}; k < k_c} M_{\vec{k}} Q_{\vec{k}} \rho_{\vec{k}}$

$$\therefore \frac{\partial U}{\partial q_{ix}} = U \frac{i}{\hbar} \frac{\partial S}{\partial q_{ix}} = U \frac{i}{\hbar} \sum_{\vec{k}; k < k_c} M_{\vec{k}} Q_{\vec{k}} \frac{\partial \rho_{\vec{k}}}{\partial q_{ix}}$$

$$(p_{ix})_{new} = p_{ix} - i\hbar U^{-1} \left(U \frac{i}{\hbar} \sum_{\vec{k}; k < k_c} M_{\vec{k}} Q_{\vec{k}} \frac{\partial \rho_{\vec{k}}}{\partial q_{ix}} \right)$$

$$(p_{ix})_{new} = p_{ix} + \sum_{\vec{k}; k < k_c} \left(M_{\vec{k}} Q_{\vec{k}} \frac{\partial \rho_{\vec{k}}}{\partial q_{ix}} \right)$$

$$(p_{ix})_{new} = p_{ix} + \sum_{\vec{k}; k \langle k_c} \left(M_{\vec{k}} Q_{\vec{k}} \frac{\partial \rho_{\vec{k}}}{\partial q_{ix}} \right)$$

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$\frac{\partial \rho_{\vec{k}}}{\partial q_{ix}} = \frac{\partial}{\partial q_{ix}} \sum_{j=1}^N e^{-i\vec{k} \cdot \vec{r}_j}$$

$$= \frac{\partial}{\partial q_{ix}} e^{-i\vec{k} \cdot \vec{r}_i}$$

$$= e^{-i\vec{k} \cdot \vec{r}_i} (-ik_{ix})$$

$$(p_{ix})_{new} = p_{ix} + \sum_{\vec{k}; k \langle k_c} M_{\vec{k}} Q_{\vec{k}} \left\{ e^{-i\vec{k} \cdot \vec{r}_i} (-ik_{ix}) \right\}$$

$$(p_{ix})_{new} = p_{ix} - i \sum_{\vec{k}; k \langle k_c} M_{\vec{k}} Q_{\vec{k}} k_{ix} e^{-i\vec{k} \cdot \vec{r}_i}$$

Similar relations
for y and z
components

$$(\vec{p}_i)_{new} = \vec{p}_i - i \sum_{\vec{k}; k < k_c} M_{\vec{k}} Q_{\vec{k}} \vec{k} e^{-i\vec{k} \cdot \vec{r}_i}$$

← Raimes: Many Electron Theory; Eq.4.38, page 78

Similar relations
for y and z
components

$\vec{r}_i, Q_{\vec{k}}, \rho_{\vec{k}}$: invariant under the transformation

HOWEVER, $\vec{p}_i, P_{\vec{k}}$: change under the transformation

Recall the consideration from SLIDE No.195

$H_0\psi = E\psi \quad \leftarrow$ The wavefunction must be a function only of the electron coordinates.

$\psi \nrightarrow \text{function}(Q_k; \text{ if } k < k_c)$ We must not introduce any additional degrees of freedom
 $\psi \rightarrow \text{function}(q: \text{electron coordinates})$

$$\frac{\partial \psi}{\partial Q_k} = 0$$

for $k < k_c$

Subsidiary condition

$$P_k = -i\hbar \frac{\partial}{\partial Q_k}$$

$$P_k \psi = 0 \quad \text{for } k < k_c$$

$$[Q_k, P_{k'}]_- = i\hbar \delta_{k,k'}$$

canonical conjugation

$$\frac{\partial \psi}{\partial Q_k} = 0 \text{ for } k < k_c$$

$$P_k = -i\hbar \frac{\partial}{\partial Q_k}$$

$$P_k \psi = 0 \text{ for } k < k_c$$

Subsidiary condition

$$(P_k)_{new} \psi_{new} = 0 \text{ for } k < k_c$$



$$(U^{-1} P_k U)(U^{-1} \psi) = 0 \text{ for } k < k_c$$

from slide 195: $(P_k)_{new} = P_k + M_k \rho_{\vec{k}}$

$$(P_k + M_k \rho_{\vec{k}}) \psi_{new} = 0 \text{ for } k < k_c$$

Questions:

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Select/Special Topics from 'Theory of Atomic Collisions and Spectroscopy'

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Unit 3

Lecture Number 23

Electron Gas in the Random Phase Approximations

Plasma Oscillations in Free Electron Gas

References: 'The theory of plasma oscillations in metals'

- by S Raimes 1957 *Rep. Prog. Phys.* **20** 1

Also: Chapter 4 in 'Many Electron Theory' by Stanley Raimes

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{2\pi e^2}{V} \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{1}{k^2} \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right)$$

$$H_1 = \sum_{\vec{k}; k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}}$$

with $M_k = \sqrt{\frac{4\pi e^2}{V k^2}}$

Hamiltonian for a bulk electron gas in a uniform positive background jellium potential

$$\Omega_{new} = U^{-1} \Omega U = U^\dagger \Omega U$$

$$U = e^{\frac{i}{\hbar} S}$$

$$S = \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}} \rho_{\vec{k}}$$

$\vec{r}_i, Q_{\vec{k}}, \rho_{\vec{k}}$: invariant under the transformation

$$(\vec{p}_i)_{new} = \vec{p}_i - i \sum_{\vec{k}; k < k_c} M_{\vec{k}} Q_{\vec{k}} \vec{k} e^{-i \vec{k} \cdot \vec{r}_i}$$

$$(\vec{P}_k)_{new} = \vec{P}_k + M_k \rho_{\vec{k}}$$

We now ask: $\mathcal{H}_{new} = H_{new} = U^{-1} (H_0 + H_1) U = ?$

Transformation of
all operators and
the wavefunction
under the unitary
transformation

$$\Omega_{new} = U^{-1}\Omega U = U^\dagger \Omega U$$

$$\psi_{new} = U^{-1}\psi = e^{\frac{-i}{\hbar}S} \psi$$

$$U = e^{\frac{i}{\hbar}S}$$

$$S = \sum_{\vec{k}; k < k_c} M_k Q_{\vec{k}} \rho_{\vec{k}}$$

Subsidiary conditions

$$\frac{\partial \psi}{\partial Q_k} = 0 \quad \text{for } k < k_c$$

$$P_k \psi = 0 \quad \text{for } k < k_c$$

$$(P_k)_{new} \psi_{new} = 0 \quad \text{for } k < k_c$$

$$H_0 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} \sum_{\vec{k} \neq \vec{0}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

$$H_0 = H_{el} + H_b + H_{el-b}$$

N Electrons + Positive Background

$$H_1 = \sum_{\vec{k} < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}}$$

Auxiliary Hamiltonian

$$\frac{1}{2} M_k^2 = \frac{2\pi e^2}{V k^2}$$

$$(H_0 + H_1)\psi = E\psi$$

$$H_0 + H_1 =$$

$$\sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N) + \sum_{\substack{\vec{k} \\ k < k_c}} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right)$$

Our question: $\mathfrak{H} = H_{new} = U^{-1} (H_0 + H_1) U = ?$

$$H_0 + H_1 = \underbrace{\sum_{i=1}^N \frac{p_i^2}{2m}}_{T_1} + \underbrace{\frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)}_{T_2} + \sum_{\vec{k}; k < k_c} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) T_3$$

Our question: $H_{new} = U^{-1} (H_0 + H_1) U = ?$

$$(p_{ix})_{new} = p_{ix} + \sum_{\vec{k}; k < k_c} \left(M_{\vec{k}} Q_{\vec{k}} \frac{\partial \rho_{\vec{k}}}{\partial q_{ix}} \right)$$

$$(T_1)_{new} = \left[\sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j} \right]$$

$$\left\{ \sum_{i=1}^N \frac{p_i^2}{2m} \right\}_{new} = \left[-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j} \right]$$

Raimes:
Many
Electron
Theory;
Eq.4.48,
page 79

$$(T_2)_{new} = \frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N) \quad \leftarrow \text{since } \rho_{\vec{k}} : \text{invariant}$$

$$H_0 + H_1 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N) + \sum_{\vec{k}; k < k_c} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right)$$

$\textcolor{red}{T_2}$

$$(T_2)_{\text{new}} = \frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N) \quad \leftarrow \text{since } \rho_{\vec{k}} : \text{invariant}$$

separate the summation $\sum_{\vec{k}; \vec{k} \neq \vec{0}}$ in two parts:

for (1) $k > k_c$ and (2) $k < k_c$

$$k_c = k_{\max} \approx \frac{\omega_p}{V_f}$$

$$(T_2)_{\text{new}} = \boxed{\frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)}_{k > k_c} + \frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)_{k < k_c}$$

$H_{s.r.} \leftarrow$ Short range

long range

$$H_0 + H_1 = \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} \sum_{\substack{\vec{k} \\ \vec{k} \neq 0}} M_k^2 \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right) + \sum_{\substack{\vec{k} \\ k < k_c}} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right)$$

$$(\mathbf{T}_3)_{\text{new}} = U^{-1} \left[\sum_{\substack{\vec{k} \\ k < k_c}} \left(\frac{1}{2} \underbrace{P_{\vec{k}}^\dagger P_{\vec{k}}}_{\text{Red}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right] U$$

$$(P_{\vec{k}})_{\text{new}} = P_{\vec{k}} + M_{\vec{k}} \rho_{\vec{k}}$$

$$(P_{\vec{k}}^\dagger)_{\text{new}} = P_{\vec{k}}^\dagger + M_{\vec{k}} \rho_{\vec{k}}^\dagger \quad \text{i.e.} \quad (P_{\vec{k}}^\dagger)_{\text{new}} = P_{-\vec{k}} + M_{\vec{k}} \rho_{-\vec{k}}$$

$$\underbrace{(P_{\vec{k}}^\dagger P_{\vec{k}})_{\text{new}}}_{\text{Red}} = (P_{-\vec{k}} + M_{\vec{k}} \rho_{-\vec{k}}) (P_{\vec{k}} + M_{\vec{k}} \rho_{\vec{k}})$$

$$(P_{\vec{k}}^\dagger P_{\vec{k}})_{\text{new}} = P_{-\vec{k}} P_{\vec{k}} + M_{\vec{k}} (P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}}) + M_{\vec{k}}^2 \rho_{-\vec{k}} \rho_{\vec{k}}$$

$$\left(P_{\vec{k}}^\dagger P_{\vec{k}} \right)_{new} = P_{-\vec{k}} P_{\vec{k}} + M_{\vec{k}} \underbrace{\left(P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}} \right)}_{+ M_{\vec{k}}^2 \rho_{-\vec{k}} \rho_{\vec{k}}}$$

$$\rho_{\vec{k}}^\dagger = \rho_{\vec{k}}^* = \sum_{i=1}^N e^{+i\vec{k} \cdot \vec{r}_i} = \rho_{-\vec{k}} \quad \& \quad P_{\vec{k}}^\dagger = P_{-\vec{k}}$$

$$\sum_{k < k_c} M_{\vec{k}} \left(P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}} \right) = \sum_{k < k_c} M_{\vec{k}} \left(P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) + \sum_{k < k_c} M_{\vec{k}} \left(\rho_{\vec{k}}^* P_{-\vec{k}}^\dagger \right)$$

spherical symmetry of \vec{k} vectors

$$\begin{aligned} \sum_{k < k_c} M_{\vec{k}} \left(P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}} \right) &= \sum_{k < k_c} M_{\vec{k}} \left(P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) + \sum_{k < k_c} M_{\vec{k}} \left(\rho_{-\vec{k}}^* P_{\vec{k}}^\dagger \right) \\ &= \sum_{k < k_c} M_{\vec{k}} \left(P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) + \sum_{k < k_c} M_{\vec{k}} \left(\rho_{\vec{k}} P_{\vec{k}}^\dagger \right) \end{aligned}$$

Hence:

$$\sum_{k < k_c} M_{\vec{k}} \left(P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}} \right) = 2 \sum_{k < k_c} M_{\vec{k}} \left(P_{\vec{k}}^\dagger \rho_{\vec{k}} \right)$$

$$(\mathbf{T}_3)_{\text{new}} = \mathbf{U}^{-1} \left[\sum_{\vec{k} \atop k < k_c} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_{\vec{k}} P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right] \mathbf{U}$$

$$\left[\sum_{\vec{k} \atop k < k_c} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} \right) \right]_{\text{new}} = \mathbf{U}^{-1} \left[\sum_{\vec{k} \atop k < k_c} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} \right) \right] \mathbf{U}$$

$$(P_{\vec{k}}^\dagger P_{\vec{k}})_{\text{new}} = P_{-\vec{k}} P_{\vec{k}} + M_{\vec{k}} (P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}}) + M_{\vec{k}}^2 \rho_{-\vec{k}} \rho_{\vec{k}}$$

$$\sum_{k < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}})_{\text{new}} = \sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} + \sum_{k < k_c} \frac{1}{2} M_{\vec{k}} (P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}})$$

$$+ \sum_{k < k_c} \frac{1}{2} M_{\vec{k}}^2 \rho_{-\vec{k}} \rho_{\vec{k}}$$

$$\sum_{k < k_c} M_{\vec{k}} (P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}}) = 2 \sum_{k < k_c} M_{\vec{k}} (P_{\vec{k}}^\dagger \rho_{\vec{k}})$$

$$(\mathbf{T}_3)_{\text{new}} = U^{-1} \left[\sum_{\vec{k}} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right] U$$

$$\sum_{k < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}})_{\text{new}} = \sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} + \sum_{k < k_c} \frac{1}{2} M_{\vec{k}} (P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}})$$

$$+ \sum_{k < k_c} \frac{1}{2} M_{\vec{k}}^2 \rho_{-\vec{k}} \rho_{\vec{k}}$$

we have seen that: $\sum_{k < k_c} M_{\vec{k}} (P_{-\vec{k}} \rho_{\vec{k}} + \rho_{-\vec{k}} P_{\vec{k}}) = 2 \sum_{k < k_c} M_{\vec{k}} (P_{\vec{k}}^\dagger \rho_{\vec{k}})$

$$\sum_{k < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}})_{\text{new}} = \sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} + \sum_{k < k_c} M_{\vec{k}} P_{\vec{k}}^\dagger \rho_{\vec{k}}$$

$$+ \sum_{k < k_c} \frac{1}{2} M_{\vec{k}}^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$$\left[\sum_{\vec{k}} \underbrace{\left(-M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right)}_{k < k_c} \right]_{\text{new}} = U^{-1} \left[\sum_{\vec{k}} \left(-M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right] U = ?$$

$$(\mathbf{T}_3)_{\text{new}} = U^{-1} \left[\sum_{\vec{k} \atop k < k_c} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right] U$$

Remember the
minus sign!

$$\left[\sum_{\vec{k} \atop k < k_c} \left(-M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right]_{\text{new}} = U^{-1} \left[\sum_{\vec{k} \atop k < k_c} \left(-M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right] U = ?$$

$$\rho_{\vec{k}}^\dagger = \rho_{\vec{k}}^* = \sum_{i=1}^N e^{+i\vec{k} \cdot \vec{r}_i} = \rho_{-\vec{k}} \quad \& \quad P_{\vec{k}}^\dagger = P_{-\vec{k}}$$

$$(P_{\vec{k}})_{\text{new}} = P_{\vec{k}} + M_{\vec{k}} \rho_{\vec{k}}$$

$$(P_{\vec{k}}^\dagger)_{\text{new}} = P_{\vec{k}}^\dagger + M_{\vec{k}} \rho_{\vec{k}}^\dagger \quad \text{i.e.} \quad (P_{\vec{k}}^\dagger)_{\text{new}} = P_{-\vec{k}} + M_{\vec{k}} \rho_{-\vec{k}}$$

$$(M_k P_{\vec{k}}^\dagger \rho_{\vec{k}})_{\text{new}} = M_k (P_{\vec{k}}^\dagger)_{\text{new}} \rho_{\vec{k}}$$

$$= M_k (P_{-\vec{k}} + M_k \rho_{-\vec{k}}) \rho_{\vec{k}}$$

$$(M_k P_{\vec{k}}^\dagger \rho_{\vec{k}})_{\text{new}} = M_k P_{-\vec{k}} \rho_{\vec{k}} + M_k^2 \rho_{-\vec{k}} \rho_{\vec{k}}$$

$$(\mathbf{T}_3)_{\text{new}} = \mathbf{U}^{-1} \left[\sum_{\vec{k} \atop k < k_c} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right) \right] \mathbf{U}$$

Remember the
minus sign!

$$(M_k P_{\vec{k}}^\dagger \rho_{\vec{k}})_{\text{new}} = M_k P_{-\vec{k}} \rho_{\vec{k}} + M_k^2 \rho_{-\vec{k}} \rho_{\vec{k}}$$

$$\rho_{\vec{k}}^\dagger = \rho_{\vec{k}}^* = \sum_{i=1}^N e^{+i\vec{k} \cdot \vec{r}_i} = \rho_{-\vec{k}} \quad \& \quad P_{\vec{k}}^\dagger = P_{-\vec{k}}$$

$$(M_k P_{\vec{k}}^\dagger \rho_{\vec{k}})_{\text{new}} = M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} + M_k^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$$-(M_k P_{\vec{k}}^\dagger \rho_{\vec{k}})_{\text{new}} = -M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} - M_k^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$(\mathbf{T}_3)_{\text{new}}$ has

$$\sum_{\vec{k} \atop k < k_c}$$

Earlier, we showed that:

$$\sum_{k < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}})_{\text{new}} = \sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} + \sum_{k < k_c} M_{\vec{k}} P_{\vec{k}}^\dagger \rho_{\vec{k}} + \sum_{k < k_c} \frac{1}{2} M_{\vec{k}}^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$$H_0 + H_1 =$$

$$= \sum_{i=1}^N \frac{p_i^2}{2m} + \frac{1}{2} \sum_{\vec{k}} M_k^2 \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right) + \sum_{\vec{k}} \left(\frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}} - M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} \right)$$

T_1 T_2 T_3

We had asked:

$$\mathfrak{H} = H_{new} = U^{-1} (H_0 + H_1) U = ?$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

$$(\mathcal{T}_1)_{new} \rightarrow -\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$(\mathcal{T}_2)_{new} \rightarrow +\frac{1}{2} \sum_{\substack{\vec{k}; \vec{k} \neq \vec{0}}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N) \begin{array}{ll} k > k_c & k < k_c \\ \text{Short range} & \text{long range} \end{array}$$

$$(\mathcal{T}_3)_{new} \rightarrow + \sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} + \sum_{k < k_c} M_{\vec{k}} P_{\vec{k}}^\dagger \rho_{\vec{k}} + \sum_{k < k_c} \frac{1}{2} M_{\vec{k}}^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$(\mathcal{T}_3)_{new}$
has

$$\sum_{\substack{\vec{k} \\ k < k_c}}$$

$$- \sum_{\vec{k}} M_k P_{\vec{k}}^\dagger \rho_{\vec{k}} - \sum_{\vec{k}} M_k^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$



$$- \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$H_{s.r.}$

$$+ \frac{1}{2} \sum_{\substack{\vec{k}; \vec{k} \neq \vec{0} \\ k > k_c}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

$k > k_c$
Short range

+

$$+ \frac{1}{2} \sum_{\substack{\vec{k}; \vec{k} \neq \vec{0} \\ k < k_c}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

$k < k_c$
long range

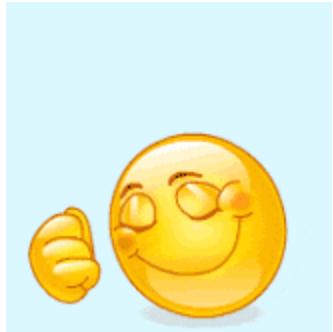
$$+ \sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} + \sum_{k < k_c} M_{\vec{k}} P_{\vec{k}}^\dagger \rho_{\vec{k}} + \sum_{k < k_c} \frac{1}{2} M_{\vec{k}}^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$$H_{s.r.} = \frac{1}{2} \sum_{\substack{\vec{k}; \vec{k} \neq \vec{0} \\ k > k_c}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

$$- \sum_{\vec{k}} M_{\vec{k}} P_{\vec{k}}^\dagger \rho_{\vec{k}} - \sum_{\vec{k}} M_k^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

The three terms shown by the arrows together cancel each other.



$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$+ H_{s.r.} + \frac{1}{2} \sum_{\substack{\vec{k}; \vec{k} \neq \vec{0}}} M_k^2 (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

$$k > k_c$$

$$k < k_c$$

$$+ \sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} + \sum_{k < k_c} \frac{1}{2} M_{\vec{k}}^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$(T_3)_{new}$
has

$$\sum_{\substack{\vec{k} \\ k < k_c}}$$

$$- \sum_{\vec{k}} M_k^2 \rho_{\vec{k}}^* \rho_{\vec{k}}$$

$$\begin{aligned}
\mathfrak{H} = H_{new} = & \sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j} \\
& - \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j} \\
& + H_{s.r.} - \frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k^2 N \\
& + \boxed{\sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}}}
\end{aligned}$$

in the next step, we use:

$$\sum_{k < k_c} \frac{1}{2} P_{-\vec{k}} P_{\vec{k}} = \sum_{k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}}$$

$$M_k = \sqrt{\frac{4\pi e^2}{V k^2}} \quad \text{i.e.} \quad M_k^2 = \frac{4\pi e^2}{V k^2}$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$+ H_{s.r.} - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N + \sum_{k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}}$$

Separate
in $k=\ell$
and $k \neq \ell$
terms

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c \\ k = \ell}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c \\ k \neq \ell}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}}^{\vec{k}=\ell} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k}+\vec{\ell}) \cdot \vec{r}_j}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}}^{\vec{k} \neq \ell} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k}+\vec{\ell}) \cdot \vec{r}_j}$$

spherical symmetry of \vec{k} vectors $\Rightarrow \sum_{\vec{k}} \equiv \sum_{-\vec{k}}$

$$-\frac{1}{2m} \sum_j \sum_{\substack{-\vec{k} \\ k < k_c}}^{\vec{k}=\ell} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k}+\vec{\ell}) \cdot \vec{r}_j}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{-\vec{k} \\ k < k_c}}^{\vec{k} \neq \ell} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k}+\vec{\ell}) \cdot \vec{r}_j}$$

$$\begin{aligned}
& \downarrow \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}}^k \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j} \\
& \quad \uparrow \ell = k \qquad \uparrow \ell = k \\
& \downarrow \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \neq \ell \\ \vec{k} \\ k < k_c}}^k \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j}
\end{aligned}$$

$M_{\vec{k}} = \sqrt{\frac{4\pi e^2}{V k^2}} = M_{-\vec{k}}$

$$\begin{aligned}
& \frac{N}{2m} \sum_{\substack{\vec{k} \\ k < k_c}} M_{\vec{k}}^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} k^2 \\
& + \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \neq \ell \\ \vec{k} \\ k < k_c}}^k \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j}
\end{aligned}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k \langle k_c}}^{\vec{k} = \ell} \sum_{\substack{\vec{\ell} \\ \ell \langle k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$M_{\vec{k}} = \sqrt{\frac{4\pi e^2}{V k^2}} = M_{-\vec{k}}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k \langle k_c}}^{\vec{k} \neq \ell} \sum_{\substack{\vec{\ell} \\ \ell \langle k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

spherical symmetry of \vec{k} vectors

$$\begin{aligned} & \frac{N}{2m} \sum_{\substack{\vec{k} \\ k \langle k_c}} M_{\vec{k}}^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} k^2 \\ & + \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k \langle k_c}}^{\vec{k} \neq \ell} \sum_{\substack{\vec{\ell} \\ \ell \langle k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j} \end{aligned}$$

$\Rightarrow \sum_{\vec{k}} \equiv \sum_{-\vec{k}}$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

$$-\frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{k}} M_{\vec{\ell}} Q_{\vec{k}} Q_{\vec{\ell}} \vec{k} \cdot \vec{\ell} e^{-i(\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$+ H_{s.r.} - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N + \sum_{k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}}$$

Separate
in $k=\ell$
and $k \neq \ell$
terms

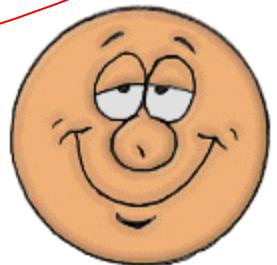
$$\sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j} + \frac{N}{2m} \sum_{\substack{\vec{k} \\ k < k_c}} M_{\vec{k}}^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} k^2$$

$$+ \frac{1}{2m} \sum_j \sum_{\substack{\vec{-k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{\vec{-k}} M_{\vec{\ell}} Q_{\vec{-k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$



Questions?

Write to: pcd@physics.iitm.ac.in



Select/Special Topics from 'Theory of Atomic Collisions and Spectroscopy'

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Unit 3

Lecture Number 24

Electron Gas in the Random Phase Approximations

Plasma Oscillations in Free Electron Gas

References: 'The theory of plasma oscillations in metals'

- by S Raimes 1957 *Rep. Prog. Phys.* **20** 1

Also: Chapter 4 in 'Many Electron Theory' by Stanley Raimes

$$\begin{aligned}
\mathcal{H} = H_{new} = & \quad H_{int} \\
& \sum_{i=1}^N \frac{p_i^2}{2m} - \frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j} + \frac{N}{2m} \sum_{\substack{\vec{k} \\ k < k_c}} M_{\vec{k}}^2 |Q_{\vec{k}}|^2 k^2 \\
& + \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \neq \vec{\ell} \\ \vec{k} \neq \vec{\ell} \\ k < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j} \\
& + H_{s.r.} - \sum_{\substack{\vec{k}; \vec{k} \neq \vec{0}}} \frac{2\pi e^2}{V k^2} N + \sum_{k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}}
\end{aligned}$$

$$H_{\text{int}} = -\frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

$$K = \frac{1}{2m} \sum_j \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (-\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} + H_{int} + \frac{N}{2m} \sum_{\vec{k}} M_{\vec{k}}^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} k^2$$

$k < k_c$

$$+ K + H_{s.r.} - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N + \sum_{k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}}$$

$$M_{\vec{k}}^2 = \frac{4\pi e^2}{V k^2}$$

$$M_{\vec{k}}^2 k^2 = \frac{4\pi e^2}{V}$$

$$H_{int} = -\frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_{\vec{k}} Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

$$K = \frac{1}{2m} \sum_j \sum_{\substack{-\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (\vec{k} \cdot \vec{\ell}) e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j}$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} + H_{int} + \boxed{\frac{N}{2m} \sum_{\vec{k}} M_{\vec{k}}^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} k^2}$$

$$+ K + H_{s.r.} - \sum_{\vec{k}; \vec{k} \neq 0} \frac{2\pi e^2}{V k^2} N + \sum_{k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}}$$

$$M_{\vec{k}}^2 k^2 = \frac{4\pi e^2}{V}$$

$$M_{\vec{k}}^2 = \frac{4\pi e^2}{V k^2}$$

$$\omega_p^2 = \frac{4\pi \bar{\rho} e^2}{m} ; \quad \bar{\rho} = \frac{N}{V}$$

$$M_{\vec{k}}^2 k^2 = \frac{4\pi e^2}{V}$$

$$M_{\vec{k}}^2 k^2 = \frac{m \omega_p^2}{\bar{\rho}} \frac{1}{V}$$

$$NM_{\vec{k}}^2 k^2 = \frac{m \omega_p^2}{\bar{\rho}} \frac{N}{V}$$

$$\frac{N}{2m} M_{\vec{k}}^2 k^2 = \frac{1}{2} \omega_p^2$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} + H_{int} + \frac{1}{2} \sum_{\vec{k}} \begin{cases} Q_{\vec{k}}^\dagger Q_{\vec{k}} & k < k_c \\ \omega_p^2 & k \geq k_c \end{cases} + K + H_{s.r.} - \sum_{\vec{k}; \vec{k} \neq 0} \frac{2\pi e^2}{V k^2} N + \sum_{k < k_c} \frac{1}{2} P_{\vec{k}}^\dagger P_{\vec{k}}$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} + \left(\sum_{\substack{\vec{k} \\ k \geq k_c}} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}}) \right) - \sum_{\vec{k}; \vec{k} \neq 0} \frac{2\pi e^2}{V k^2} N + H_{s.r.} + H_{int} + K$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} + \sum_{\substack{\vec{k} \\ k < k_c}} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right) - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N$$

“EXACT”

$$+ H_{s.r.} + H_{int} + K$$

Raimes: Many Electron Theory
Eq.4.58, page 58

$$H_{s.r.} = \frac{1}{2} \sum_{\substack{\vec{k}; \vec{k} \neq \vec{0} \\ k > k_c}} M_k^2 \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right)$$

$$H_{int} = -\frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

“Random Phase Approximation”

“LINEARIZATION”

$$K = \frac{1}{2m} \sum_{\substack{\vec{k} \\ k < k_c}} \sum_{\ell} M_{-\vec{k}} M_{\vec{\ell}} (\vec{k} \cdot \vec{\ell}) \left\{ \sum_j \left(Q_{-\vec{k}} e^{+i\vec{k} \cdot \vec{r}_j} \times Q_{\vec{\ell}} e^{-i\vec{\ell} \cdot \vec{r}_j} \right) \right\}$$

$$\mathfrak{H} = H_{new} = \sum_{\vec{k}; k < k_c} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right) + \sum_{i=1}^N \frac{p_i^2}{2m} +$$

short range interaction

$$+ H_{int} + \boxed{H_{s.r.}} - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N$$

Quasi particles interacting via $H_{s.r.}$

$$H_{s.r.} = \frac{1}{2} \sum_{\vec{k}; \vec{k} \neq \vec{0}}^{k > k_c} M_{\vec{k}}^2 \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right)$$

$$M_{\vec{k}}^2 = \frac{4\pi e^2}{V k^2}$$

$$H_{s.r.} = \sum_{\vec{k}; \vec{k} \neq \vec{0}}^{k > k_c} \frac{2\pi e^2}{V k^2} \left(\rho_{\vec{k}}^* \rho_{\vec{k}} - N \right)$$

Potential energy of the i^{th} electron due to **all the electrons and the positive background**:

$\vec{k} = \vec{0}$ terms \rightarrow cancel the positive jellium

$$U(\vec{r}_i) = \frac{1}{V} \sum_{j=1}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{4\pi e^2}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

Total potential energy due to Coulomb interactions of **all the electrons and the positive background**:

$$\frac{1}{2} \sum_{i=1}^N U(\vec{r}_i) = \frac{1}{V} \sum_{j=1}^N \sum_{i=1}^N \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{2\pi e^2}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

Sum over all the electrons, $i=1, 2, \dots, N$

Total potential energy due to Coulomb interactions of all the electrons **and** the positive background:

$$\frac{1}{2} \sum_{i=1}^N U(\vec{r}_i) = \frac{1}{V} \sum_{\substack{j=1 \\ j \neq i}}^N \sum_{\vec{k}} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{2\pi e^2}{k^2} e^{i\vec{k} \cdot (\vec{r}_i - \vec{r}_j)}$$

add and subtract $j=i$ terms

$$\rho_{\vec{k}} = \sum_{i=1}^N e^{-i\vec{k} \cdot \vec{r}_i}$$

$$-\frac{N}{V} \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{k^2}$$

self-energy

$$\frac{1}{2} \sum_{i=1}^N U(\vec{r}_i) = \frac{1}{V} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{2\pi e^2}{k^2} \rho_{\vec{k}}^* \rho_{\vec{k}} - \frac{N}{V} \sum_{\vec{k}} \frac{2\pi e^2}{k^2}$$

$$\frac{1}{2} \sum_{i=1}^N U(\vec{r}_i) = \frac{1}{V} \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} \frac{2\pi e^2}{k^2} (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

Total potential energy due to Coulomb interactions of **all the electrons** **and the positive background:**

$$\frac{1}{2} \sum_{i=1}^N U(\vec{r}_i) = \frac{1}{V} \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{k^2} (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

$$H_{s.r.} = \frac{1}{V} \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{k^2} (\rho_{\vec{k}}^* \rho_{\vec{k}} - N)$$

$$FT \text{ of } \left(\frac{e^{-\mu r}}{r} \right)^{SC} = \frac{4\pi}{\mu^2 + k^2}$$

$$FT \text{ of } \left(\frac{1}{r} \right)^C = \frac{4\pi}{k^2}$$

$$k > k_c \rightarrow \mu^2 + k^2 = \kappa^2$$

$$\kappa \geq \mu$$

“Screened Coulomb”

$H_{s.r.}$ = total potential energy due to SHORT RANGE interactions

$$H_{\text{int}} = -\frac{i}{2m} \sum_j \sum_{\substack{\vec{k} \\ \vec{k} \neq \vec{0}}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

$$K = \frac{1}{2m} \sum_{\substack{\vec{k} \neq \vec{\ell} \\ -\vec{k} \\ k < k_c}} \sum_{\substack{\vec{\ell} \\ \ell < k_c}} M_{-\vec{k}} M_{\vec{\ell}} Q_{-\vec{k}} Q_{\vec{\ell}} (\vec{k} \cdot \vec{\ell}) \left\{ \sum_j e^{-i(-\vec{k} + \vec{\ell}) \cdot \vec{r}_j} \right\}$$

RPA cancellation of H_{int} ?
 Because of these terms the cancellation is not obvious

Bohm and Pines:

FURTHER transformation of the Hamiltonian

$\mathfrak{H} = H_{\text{new}}$ can be carried out to account for H_{int} .

$$H_{\text{int}} = -\frac{i}{2m} \sum_j \sum_{\vec{k}; \vec{k} \neq \vec{0}} M_k Q_{\vec{k}} \vec{k} \cdot (2\vec{p}_j + \hbar\vec{k}) e^{-i\vec{k} \cdot \vec{r}_j}$$

$$\mathfrak{H} = H_{\text{new}} = \sum_{i=1}^N \frac{p_i^2}{2m} + \sum_{\vec{k}; k < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}})$$

$$- \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N + H_{\text{s.r.}} + H_{\text{int}} + \cancel{K}$$



These two terms get modified as a result of this **further** transformation

Bohm and Pines:

FURTHER transformation of the Hamiltonian

can be carried out to account for H_{int} .

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} + \sum_{\vec{k}} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right)$$

These two terms get replaced, on account of further transformation, by

$$- \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N + H_{s.r.} + H_{int} + \cancel{K}$$

$$\sum_{i=1}^N \frac{p_i^2}{2m} \left(1 - \frac{\beta^2}{6} \right) + \sum_{\vec{k}} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_k^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right)$$

$$\text{with } \beta = \frac{k_c}{k_F} \quad \text{and} \quad \omega_k^2 = \omega_p^2 + \frac{2}{m} E_F k^2 \rightarrow \omega = \omega(k)$$

(see Slide 156, L21)

↑ weak dispersion ↑ .

$$k_c = k_{\max} \approx \frac{\omega_p}{v_f}$$

$$\mathfrak{H} = H_{new} = \sum_{i=1}^N \frac{p_i^2}{2m} + \sum_{\vec{k}; \vec{k} < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}})$$

$$k_c = k_{\max} \approx \frac{\omega_p}{v_f}$$

These two terms get replaced, on account of further transformation, by

$$- \sum_{\vec{k}; \vec{k} \neq 0} \frac{2\pi e^2}{V k^2} N + H_{s.r.} + H_{c.c.}$$

$$\text{with } \beta = \frac{k_c}{k_F} \text{ and}$$

(see Slide 156, L2)

$$\sum_{i=1}^N \frac{p_i^2}{2m} \left(1 - \frac{\beta^2}{6} \right) + \sum_{\vec{k}} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}} + \omega(\vec{k}) Q_{\vec{k}}^\dagger Q_{\vec{k}})$$

K.E. term

$\beta \approx 0.7$ for sodium, so K.E. diminishes by about 8% as dispersion \uparrow .

$$\mathfrak{H} = H_{new} = \sum_{\substack{\vec{k} \\ k < k_c}} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right) + \sum_{i=1}^N \frac{p_i^2}{2m} - \sum_{\vec{k}; \vec{k} \neq 0} \frac{2\pi e^2}{V k^2} N + H_{s.r.} + \cancel{H_{int}} + K$$

$$\mathfrak{H} = H_{new} \approx \sum_{i=1}^N \frac{p_i^2}{2m} + \sum_{\substack{\vec{k} \\ k < k_c}} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right) - \sum_{\vec{k}; \vec{k} \neq 0} \frac{2\pi e^2}{V k^2} N + H_{s.r.}$$

Subsidiery condition:

$$\left(P_k + M_k \rho_{\vec{k}} \right) \psi_{new} = 0 \quad \text{for } k < k_c$$

What kind of a system does this Hamiltonian describe?

$$\mathfrak{H} = H_{new} \approx \sum_{i=1}^N \frac{p_i^2}{2m} + \sum_{\vec{k}; k < k_c} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right) - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N + H_{s.r.}$$

Re-arrange the terms:

$$\begin{aligned} \mathfrak{H} = H_{new} \approx & \sum_{\vec{k}; k < k_c} \frac{1}{2} \left(P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}} \right) \\ & + \sum_{i=1}^N \frac{p_i^2}{2m} + H_{s.r.} - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N \end{aligned}$$

$$\mathfrak{H} = H_{new} = \sum_{\vec{k}; k < k_c} \frac{1}{2} (P_{\vec{k}}^\dagger P_{\vec{k}} + \omega_p^2 Q_{\vec{k}}^\dagger Q_{\vec{k}}) + \sum_{i=1}^N \frac{p_i^2}{2m} + H_{s.r.} - \sum_{\vec{k}; \vec{k} \neq \vec{0}} \frac{2\pi e^2}{V k^2} N$$

Subsidiary condition:

$$(P_{\vec{k}})_{new} \psi_{new} = 0 \text{ for } k < k_c$$

What kind of a system does this Hamiltonian describe?

SHO Hamiltonian

$$H = \frac{1}{2} \left(\frac{p^2}{m} + m\omega^2 x^2 \right)$$

Plasma oscillations

Quasi particles interacting via $H_{s.r.}$

A constant term that is part of the electron self-energy which is not accounted for in the plasma oscillations.

Long range interaction is accounted for by PLASMONS, and the short range part that remains is a screened Coulomb interaction.

“Random Phase Approximation” → “LINEARIZATION”

$$\boxed{K} = \frac{1}{2m} \sum_{\vec{k}} \sum_{\vec{\ell}}^{k \neq \ell} M_{-\vec{k}} M_{\vec{\ell}} (\vec{k} \cdot \vec{\ell}) \left\{ \sum_j \left(Q_{-\vec{k}} e^{+i\vec{k} \cdot \vec{r}_j} \times Q_{\vec{\ell}} e^{-i\vec{\ell} \cdot \vec{r}_j} \right) \right\}$$

Bohm and Pines
Transformation of the Hamiltonian

Other paths to RPA

Equation of Motion method... Rowe (1968)



Greens function method Thouless (1961)

Diagrammatic perturbation theory ..

Questions:

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Linearized Time Dependent Hartree/Dirac Fock...

Alex Dalgaardno..... Walter Johnson →

